

Order and quantum phase transitions in the cuprate superconductors

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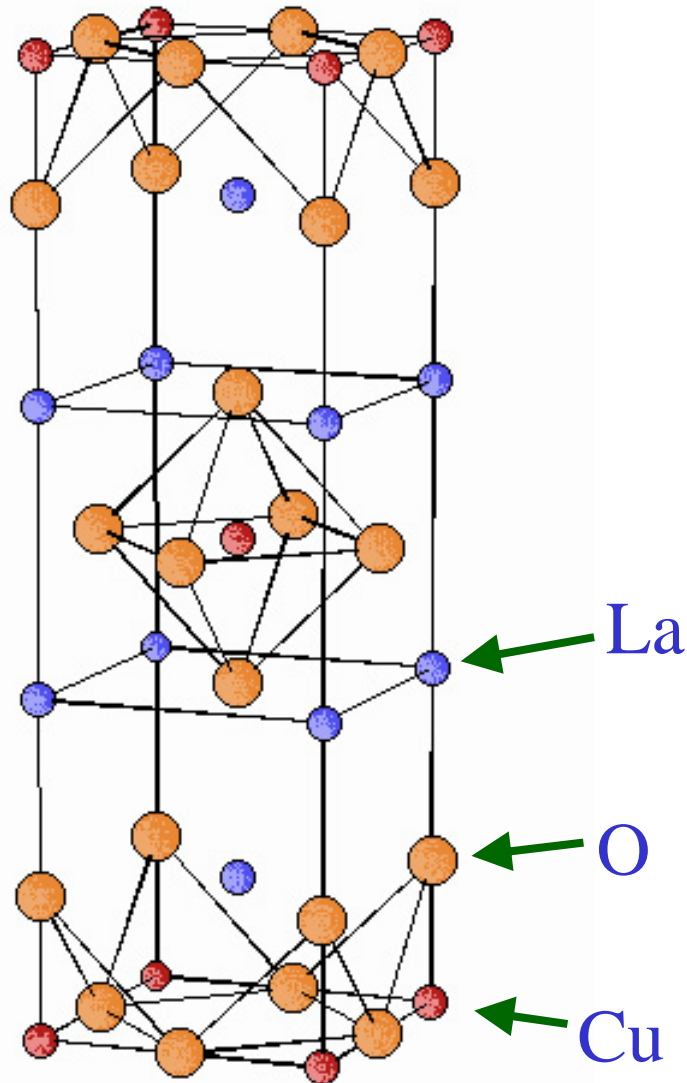
Colloquium article in *Reviews of Modern Physics* **75**, 913 (2003)



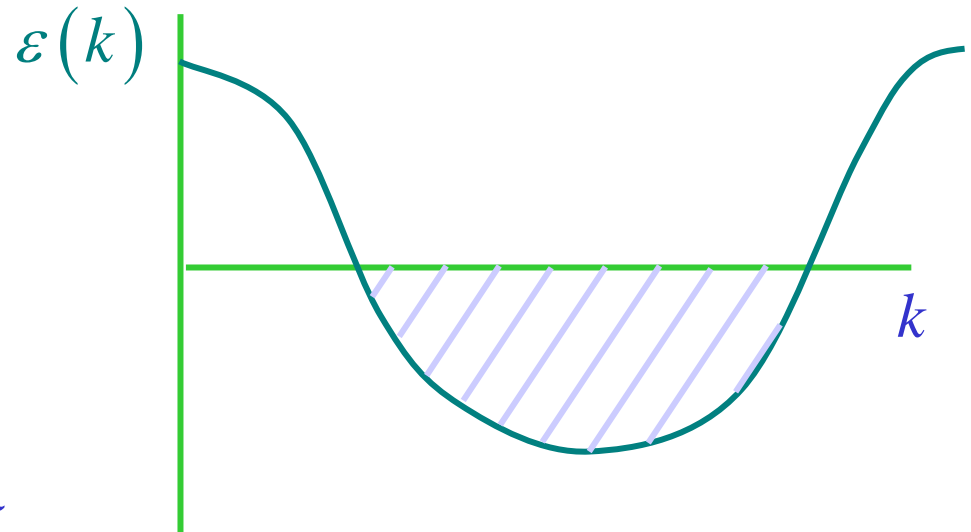
Talk online:
[Google](#) [Sachdev](#)



Parent compound of the high temperature
superconductors: La_2CuO_4



Band theory

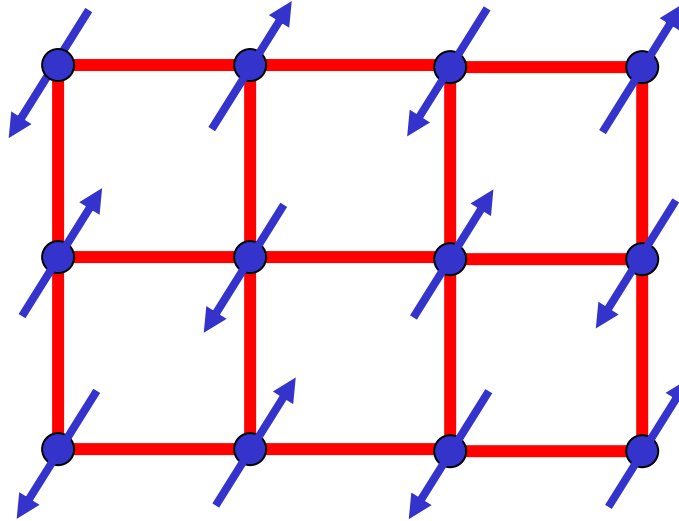


Half-filled band of Cu 3d orbitals –
ground state is predicted to be a metal.

However, La_2CuO_4 is a
very good insulator

Parent compound of the high temperature
superconductors: La_2CuO_4

A Mott insulator



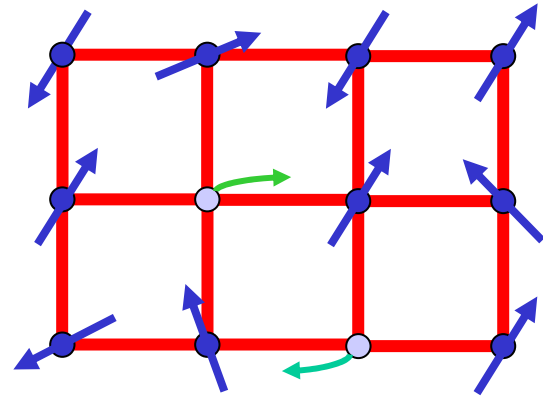
$$H = \sum_{\langle ij \rangle} J_{ij} \vec{S}_i \cdot \vec{S}_j$$

Ground state has long-range magnetic Néel order,
or “collinear magnetic (CM) order”

Néel order parameter: $\vec{\phi} = (-1)^{i_x+i_y} \vec{S}_i$

$$\langle \vec{\phi} \rangle \neq 0 \quad ; \quad \langle \vec{S}_i \rangle \neq 0$$

Introduce mobile carriers of density δ
by substitutional doping of out-of-plane
ions *e.g.* $\text{La}_{2-\delta}\text{Sr}_\delta\text{CuO}_4$

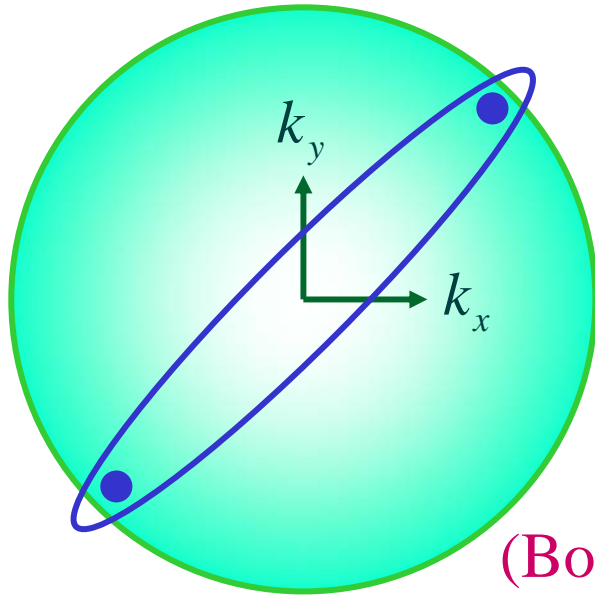


$$\langle \vec{S} \rangle = 0$$

Exhibits superconductivity below a high critical temperature T_c

Superconductivity in a doped Mott insulator

BCS superconductor obtained by the Cooper instability of a *metallic Fermi liquid*



Pair wavefunction

$$\Psi = (k_x^2 - k_y^2) (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

$$\langle \vec{S} \rangle = 0$$

(Bose-Einstein) condensation of Cooper pairs

Many low temperature properties of the cuprate superconductors appear to be qualitatively similar to those predicted by BCS theory.

BCS theory of a vortex in the superconductor

Pairs are disrupted and Fermi surface is revealed.



Superflow of Cooper pairs



Superconductivity in a doped Mott insulator

Review: S. Sachdev, *Science* **286**, 2479 (1999).

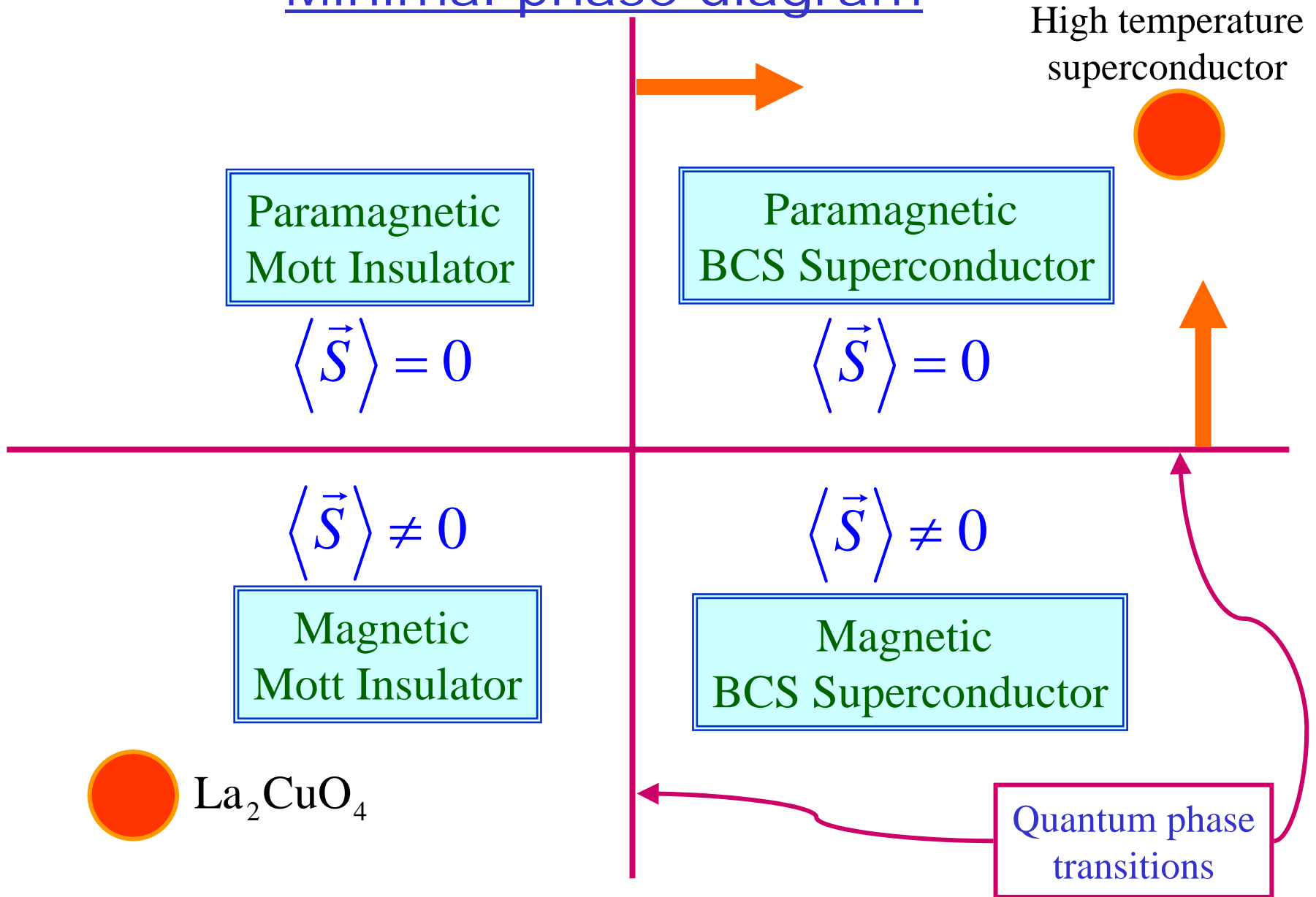
Hypothesis:

