

Quantum criticality beyond the Landau-Ginzburg-Wilson paradigm

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Phys. Rev. Lett. **90**, 216403 (2003).

Science **303**, 1490 (2004).

cond-mat/0408xxx

Talk online: Google Sachdev



SDW

$$T=0$$

$$\langle \mathbf{S}_j \rangle = N_1 \cos(\vec{K} \cdot \vec{r}_j) + N_2 \sin(\vec{K} \cdot \vec{r}_j)$$

$$\langle \mathbf{S}_j \rangle = 0$$

Collinear spins: $N_1 \times N_2 = 0$

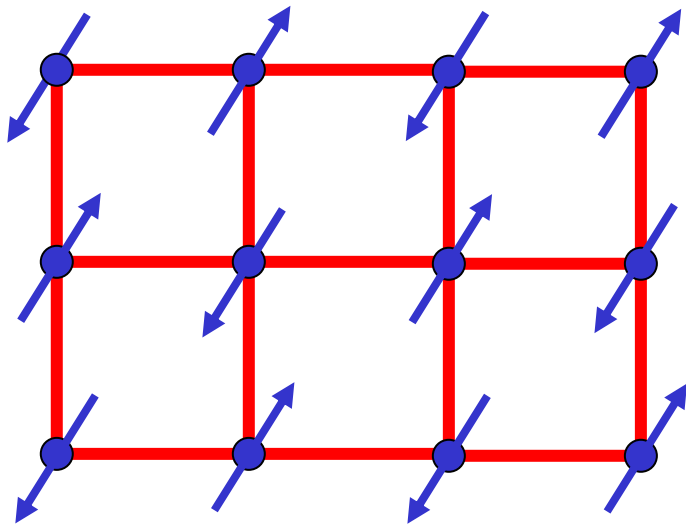
Non-collinear spins: $N_1 \times N_2 \neq 0$

Pressure,
carrier concentration,...

Quantum critical point

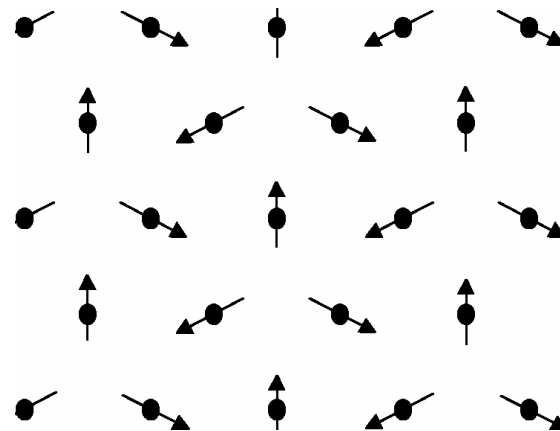
States on both sides of critical point
could be either (A) Insulators
(B) Metals
(C) Superconductors

SDWs in Mott insulators



$$\vec{K} = (\pi, \pi)$$

Collinear spins



$$\vec{K} = \left(4\pi/3, 4\pi/\sqrt{3}\right)$$

Non-collinear spins

“Disorder” the spins by enhancing quantum fluctuations in a variety of ways.....

Outline

A. “Dimerized” Mott insulators

Landau-Ginzburg-Wilson (LGW) theory.

B. Kondo lattice models

“Large” Fermi surfaces and the LGW SDW paramagnon theory.

C. Fractionalized Fermi liquids

Spin liquids and Fermi volume changing transitions with a topological order parameter.