Competition between orders of
BCS theory (condensation of Cooper pairs)
and
Mott insulators

Needed:

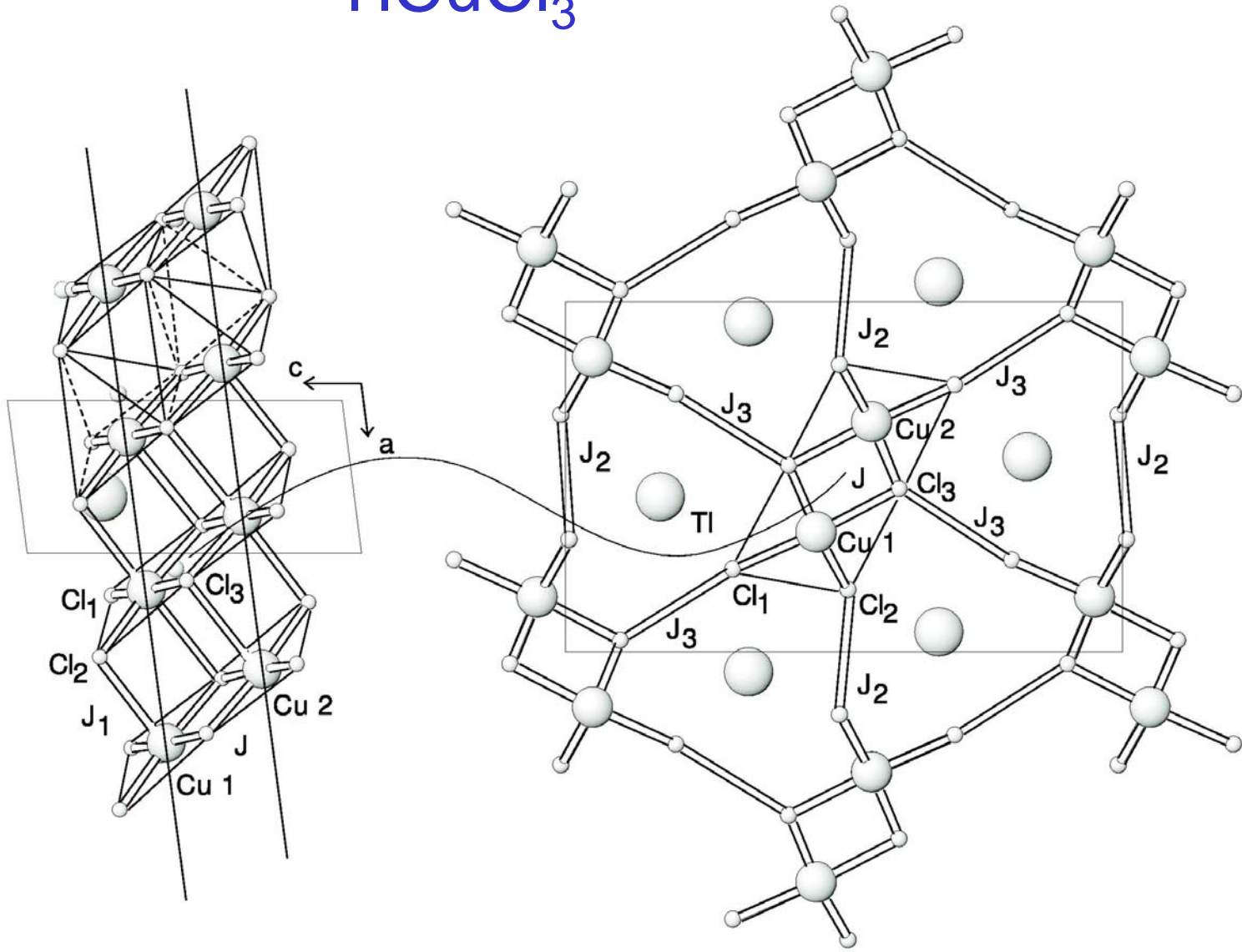
Theory of zero temperature transitions
between competing ground states.

Minimal phase diagram



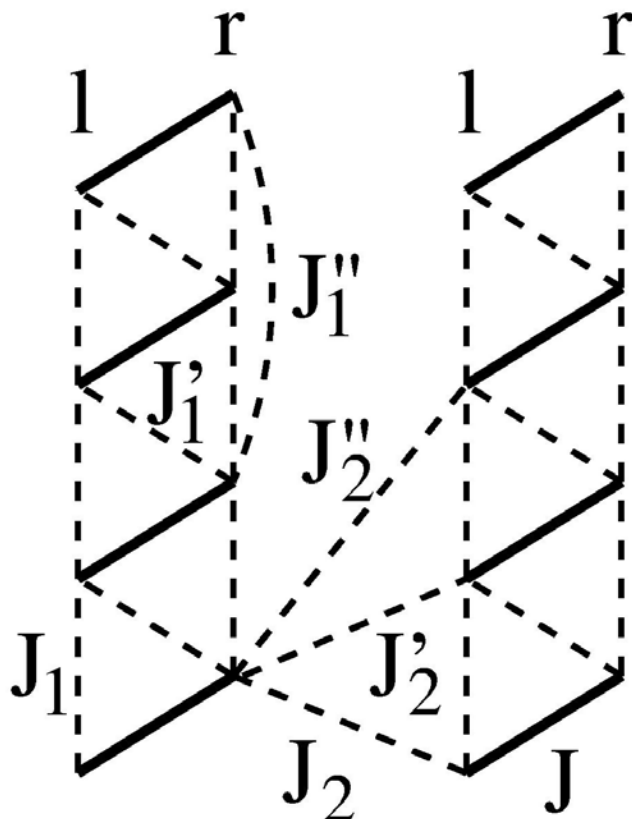
Magnetic-paramagnetic quantum phase
transition in a Mott insulator

TiCuCl₃

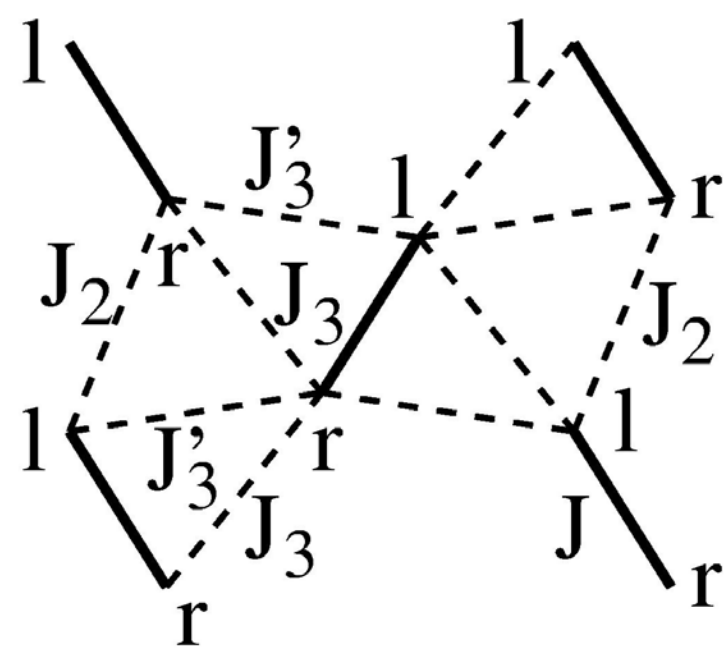


M. Matsumoto, B. Normand, T.M. Rice, and M. Sigrist, cond-mat/0309440.

TiCuCl₃



(a)



(b)

Coupled Dimer Antiferromagnet

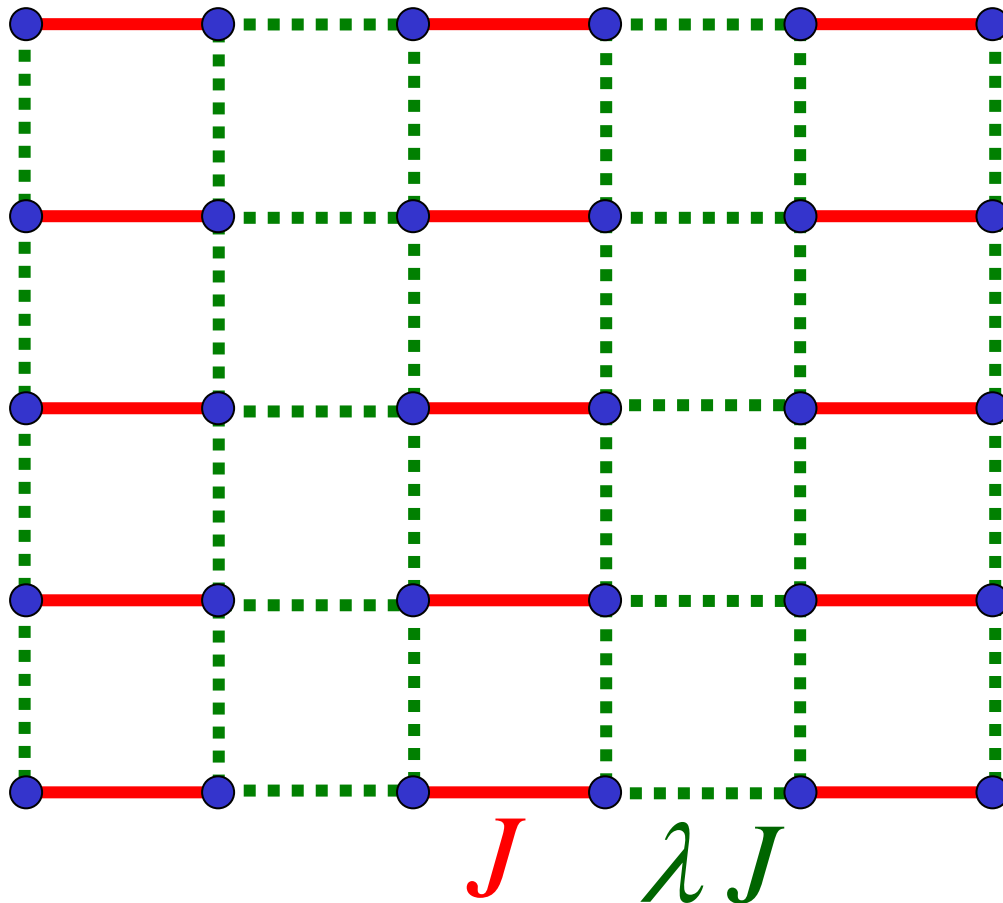
M. P. Gelfand, R. R. P. Singh, and D. A. Huse, *Phys. Rev. B* **40**, 10801-10809 (1989).

N. Katoh and M. Imada, *J. Phys. Soc. Jpn.* **63**, 4529 (1994).

J. Tworzydło, O. Y. Osman, C. N. A. van Duin, J. Zaanen, *Phys. Rev. B* **59**, 115 (1999).

M. Matsumoto, C. Yasuda, S. Todo, and H. Takayama, *Phys. Rev. B* **65**, 014407 (2002).

$S=1/2$ spins on coupled dimers

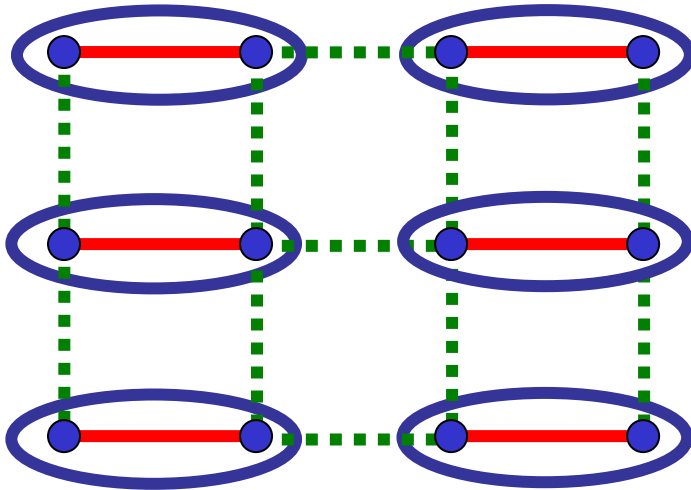


$$H = \sum_{\langle ij \rangle} J_{ij} \vec{S}_i \cdot \vec{S}_j$$

$$0 \leq \lambda \leq 1$$

λ close to 0

Weakly coupled dimers



$$\text{dimer} = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

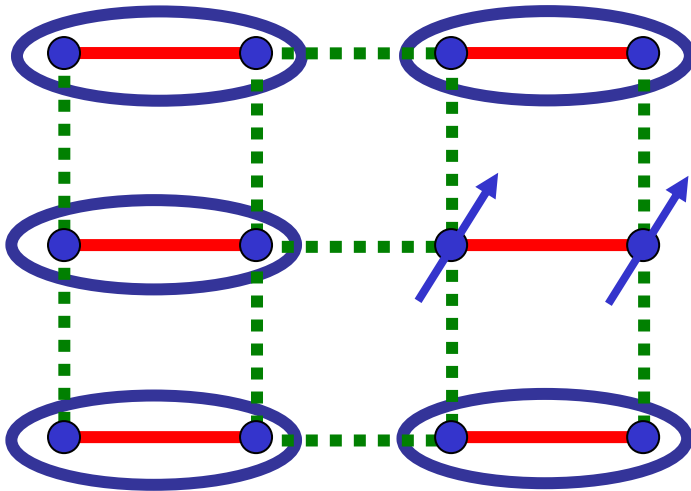
Paramagnetic ground state

$$\langle \vec{S}_i \rangle = 0$$

Real space Cooper pairs with their charge localized. Upon doping, motion and condensation of Cooper pairs leads to superconductivity