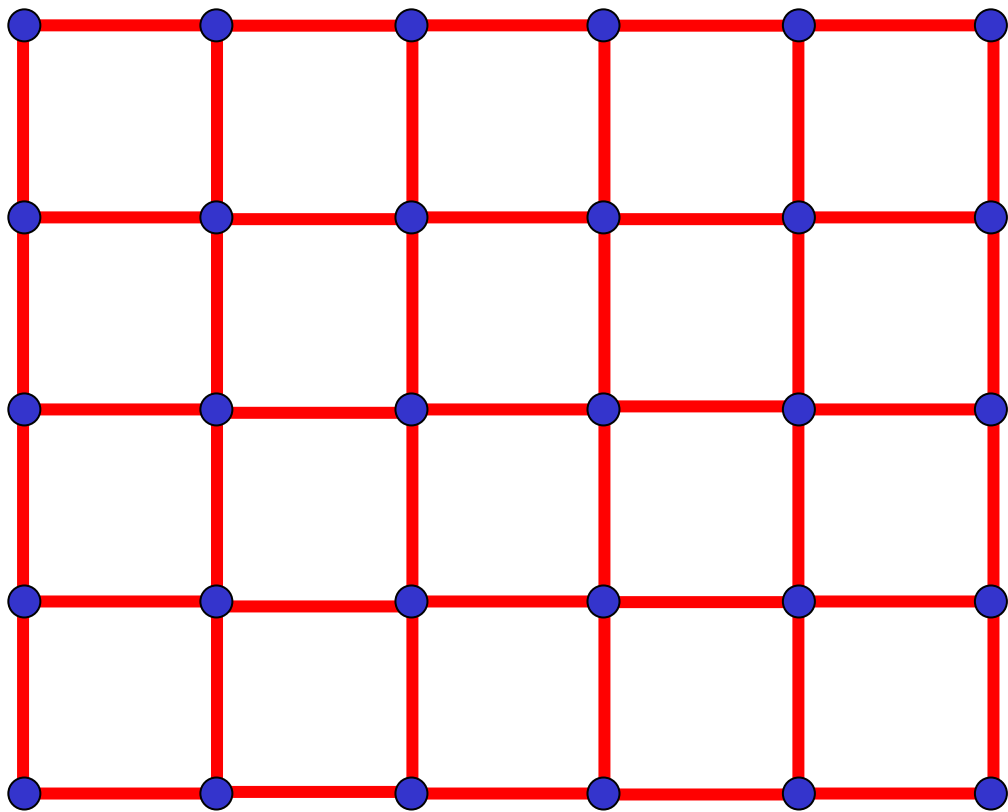
D. Multiple order parameters

LGW forbidden transitions

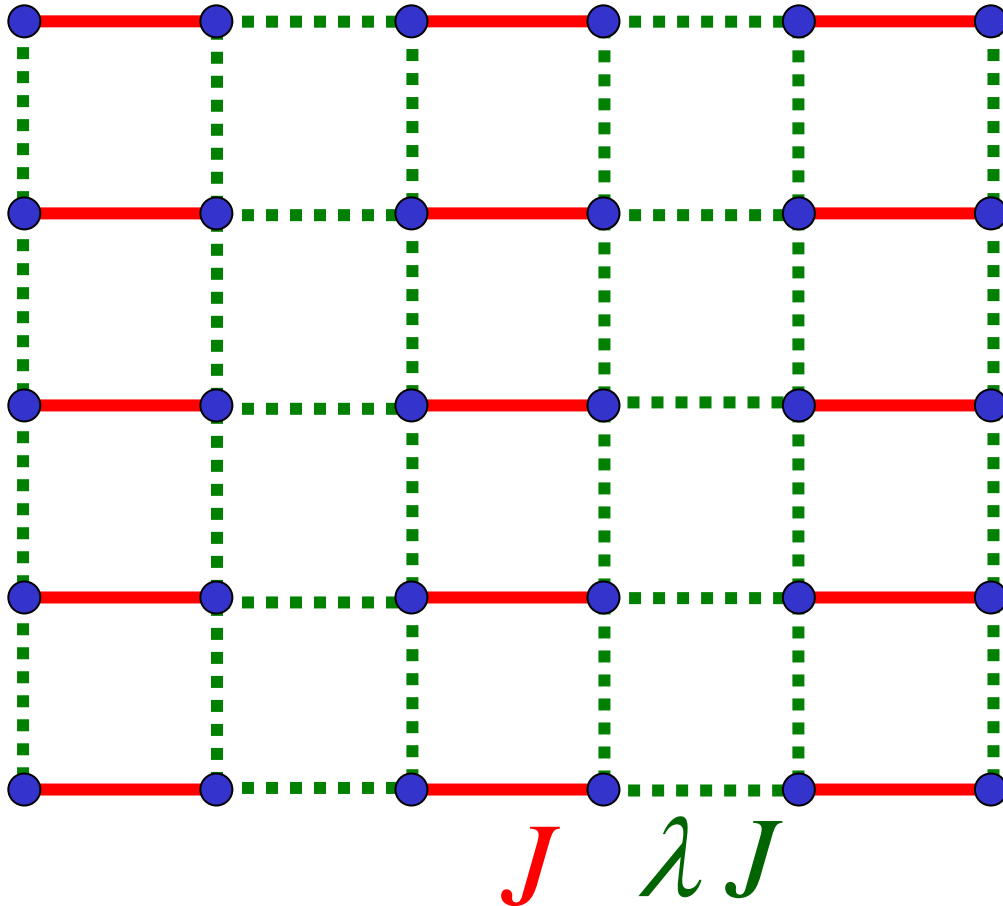
(A) Magnetic quantum phase transitions in
“dimerized” Mott insulators

Landau-Ginzburg-Wilson (LGW) theory:

*Second-order phase transitions described by
fluctuations of an **order parameter**
associated with a **broken symmetry***



Coupled Dimer Antiferromagnet



$$H = \sum_{\langle ij \rangle} J_{ij} \vec{S}_i \cdot \vec{S}_j$$

$$0 \leq \lambda \leq 1$$

M. P. Gelfand, R. R. P. Singh, and D. A. Huse, *Phys. Rev. B* **40**, 10801-10809 (1989).

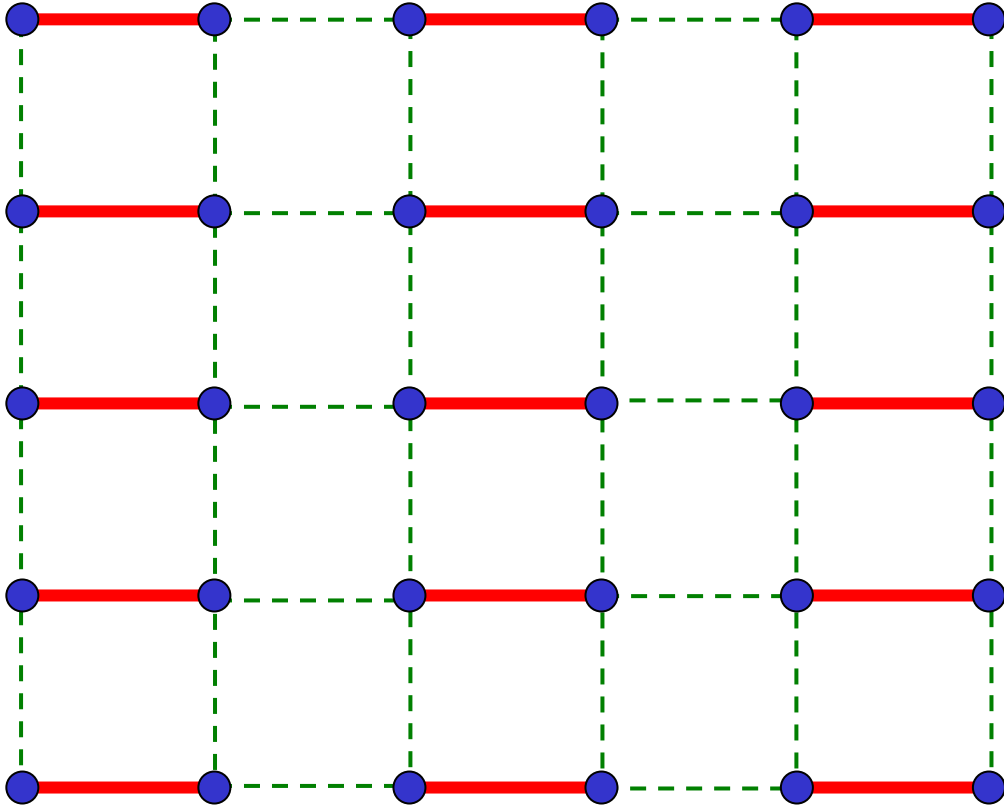
N. Katoh and M. Imada, *J. Phys. Soc. Jpn.* **63**, 4529 (1994).