λ close to 0

Weakly coupled dimers



$$\text{dimer} = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

Excitation: $S=1$ *triplon* (*exciton*, spin collective mode)

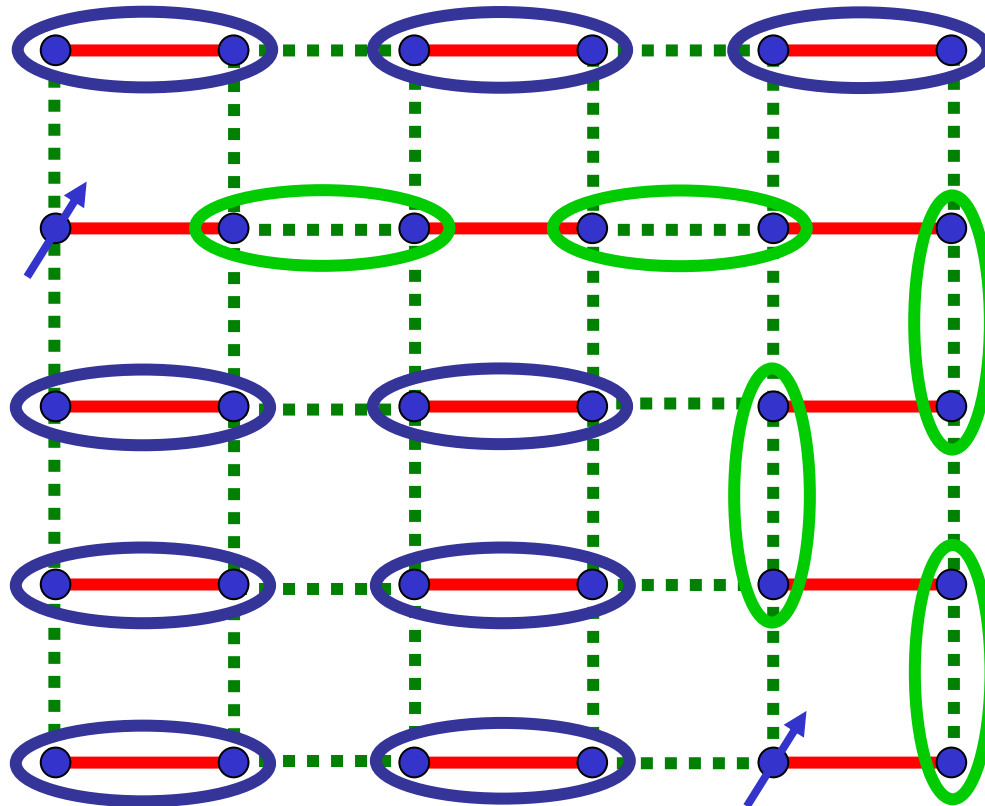
Energy dispersion away from antiferromagnetic wavevector $\varepsilon_p = \Delta + \frac{c_x^2 p_x^2 + c_y^2 p_y^2}{2\Delta}$

$\Delta \rightarrow$ spin gap

λ close to 0

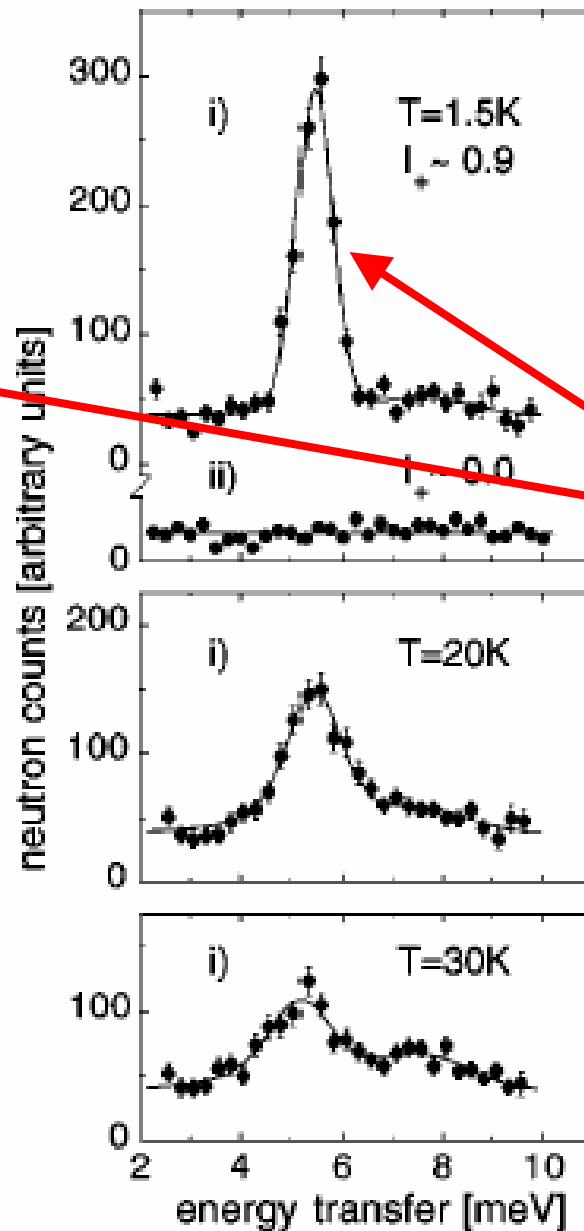
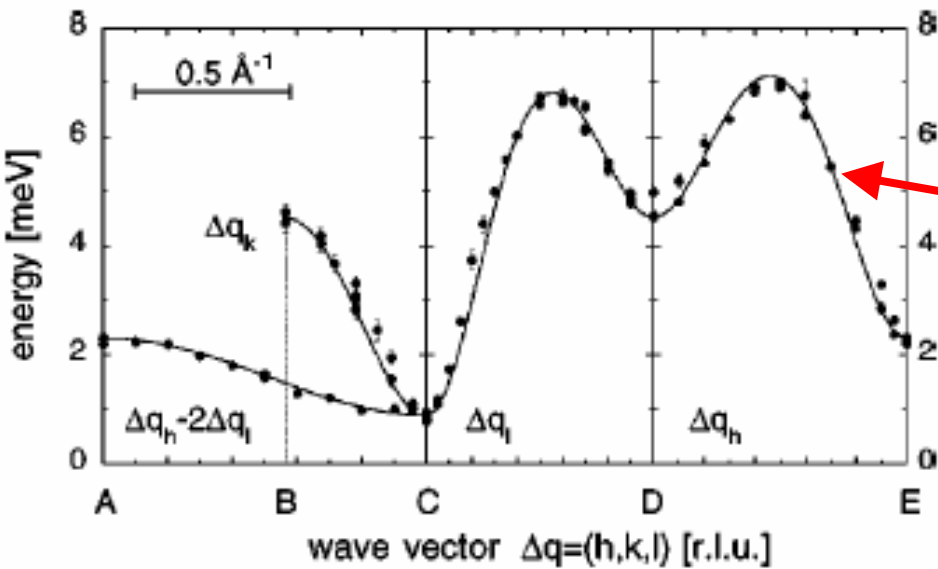
Weakly coupled dimers

$$\text{dimer} = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$



$S=1/2$ *spinons* are confined by a linear potential into a $S=1$ *triplon*

TlCuCl₃



“triplon”
or spin
exciton

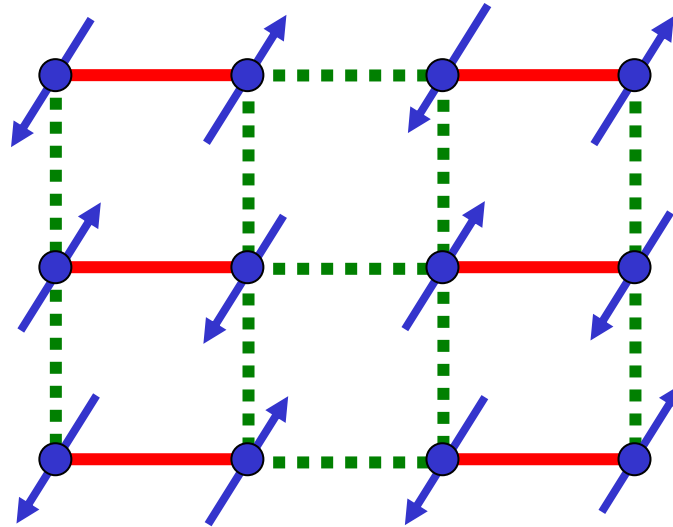
N. Cavadini, G. Heigold, W. Henggeler,
A. Furrer, H.-U. Güdel, K. Krämer and
H. Mutka, *Phys. Rev. B* 63 172414 (2001).

FIG. 1. Measured neutron profiles in the a^*c^* plane of TlCuCl₃ for $i=(1.35,0,0)$, $ii=(0,0,3.15)$ [r.l.u.]. The spectrum at $T=1.5$ K

λ close to 1

Square lattice antiferromagnet

Experimental realization: La_2CuO_4



Ground state has long-range magnetic (Neel or spin density wave) order

$$\langle \vec{S}_i \rangle = (-1)^{i_x + i_y} N_0 \neq 0$$

Excitations: 2 spin waves (*magnons*) $\varepsilon_p = \sqrt{c_x^2 p_x^2 + c_y^2 p_y^2}$



Neutron Diffraction Study of the Pressure-Induced Magnetic Ordering in the Spin Gap System TiCuCl₃

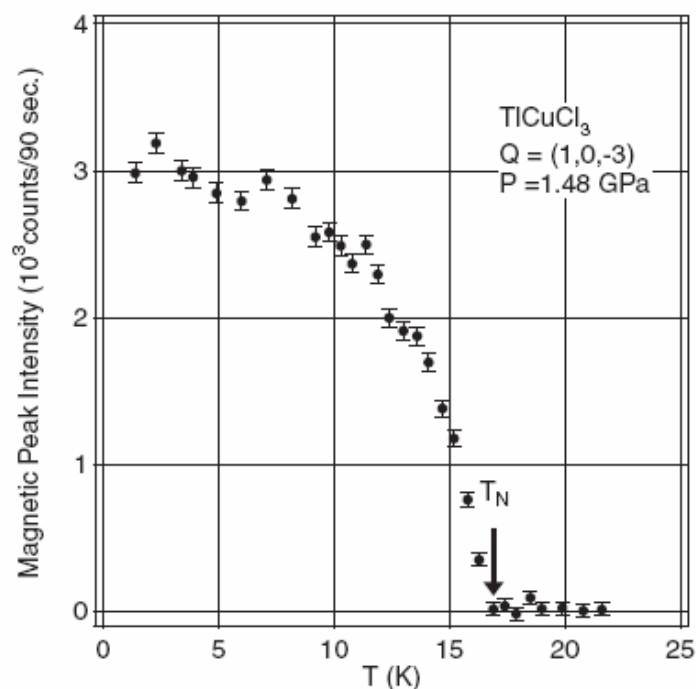
Akira OOSAWA*, Masashi FUJISAWA¹, Toyotaka OSAKABE, Kazuhisa KAKURAI and Hidekazu TANAKA²

Advanced Science Research Center, Japan Atomic Energy Research Institute, Tokai, Ibaraki 319-1195

¹*Department of Physics, Tokyo Institute of Technology, Oh-okayama, Meguro-ku, Tokyo 152-8551*

²*Research Center for Low Temperature Physics, Tokyo Institute of Technology, Oh-okayama, Meguro-ku, Tokyo 152-8551*

(Received February 3, 2003)



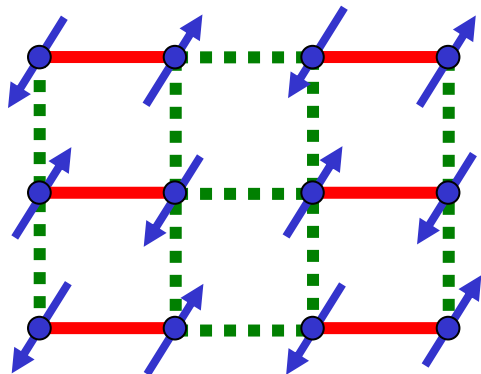
J. Phys. Soc. Jpn **72**, 1026 (2003)

Fig. 3. Temperature dependence of the magnetic Bragg peak intensity for $Q = (1, 0, -3)$ reflection measured at $P = 1.48$ GPa in TiCuCl₃.

T=0

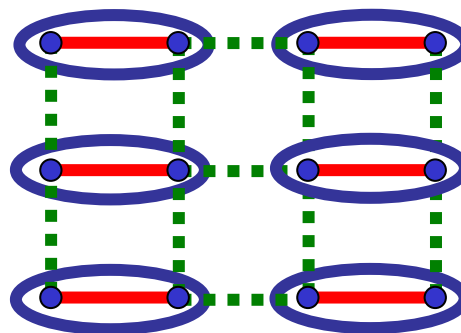
$$\lambda_c = 0.52337(3)$$

M. Matsumoto, C. Yasuda, S. Todo, and H. Takayama,
Phys. Rev. B **65**, 014407 (2002)



Neel state

$$\langle \vec{S} \rangle = N_0$$



Quantum paramagnet

$$\langle \vec{S} \rangle = 0$$

Magnetic order as in La_2CuO_4

Electrons in charge-localized Cooper pairs

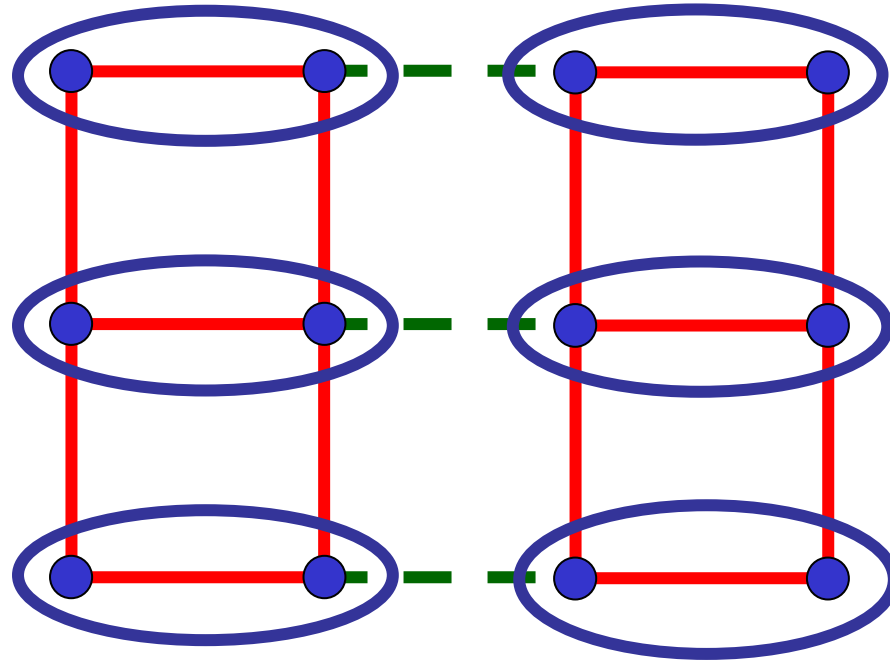
λ 1

λ_c

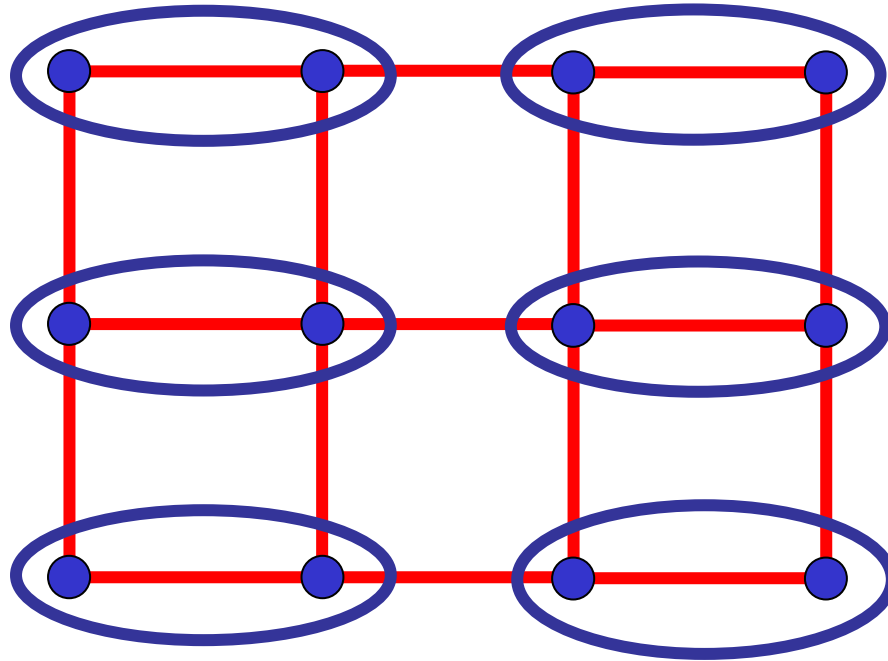
δ in
Pressure in TlCuCl_3
cuprates ?

Bond order in a Mott insulator

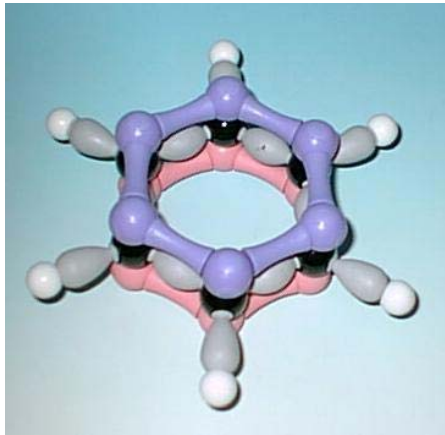
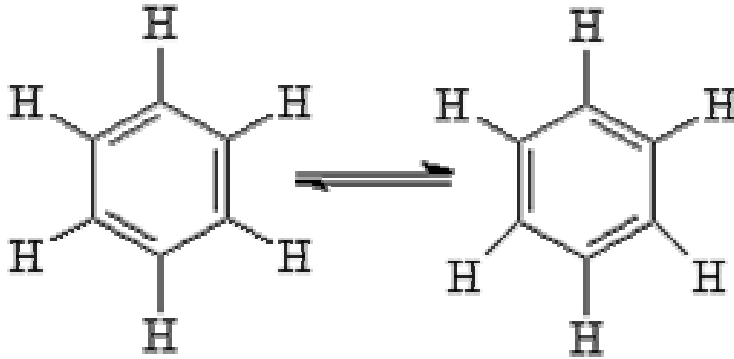
Paramagnetic ground state of coupled ladder model



Can such a state with *bond order* be the ground state of a system with full square lattice symmetry ?

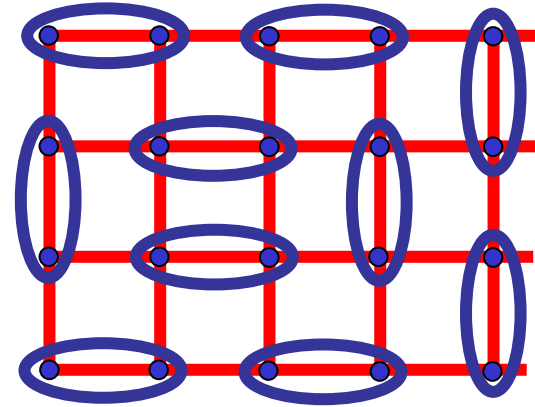


Resonating valence bonds



Resonance in benzene leads to a symmetric configuration of valence bonds

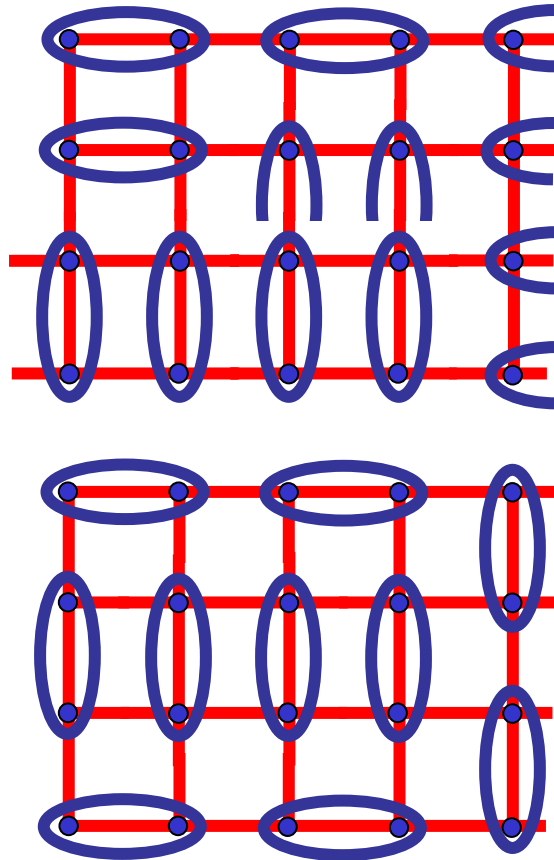
(F. Kekulé, L. Pauling)



The paramagnet on the square lattice should also allow other valence bond pairings, and this leads to a “resonating valence bond liquid”

(P.W. Anderson, 1987)

Resonating valence bonds



Resonances on different plaquettes are strongly correlated with each other.

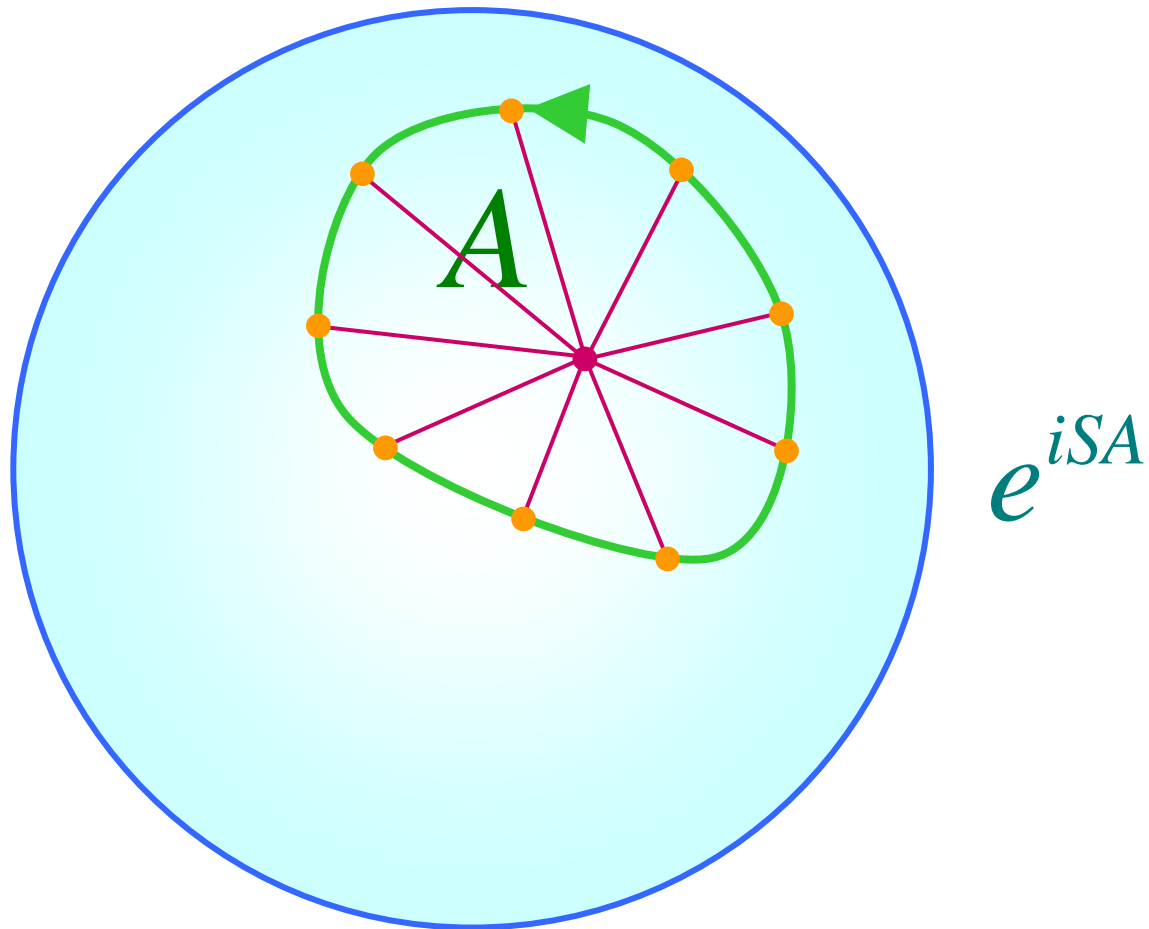
Theoretical description: compact U(1) gauge theory