J. Tworzydło, O. Y. Osman, C. N. A. van Duin, J. Zaanen, *Phys. Rev. B* **59**, 115 (1999).

M. Matsumoto, C. Yasuda, S. Todo, and H. Takayama, *Phys. Rev. B* **65**, 014407 (2002).

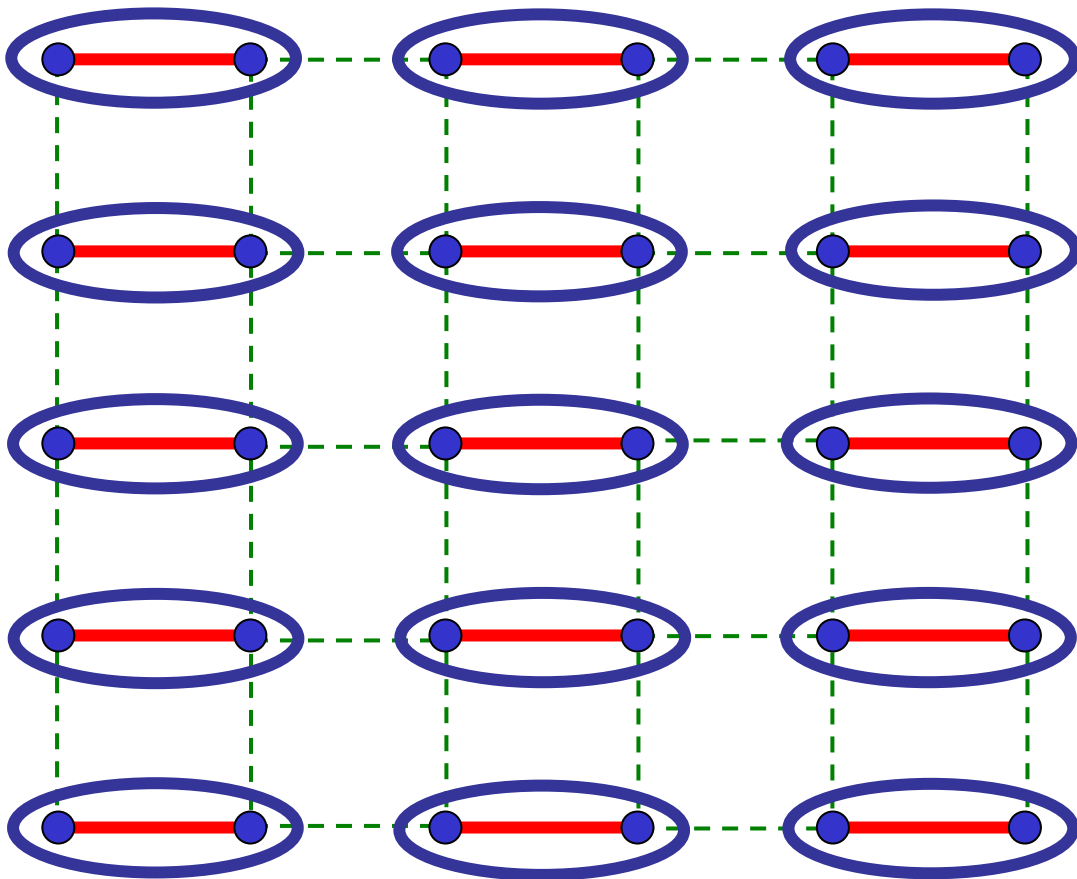
λ close to 0

Weakly coupled dimers



λ close to 0

Weakly coupled dimers



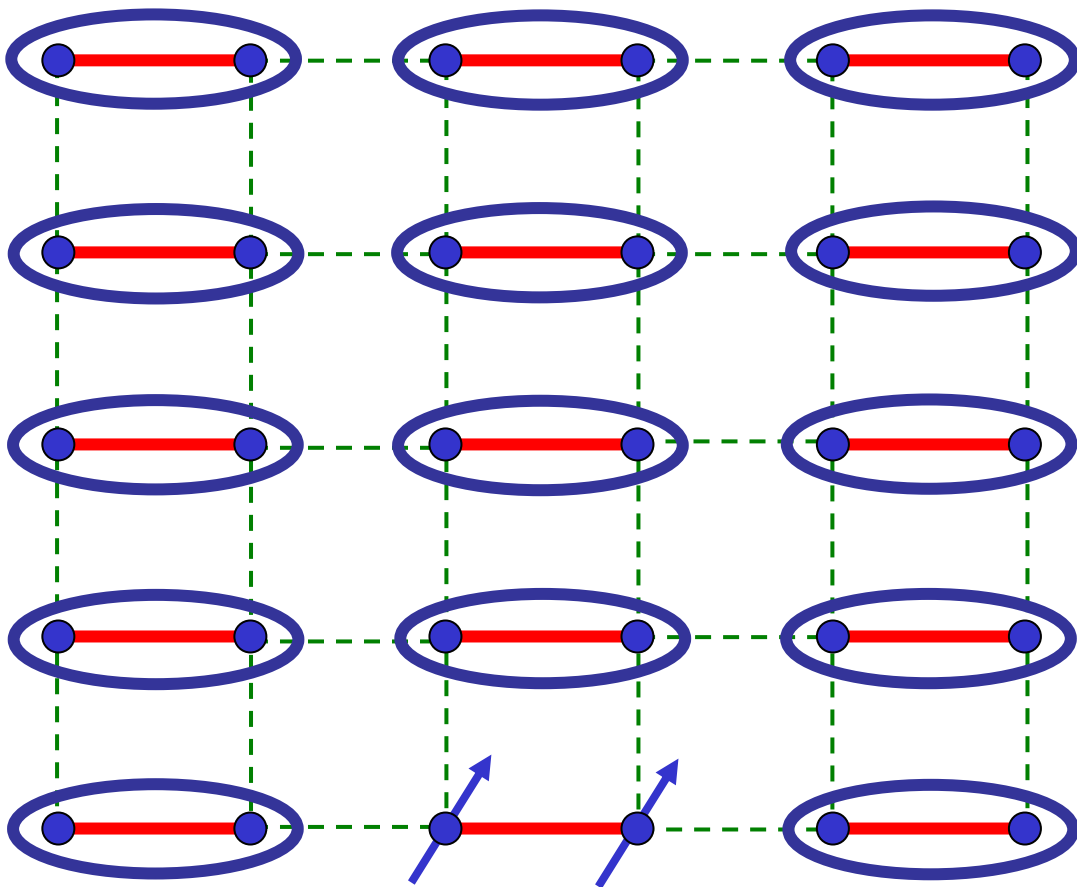
$$\text{dimer} = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

Paramagnetic ground state

$$\langle \vec{S}_i \rangle = 0, \quad \langle \vec{\phi} \rangle = 0$$

λ close to 0

Weakly coupled dimers

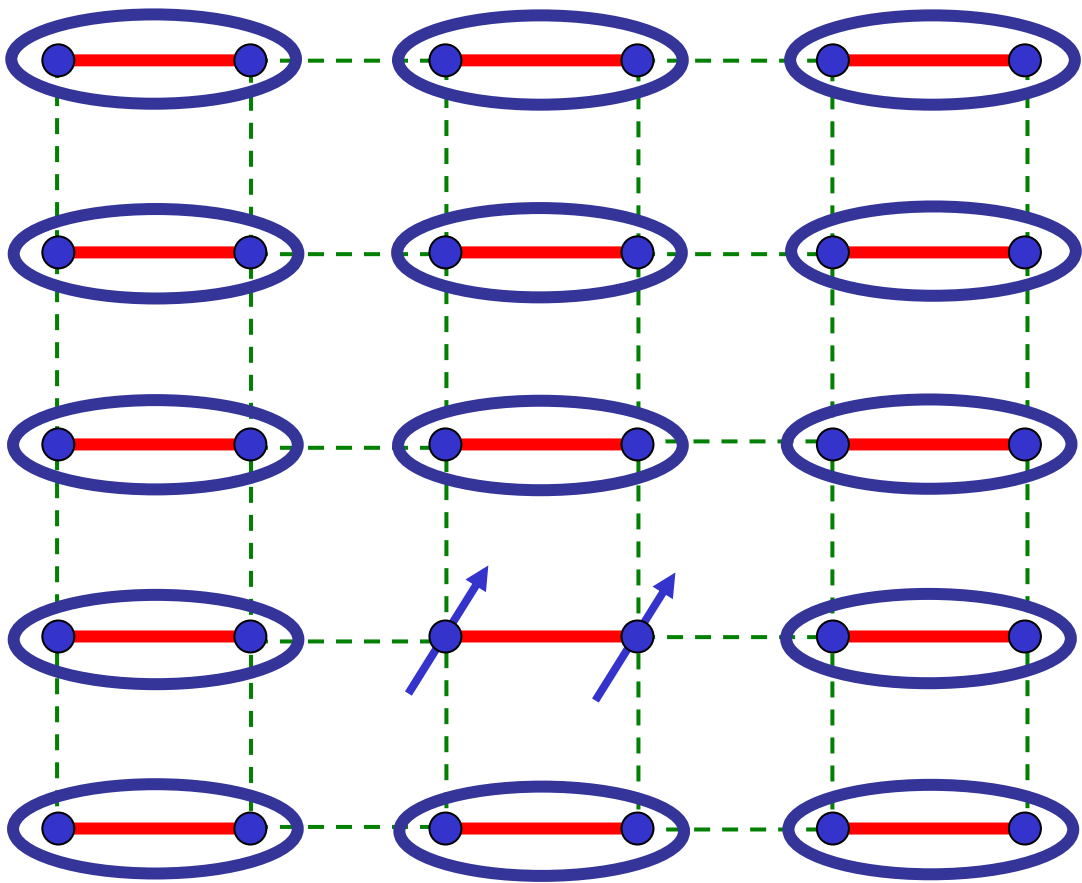


$$\text{dimer} = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

Excitation: $S=1$ *triplon*

λ close to 0

Weakly coupled dimers

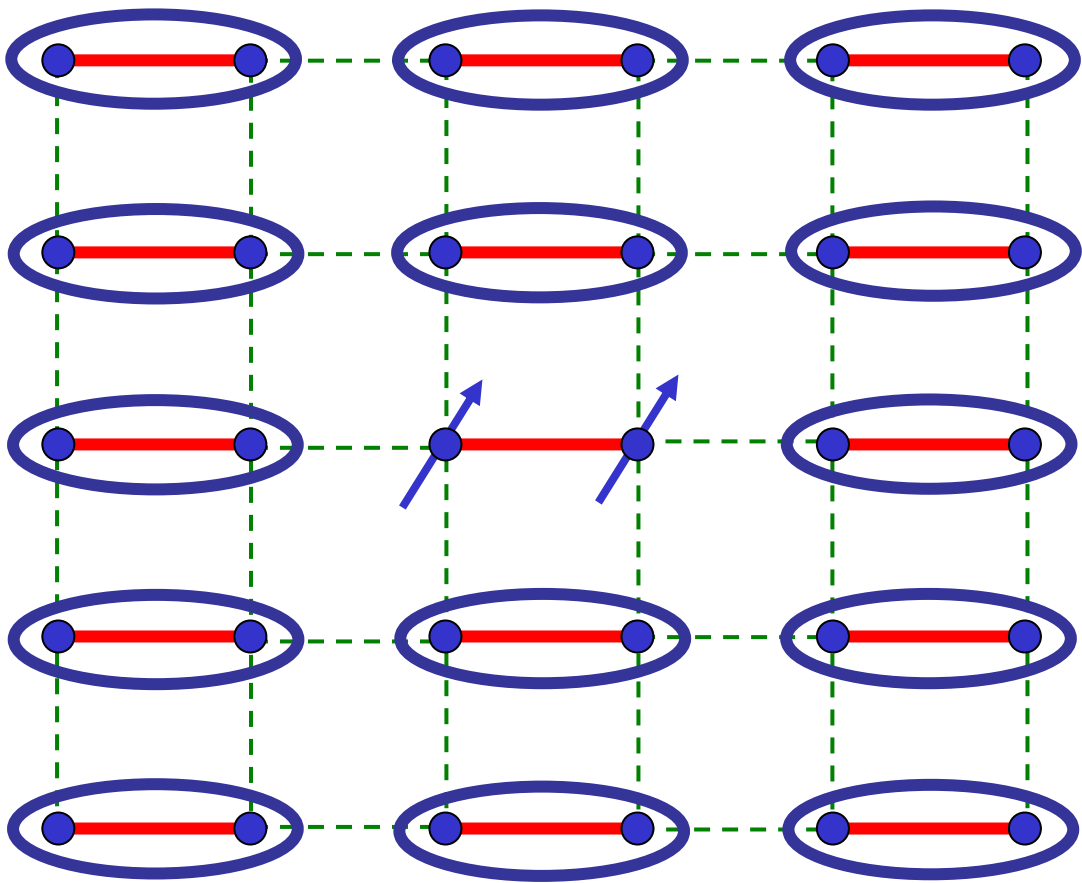