N. Read and S. Sachdev, *Phys.Rev. Lett.* **62**, 1694 (1989)

E. Fradkin and S. A. Kivelson, *Mod. Phys. Lett. B* **4**, 225 (1990)

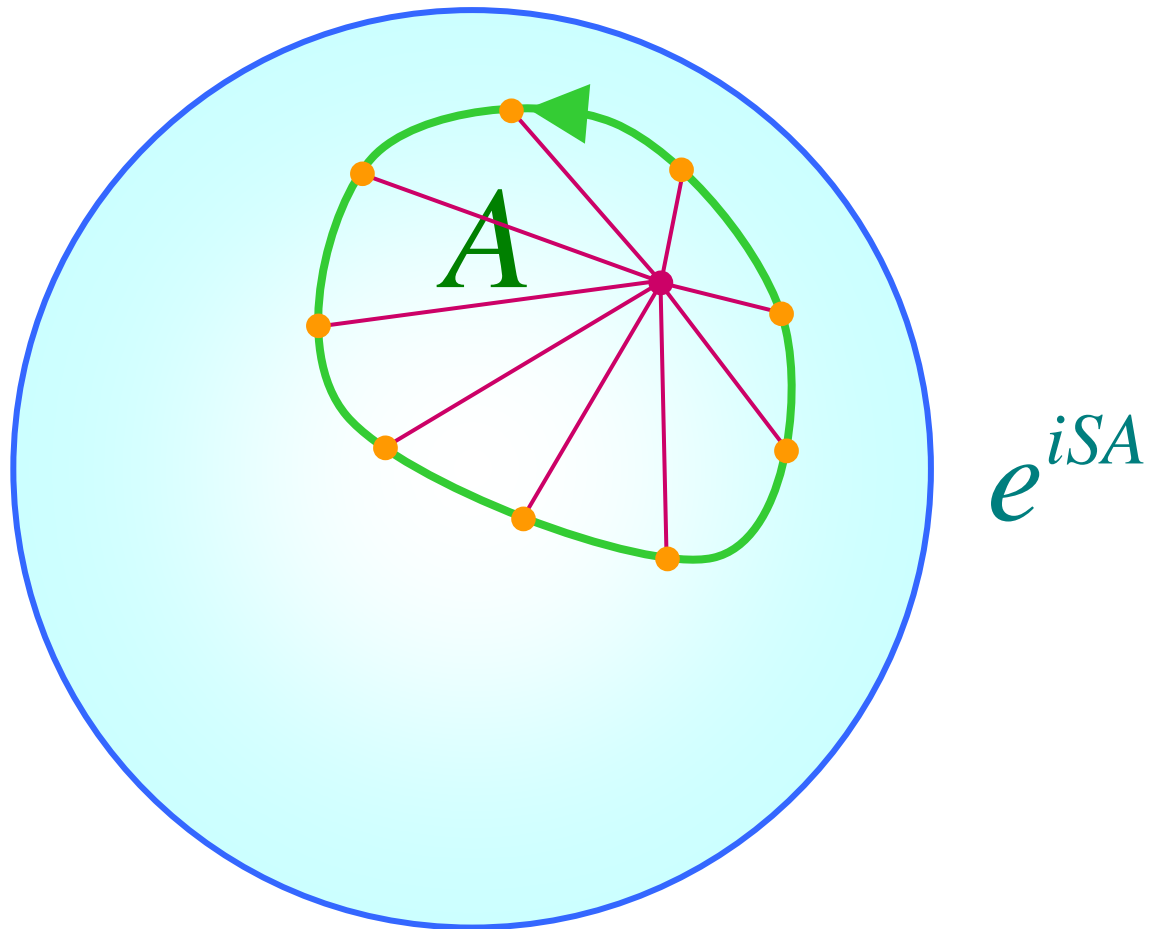
(Slightly) Technical interlude:
Quantum theory for bond order

Key ingredient: Spin Berry Phases



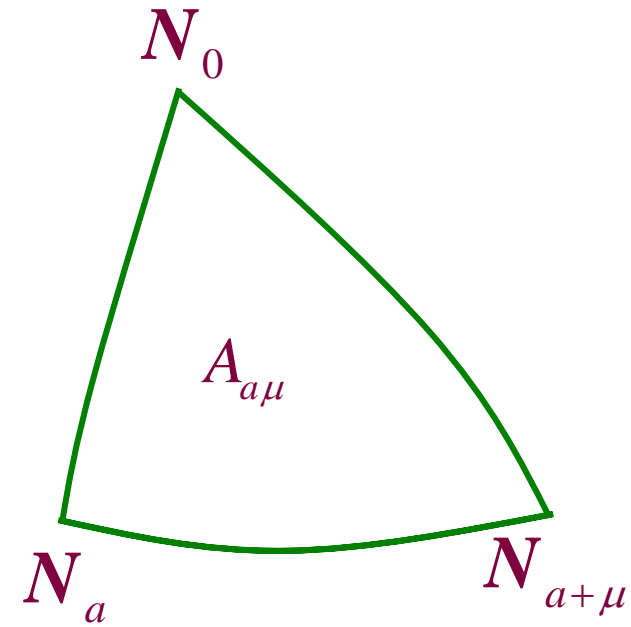
(Slightly) Technical interlude:
Quantum theory for bond order

Key ingredient: Spin Berry Phases



$A_{a\mu} \rightarrow$ oriented area of spherical triangle

formed by N_a , $N_{a+\mu}$, and an arbitrary reference point N_0



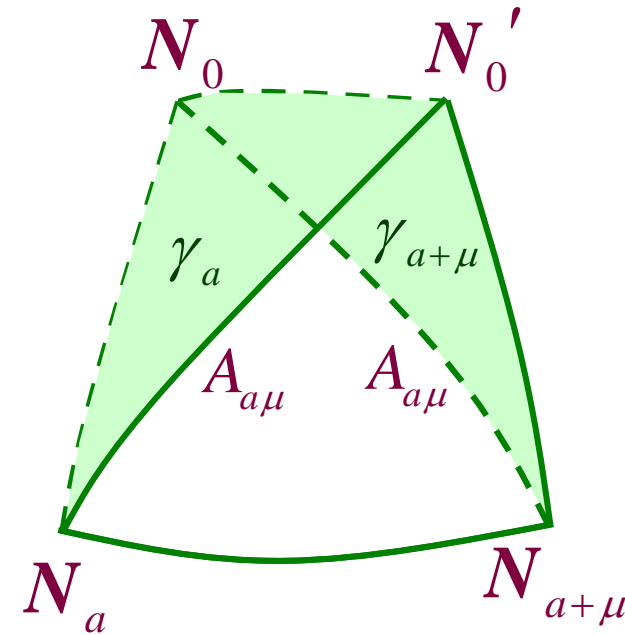
$A_{a\mu} \rightarrow$ oriented area of spherical triangle

formed by N_a , $N_{a+\mu}$, and an arbitrary reference point N_0

Change in choice of n_0 is like a “gauge transformation”

$$A_{a\mu} \rightarrow A_{a\mu} - \gamma_{a+\mu} + \gamma_a$$

(γ_a is the oriented area of the spherical triangle formed by N_a and the two choices for N_0).



The area of the triangle is uncertain modulo 4π , and the action is invariant under

$$A_{a\mu} \rightarrow A_{a\mu} + 4\pi$$

These principles strongly constrain the effective action for $A_{a\mu}$ which provides description of the paramagnetic phase

Simplest effective action for $A_{a\mu}$ fluctuations in the paramagnet

$$Z = \prod_{a,\mu} \int dA_{a\mu} \exp \left(\frac{1}{2e^2} \sum_{\square} \cos \left(\frac{1}{2} (\Delta_{\mu} A_{a\nu} - \Delta_{\nu} A_{a\mu}) \right) - \frac{i}{2} \sum_a \eta_a A_{a\tau} \right)$$

$\eta_a \rightarrow \pm 1$ on two square sublattices.

This is compact QED in $d+1$ dimensions with static charges ± 1 on two sublattices.

This theory can be reliably analyzed by a duality mapping.

$d=2$: The gauge theory is *always* in a *confining* phase and there is bond order in the ground state.

$d=3$: A deconfined phase with a gapless “photon” is possible.

N. Read and S. Sachdev, *Phys. Rev. Lett.* **62**, 1694 (1989).

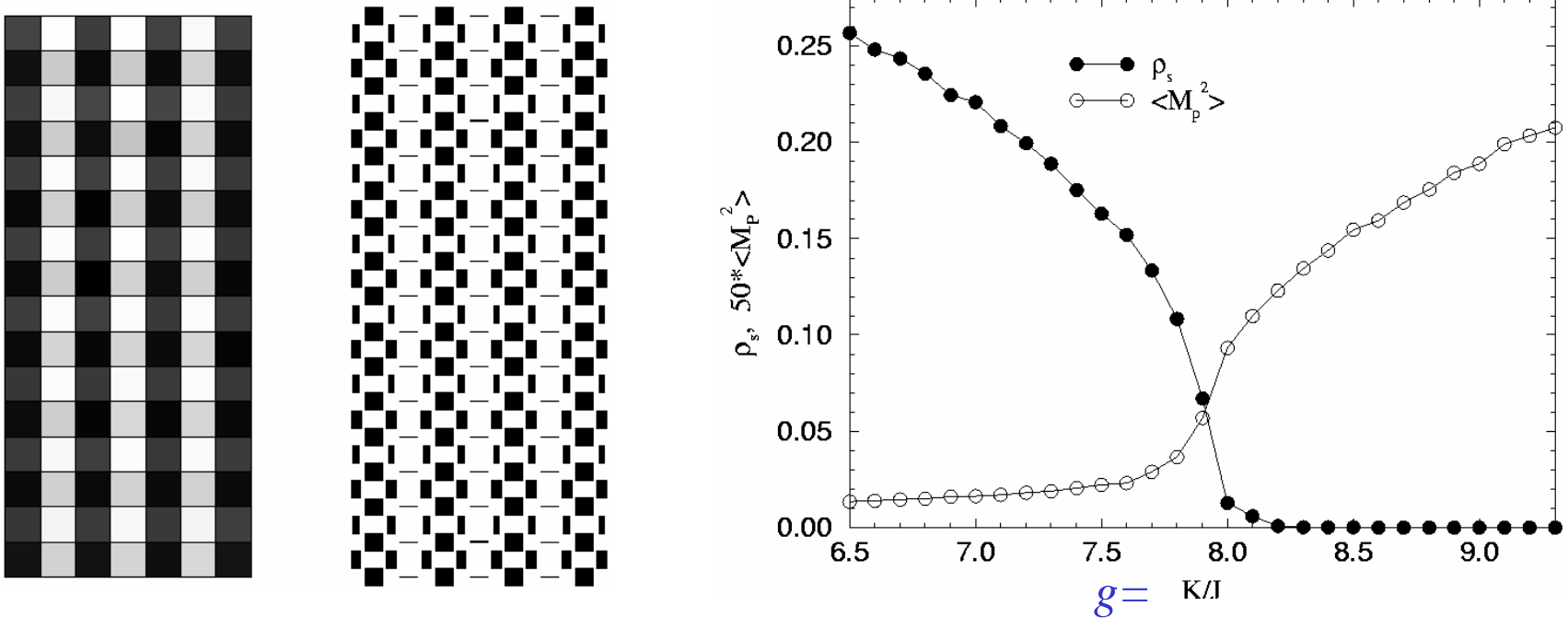
S. Sachdev and R. Jalabert, *Mod. Phys. Lett. B* **4**, 1043 (1990).

K. Park and S. Sachdev, *Phys. Rev. B* **65**, 220405 (2002).

Bond order in a frustrated $S=1/2$ XY magnet

A. W. Sandvik, S. Daul, R. R. P. Singh, and D. J. Scalapino, *Phys. Rev. Lett.* **89**, 247201 (2002)

First *large scale* numerical study of the destruction of Neel order in a $S=1/2$ antiferromagnet with full square lattice symmetry



$$H = 2J \sum_{\langle ij \rangle} (S_i^x S_j^x + S_i^y S_j^y) - K \sum_{\langle ijkl \rangle \square} (S_i^+ S_j^- S_k^+ S_l^- + S_i^- S_j^+ S_k^- S_l^+)$$

See also C. H. Chung, Hae-Young Kee, and Yong Baek Kim, cond-mat/0211299.