$$\text{dimer} = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

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Weakly coupled dimers

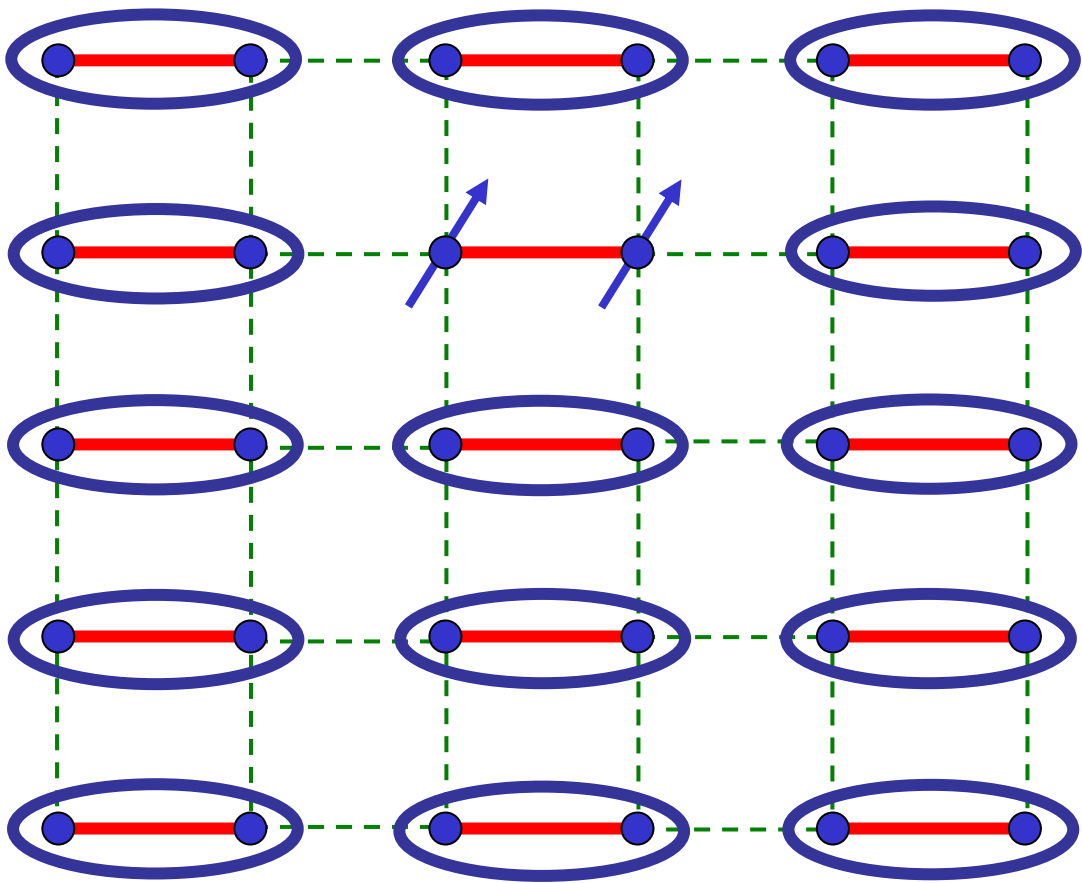


$$\text{dimer} = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

Excitation: $S=1$ *triplon*

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Weakly coupled dimers