Experiments on the superconductor revealing
order inherited from the Mott insulator

Competing order parameters in the cuprate superconductors

1. Pairing order of BCS theory (SC)

(Bose-Einstein) condensation of d -wave Cooper pairs

Orders associated with proximate Mott insulator

2. Collinear magnetic order (CM)

3. Bond order

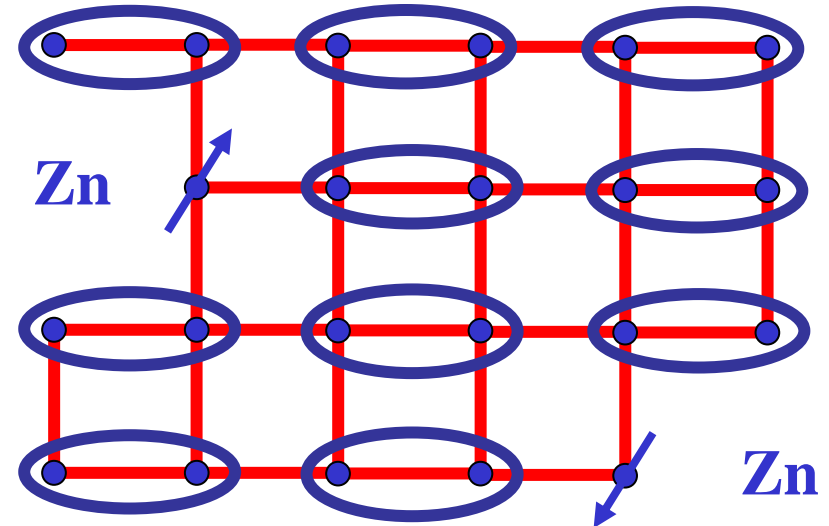
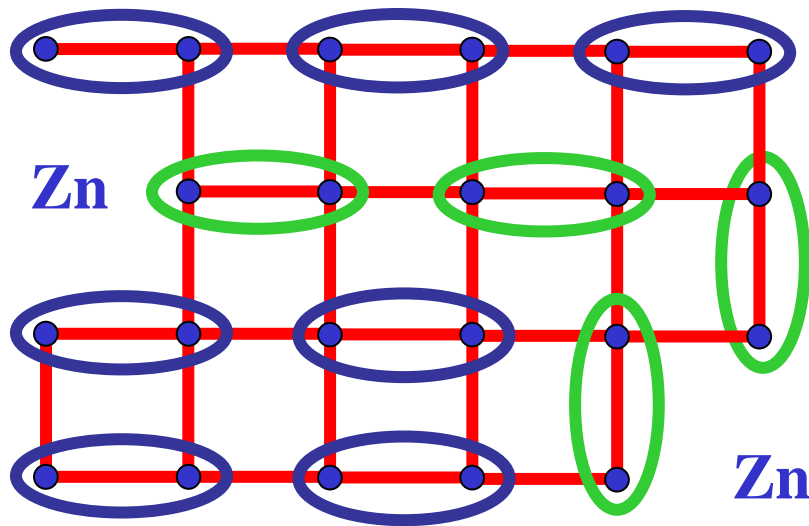
S. Sachdev and N. Read, *Int. J. Mod. Phys. B* **5**, 219 (1991).

M. Vojta and S. Sachdev, *Phys. Rev. Lett.* **83**, 3916 (1999);

M. Vojta, Y. Zhang, and S. Sachdev, *Phys. Rev. B* **62**, 6721 (2000);

M. Vojta, *Phys. Rev. B* **66**, 104505 (2002).

Effect of static non-magnetic impurities (Zn or Li)



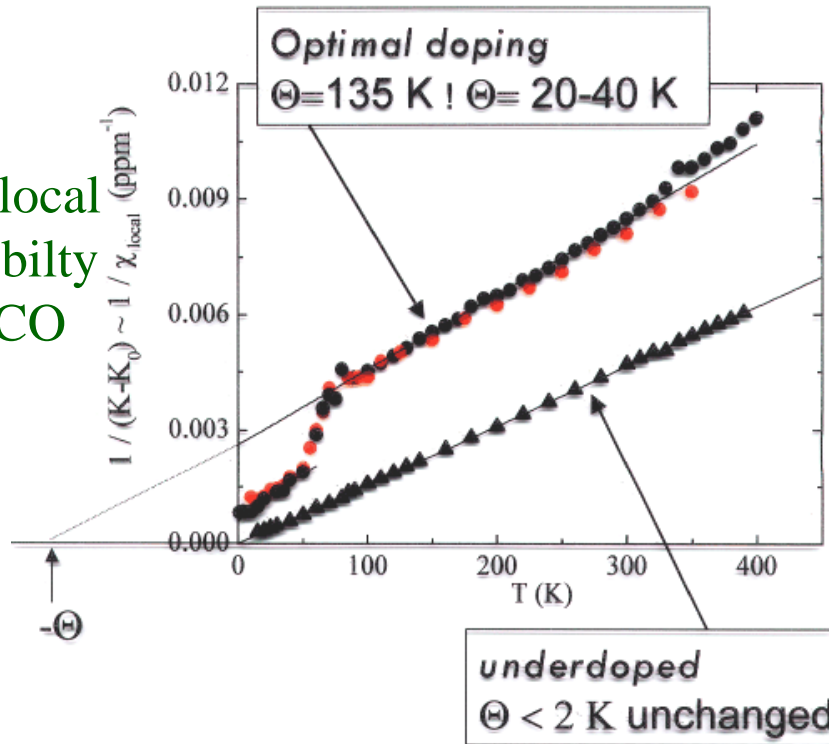
Spinon confinement implies that free $S=1/2$ moments form near each impurity

$$\chi_{\text{impurity}}(T \rightarrow 0) = \frac{S(S+1)}{3k_B T}$$

Spatially resolved NMR of Zn/Li impurities in the superconducting state

^7Li NMR below T_c

J. Bobroff, H. Alloul, W.A. MacFarlane, P. Mendels, N. Blanchard, G. Collin, and J.-F. Marucco, *Phys. Rev. Lett.* **86**, 4116 (2001).

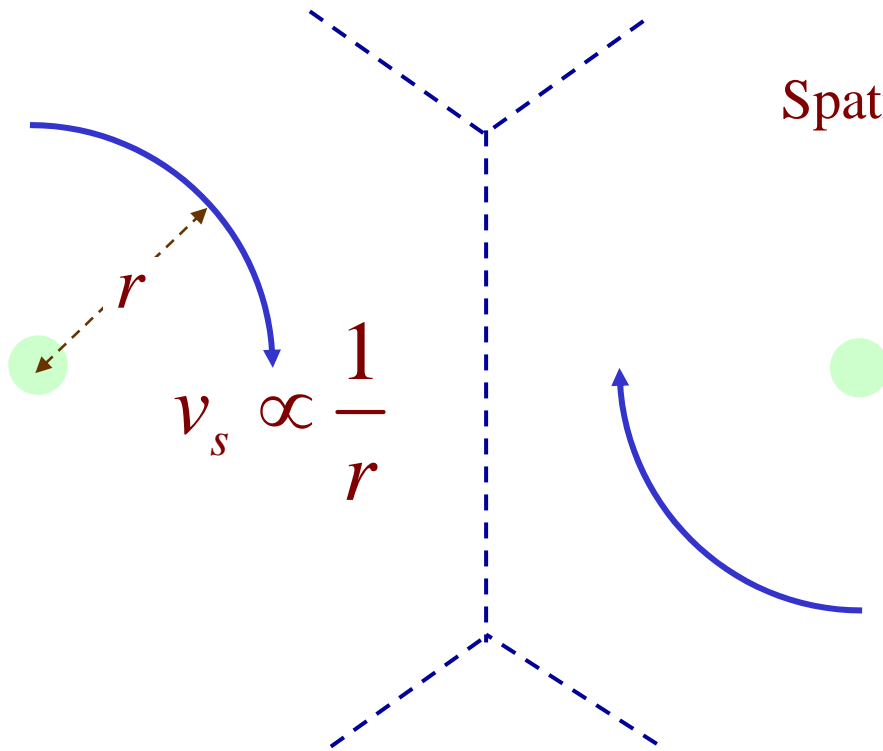


Inverse local susceptibility in YBCO

Measured $\chi_{\text{impurity}}(T \rightarrow 0) = \frac{S(S+1)}{3k_B T}$ with $S = 1/2$ in underdoped sample.

This behavior does not emerge out of BCS theory.

Phase diagram of superconducting (SC) and magnetic (CM) order in a magnetic field



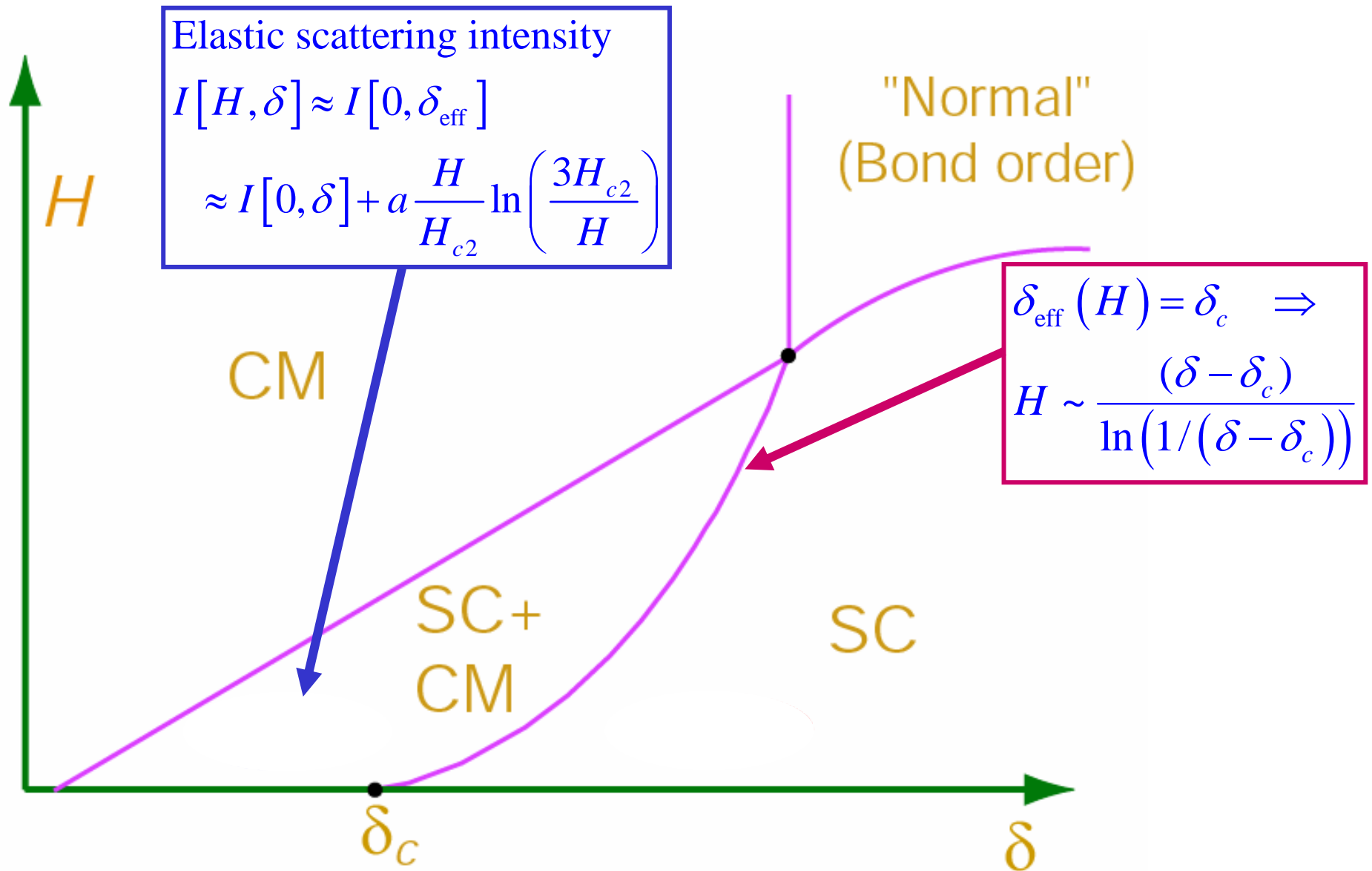
Spatially averaged superflow kinetic energy

$$\langle v_s^2 \rangle \propto \frac{H}{H_{c2}} \ln \frac{3H_{c2}}{H}$$

The suppression of SC order appears to the CM order as an effective "doping" δ :