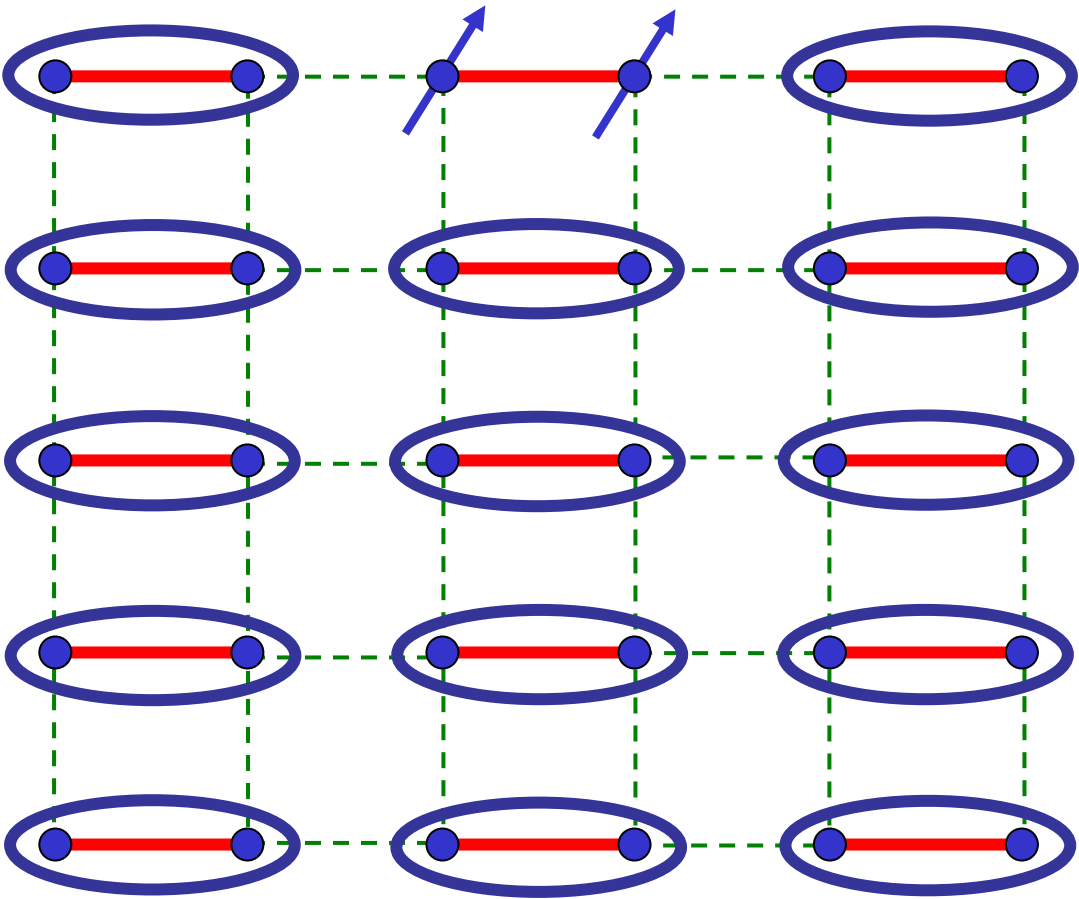


$$\text{dimer} = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

Excitation: $S=1$ *triplon*

λ close to 0

Weakly coupled dimers

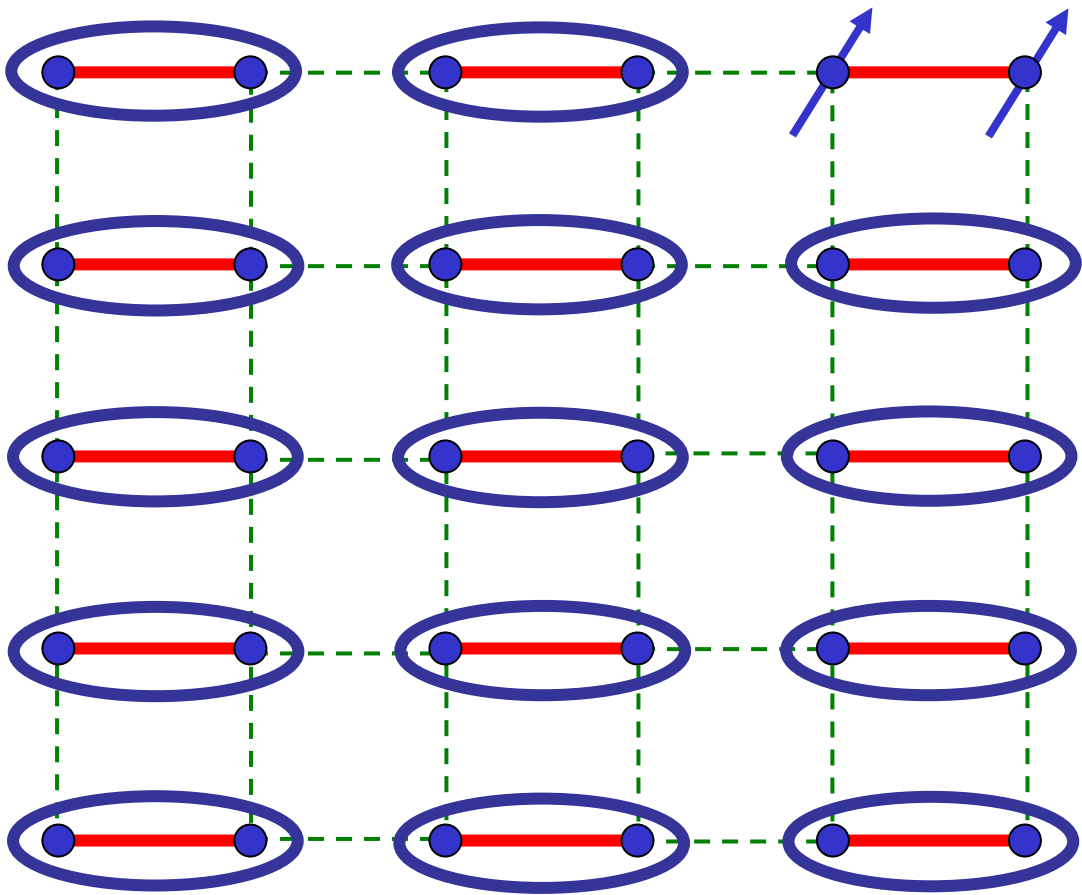


$$\text{Dimer} = \frac{1}{\sqrt{2}} \left(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle \right)$$

Excitation: $S=1$ *triplon*

λ close to 0

Weakly coupled dimers



$$\text{dimer} = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

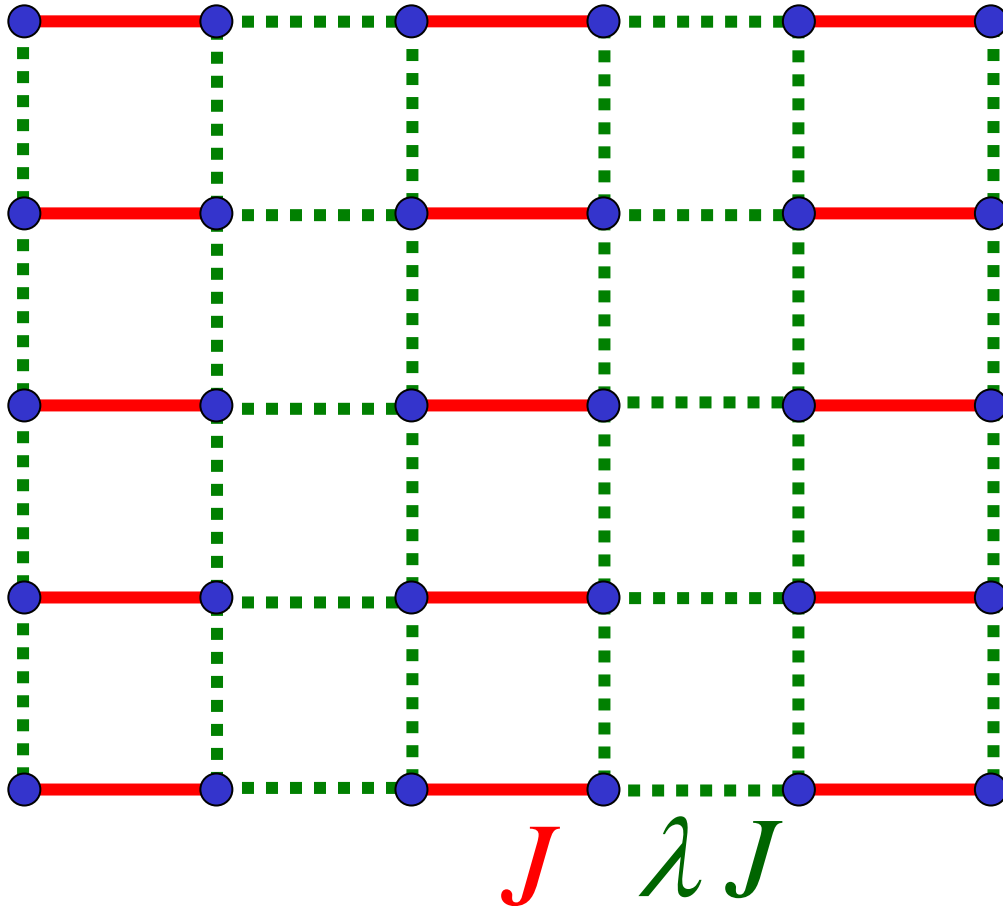
Excitation: $S=1$ *triplon*
(*exciton*, spin collective mode)