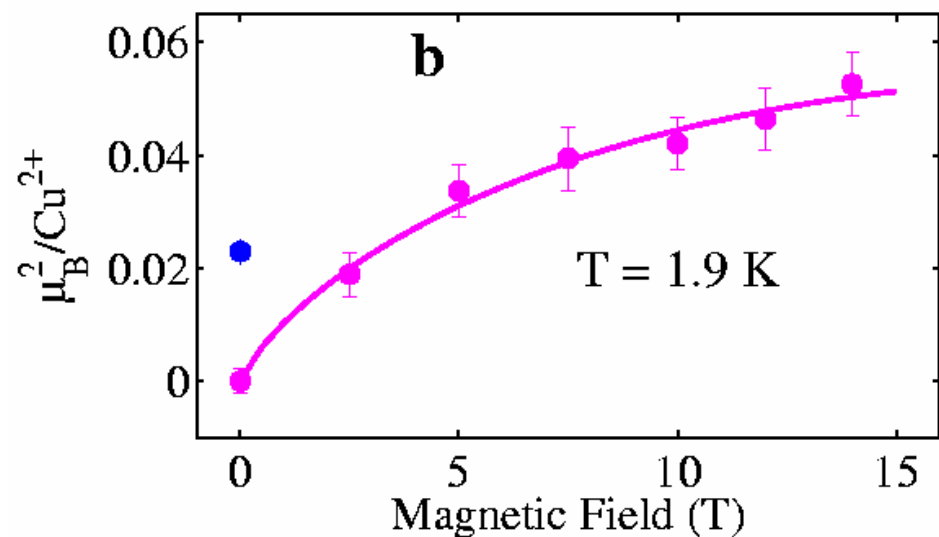
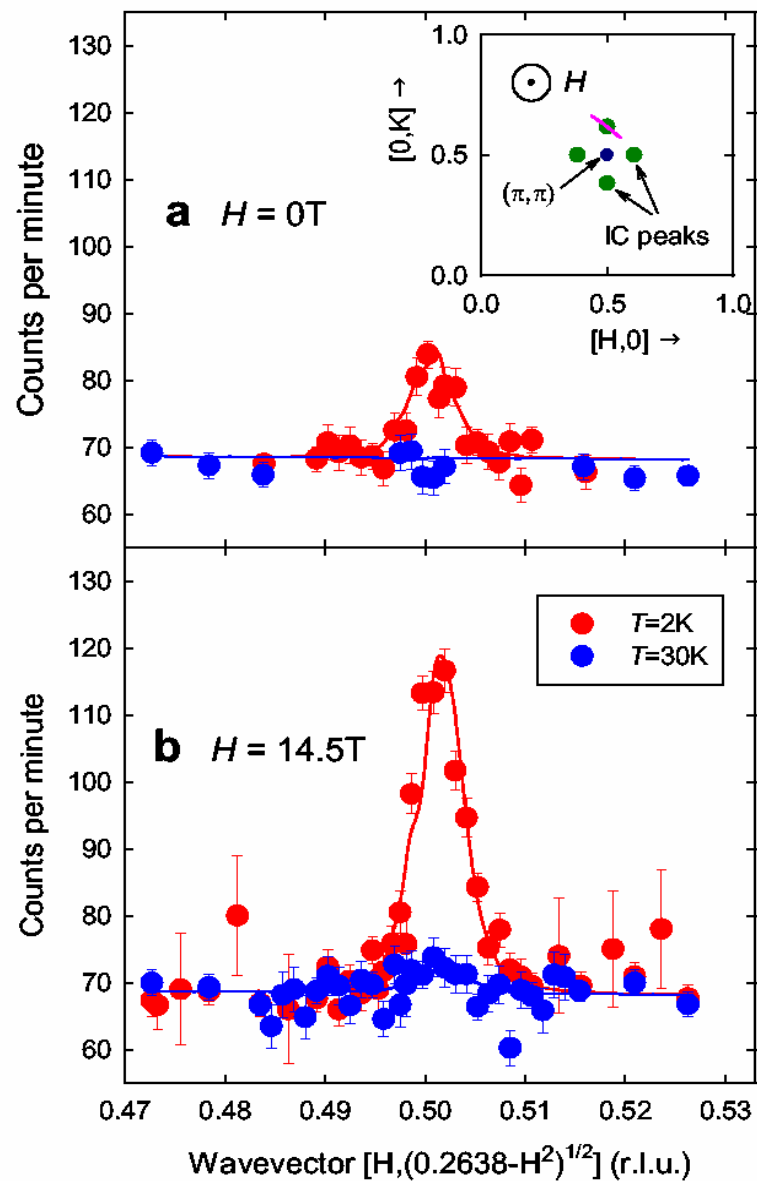
$$\delta_{\text{eff}}(H) = \delta - C \frac{H}{H_{c2}} \ln \left(\frac{3H_{c2}}{H} \right)$$

Phase diagram of a superconductor in a magnetic field



Neutron scattering of $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ at $x=0.1$

B. Lake, H. M. Rønnow, N. B. Christensen, G. Aeppli, K. Lefmann, D. F. McMorrow, P. Vorderwisch, P. Smeibidl, N. Mangkorntong, T. Sasagawa, M. Nohara, H. Takagi, T. E. Mason, *Nature*, **415**, 299 (2002).



Solid line - fit to : $I(H) = a \frac{H}{H_{c2}} \ln \left(\frac{H_{c2}}{H} \right)$

See also S. Katano, M. Sato, K. Yamada, T. Suzuki, and T. Fukase, *Phys. Rev. B* **62**, R14677 (2000).

Neutron scattering measurements of static spin correlations of the superconductor+spin-density-wave (SC+CM) in a magnetic field

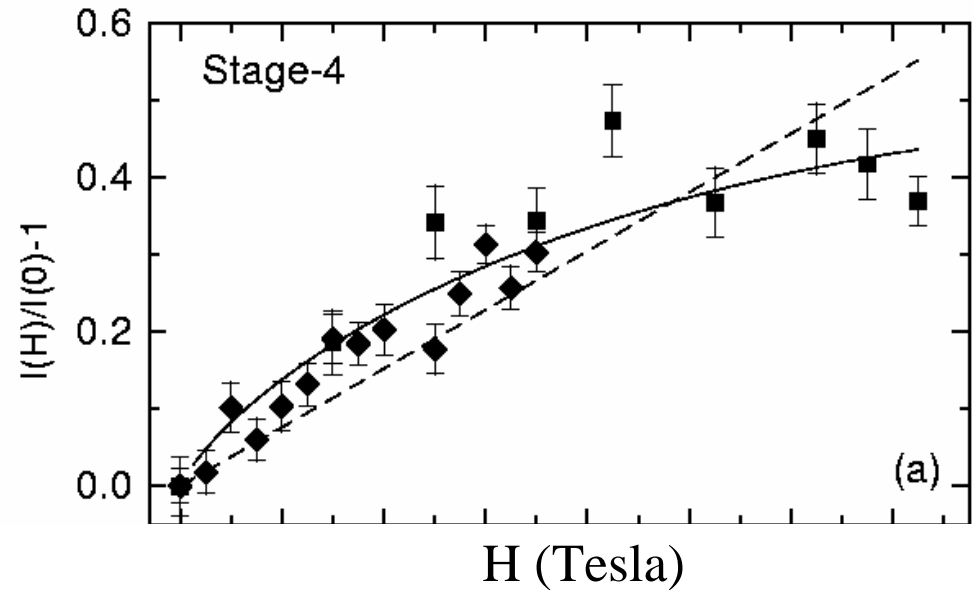
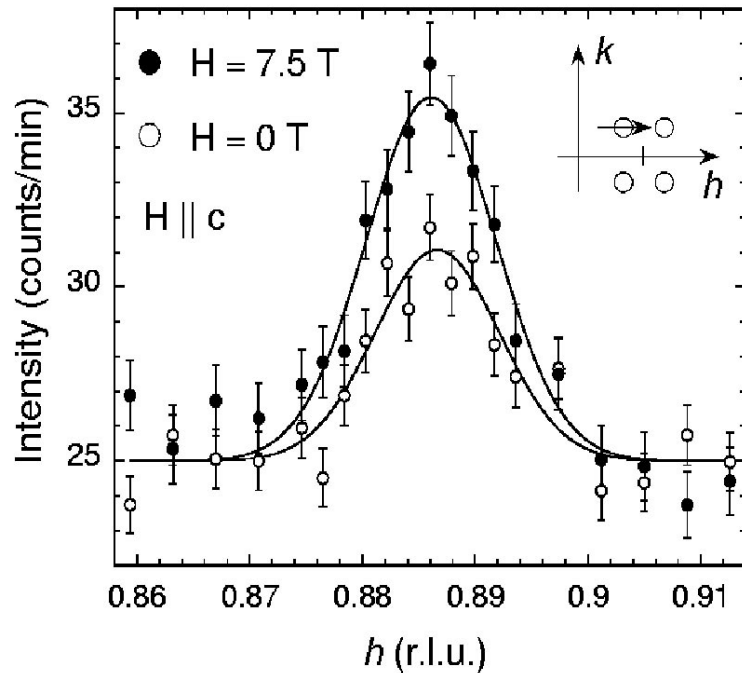
Elastic neutron scattering off $\text{La}_2\text{CuO}_{4+y}$

B. Khaykovich, Y. S. Lee, S. Wakimoto,

K. J. Thomas, M. A. Kastner,

and R.J. Birgeneau, *Phys. Rev. B* **66**,

014528 (2002).

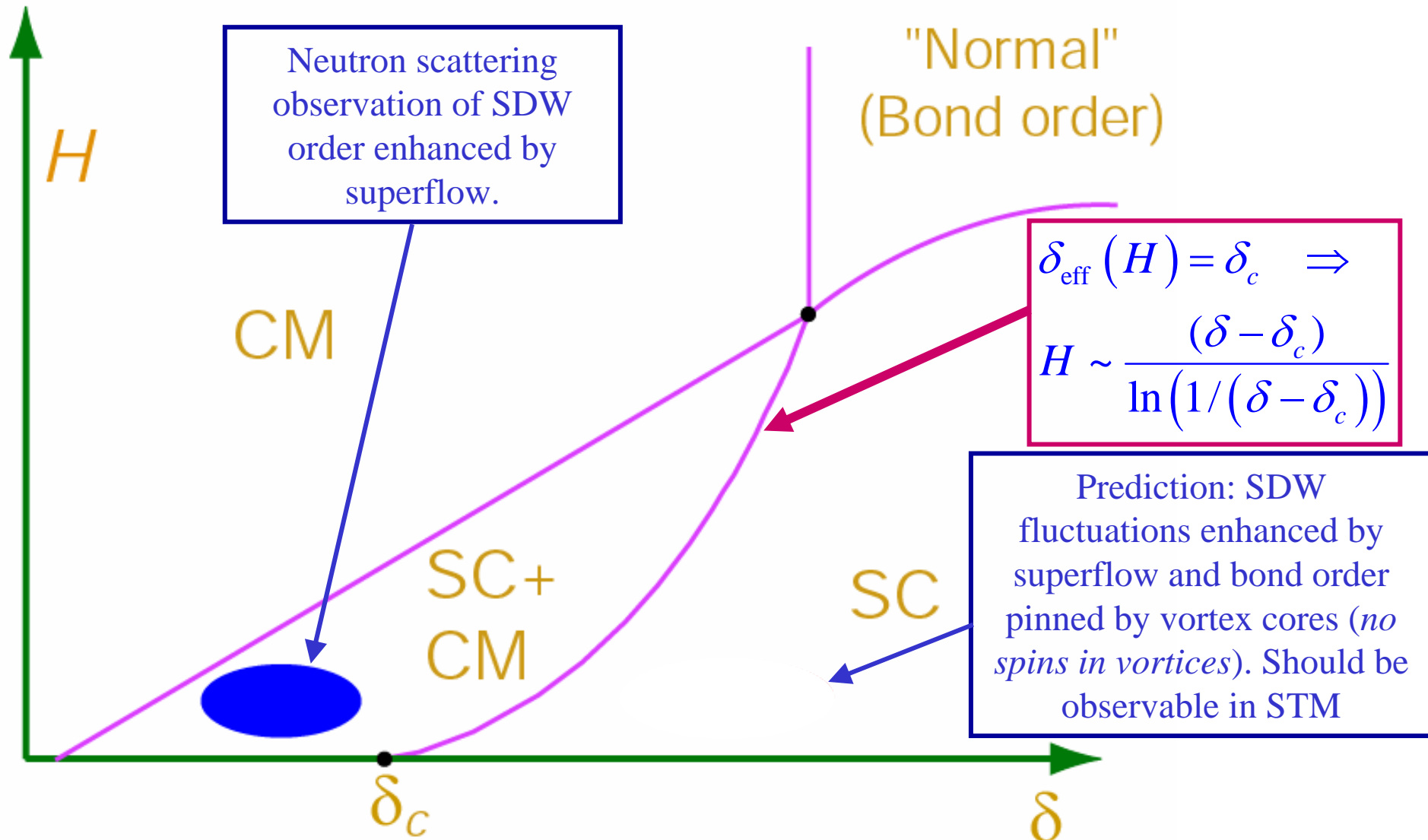


Solid line --- fit to :
$$\frac{I(H)}{I(0)} = 1 + a \frac{H}{H_{c2}} \ln \left(\frac{3.0H_{c2}}{H} \right)$$

a is the only fitting parameter

Best fit value - $a = 2.4$ with $H_{c2} = 60 \text{ T}$

Phase diagram of a superconductor in a magnetic field

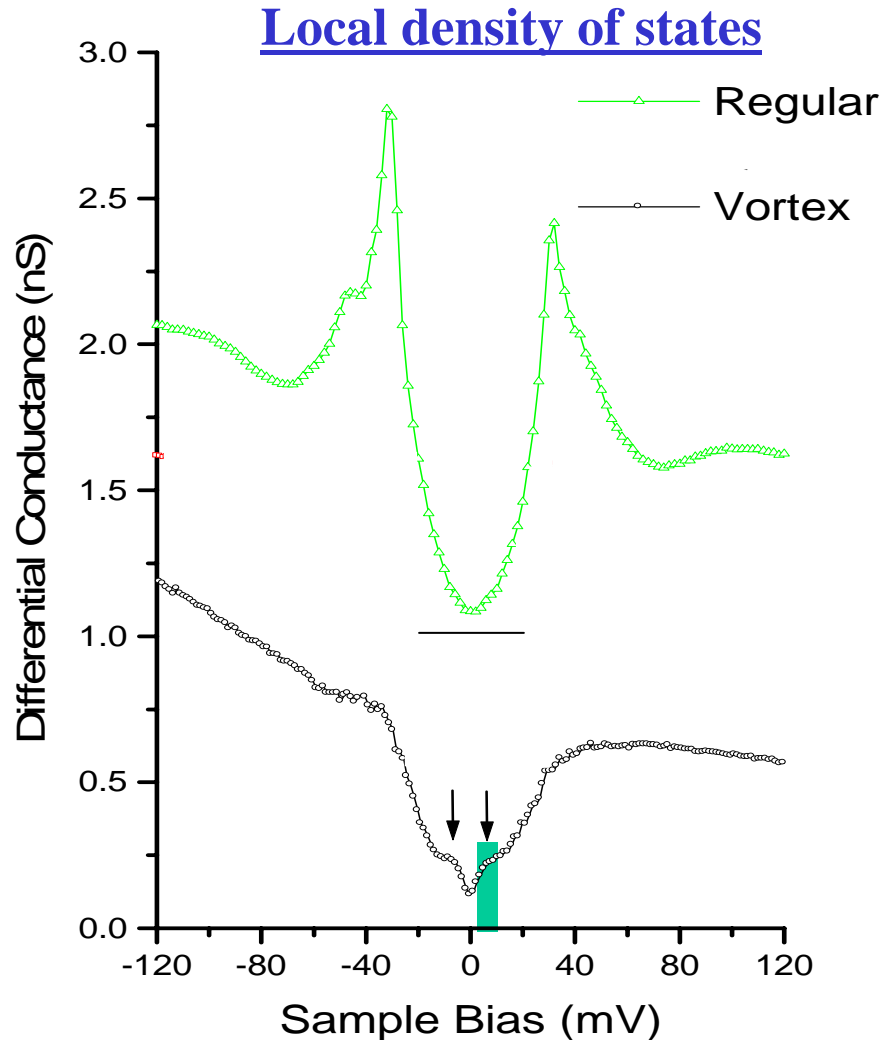


K. Park and S. Sachdev *Physical Review B* **64**, 184510 (2001);

E. Demler, S. Sachdev, and Ying Zhang, *Phys. Rev. Lett.* **87**, 067202 (2001);
 Y. Zhang, E. Demler and S. Sachdev, *Physical Review B* **66**, 094501 (2002).

STM around vortices induced by a magnetic field in the superconducting state

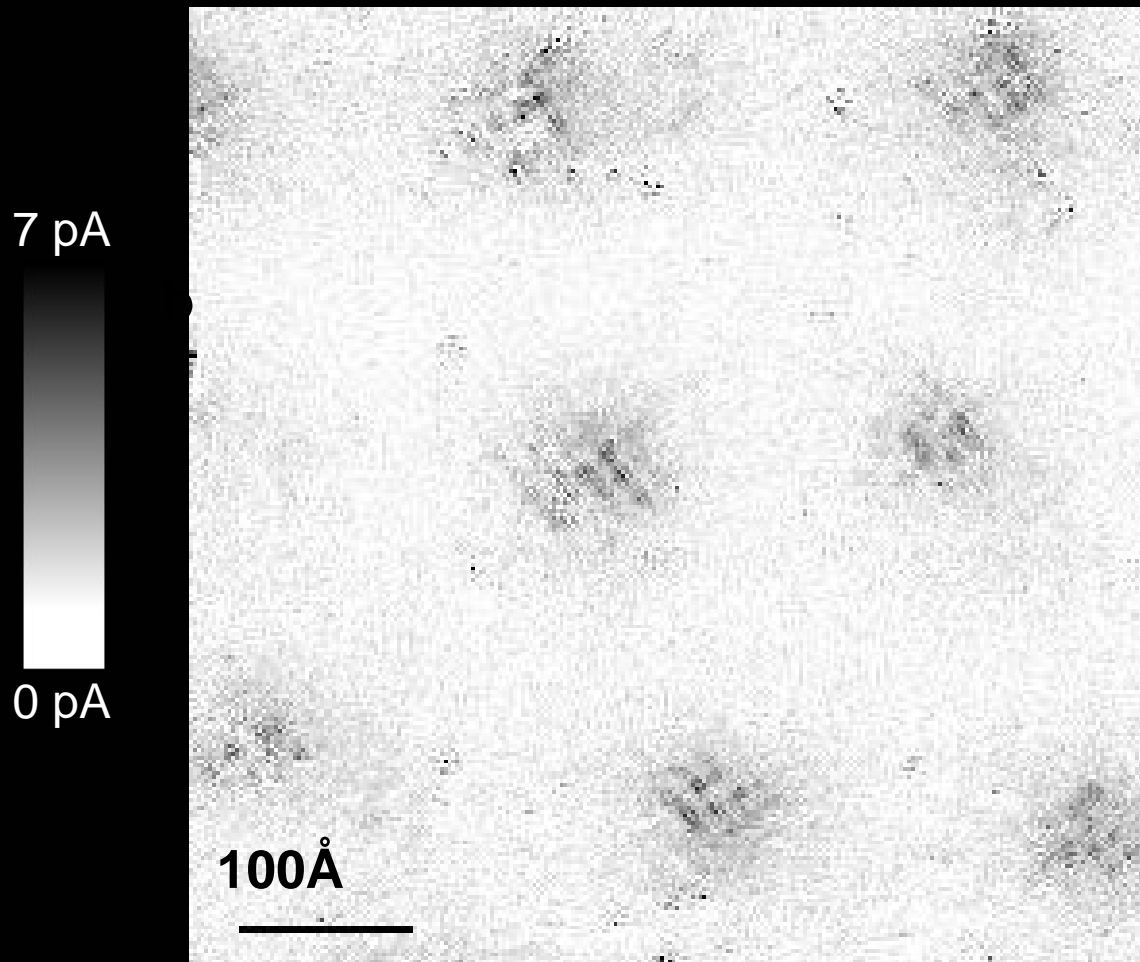
J. E. Hoffman, E. W. Hudson, K. M. Lang, V. Madhavan, S. H. Pan,
H. Eisaki, S. Uchida, and J. C. Davis, *Science* **295**, 466 (2002).



1Å spatial resolution
image of integrated
LDOS of
 $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+\delta}$
(1meV to 12 meV)
at B=5 Tesla.

S.H. Pan *et al.* *Phys. Rev. Lett.* **85**, 1536 (2000).

Vortex-induced LDOS of $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+\delta}$ integrated from 1meV to 12meV



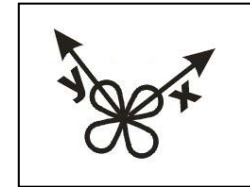
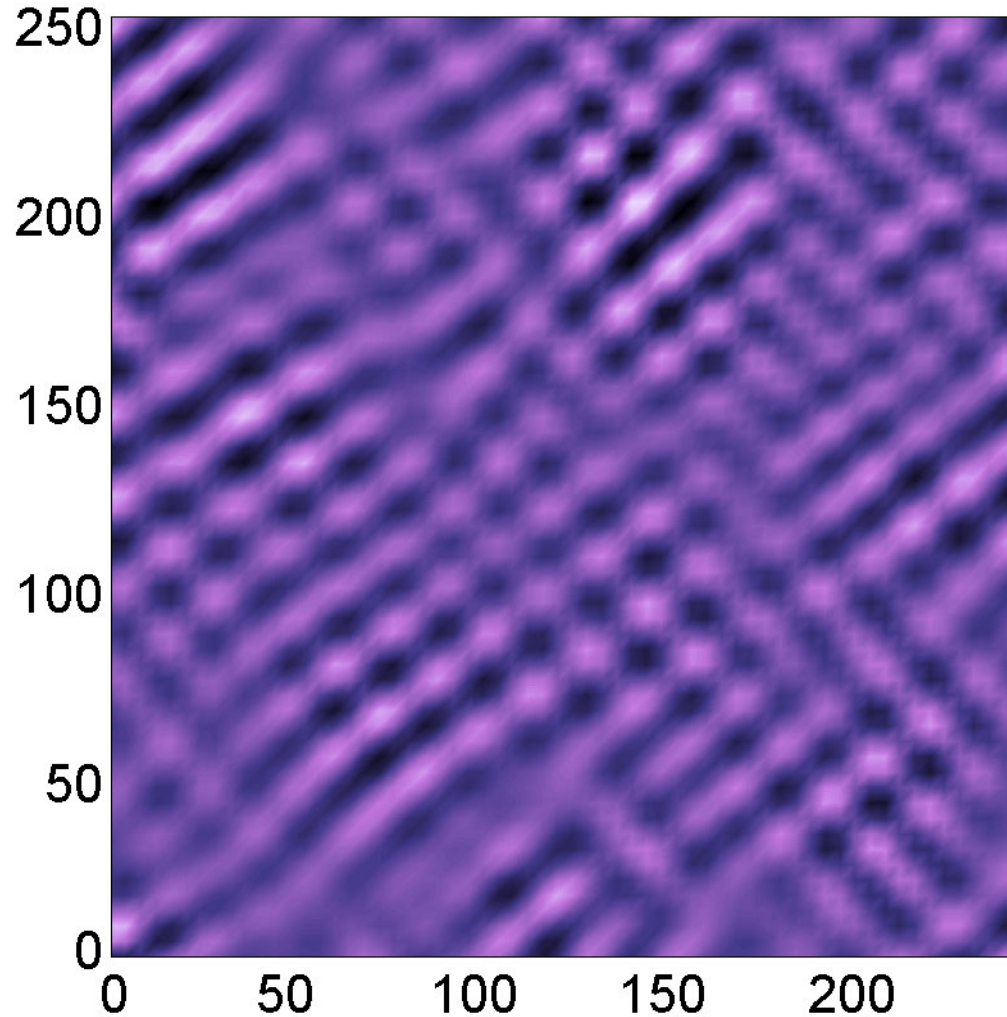
Our interpretation:
LDOS modulations are
signals of bond order of
period 4 revealed in
vortex halo

See also:

S. A. Kivelson, E. Fradkin,
V. Oganesyan, I. P. Bindloss,
J. M. Tranquada,
A. Kapitulnik, and
C. Howald,
[cond-mat/0210683](https://arxiv.org/abs/cond-mat/0210683).

J. Hoffman E. W. Hudson, K. M. Lang,
V. Madhavan, S. H. Pan, H. Eisaki, S. Uchida,
and J. C. Davis, *Science* 295, 466 (2002).

III. STM image of LDOS modulations in $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+\delta}$ in zero magnetic field



C. Howald, H. Eisaki, N. Kaneko, M. Greven, and A. Kapitulnik,
Phys. Rev. B **67**, 014533 (2003).

Conclusions

- I. Cuprate superconductivity is associated with doping Mott insulators with charge carriers.
- II. Order parameters characterizing the Mott insulator compete with the order associated with the Bose-Einstein condensation of Cooper pairs.
- III. Classification of Mott insulators shows that the appropriate order parameters are collinear magnetism and bond order.
- IV. Theory of quantum phase transitions provides semi-quantitative predictions for neutron scattering measurements of spin-density-wave order in superconductors; theory also proposes a connection to STM experiments.