Energy dispersion away from
antiferromagnetic wavevector

$$\varepsilon_p = \Delta + \frac{c_x^2 p_x^2 + c_y^2 p_y^2}{2\Delta}$$

$\Delta \rightarrow$ spin gap

Coupled Dimer Antiferromagnet



$$H = \sum_{\langle ij \rangle} J_{ij} \vec{S}_i \cdot \vec{S}_j$$

$$0 \leq \lambda \leq 1$$

M. P. Gelfand, R. R. P. Singh, and D. A. Huse, *Phys. Rev. B* **40**, 10801-10809 (1989).

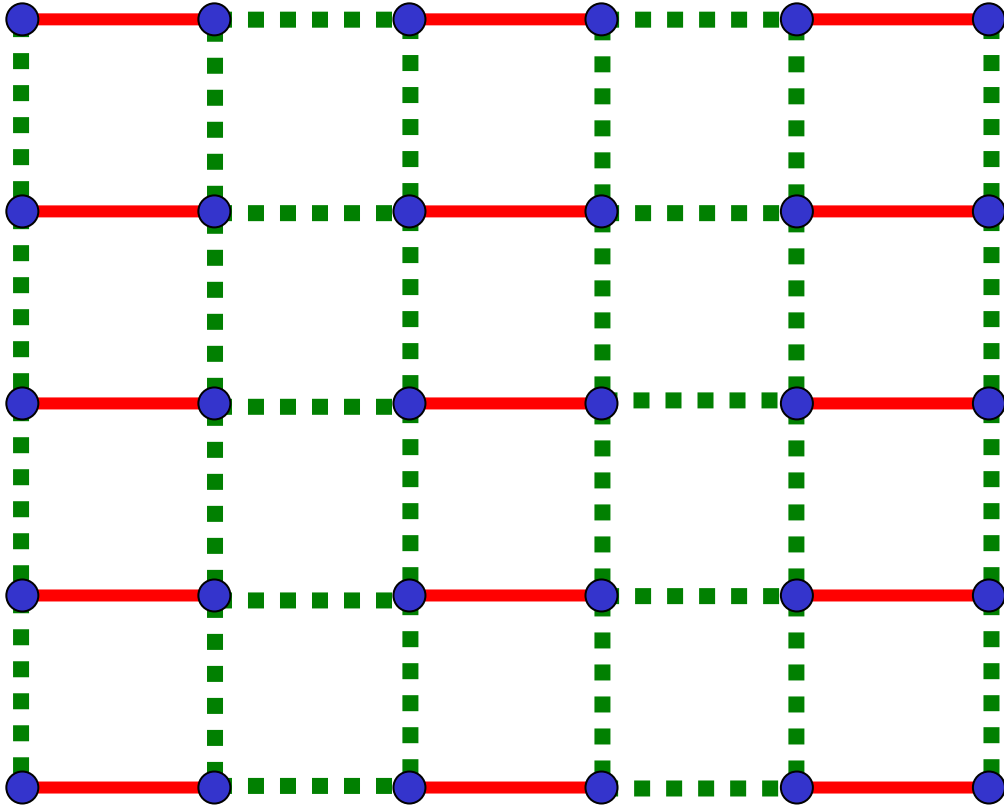
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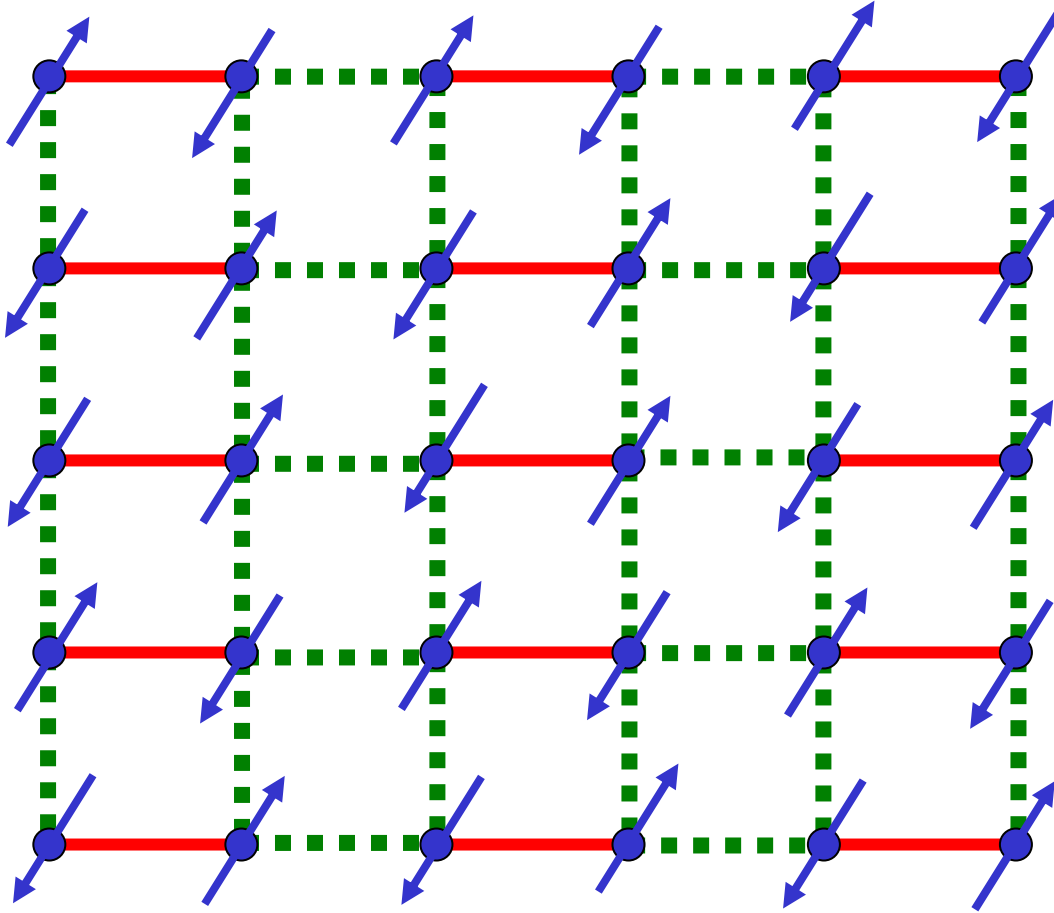
λ close to 1

Weakly dimerized square lattice



λ close to 1

Weakly dimerized square lattice



Excitations:
2 spin waves (*magnons*)

$$\varepsilon_p = \sqrt{c_x^2 p_x^2 + c_y^2 p_y^2}$$

Ground state has long-range spin density wave
(Néel) order at wavevector $\mathbf{K} = (\pi, \pi)$

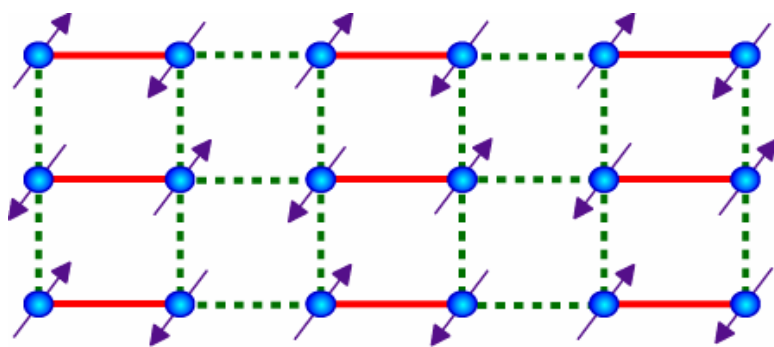
$$\langle \vec{\phi} \rangle \neq 0$$

spin density wave order parameter: $\vec{\phi} = \eta_i \frac{\vec{S}_i}{S}$; $\eta_i = \pm 1$ on two sublattices

$T=0$

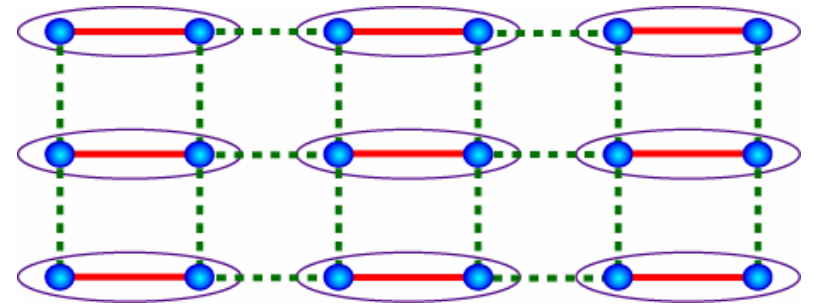
$$\lambda_c = 0.52337(3)$$

M. Matsumoto, C. Yasuda, S. Todo, and H. Takayama,
Phys. Rev. B **65**, 014407 (2002)



Néel state

$$\langle \vec{\phi} \rangle \neq 0$$



Quantum paramagnet

$$\langle \vec{\phi} \rangle = 0$$



The method of bond operators (S. Sachdev and R.N. Bhatt, *Phys. Rev. B* **41**, 9323 (1990)) provides a quantitative description of spin excitations in TlCuCl_3 across the quantum phase transition (M. Matsumoto, B. Normand, T.M. Rice, and M. Sigrist, *Phys. Rev. Lett.* **89**, 077203 (2002))

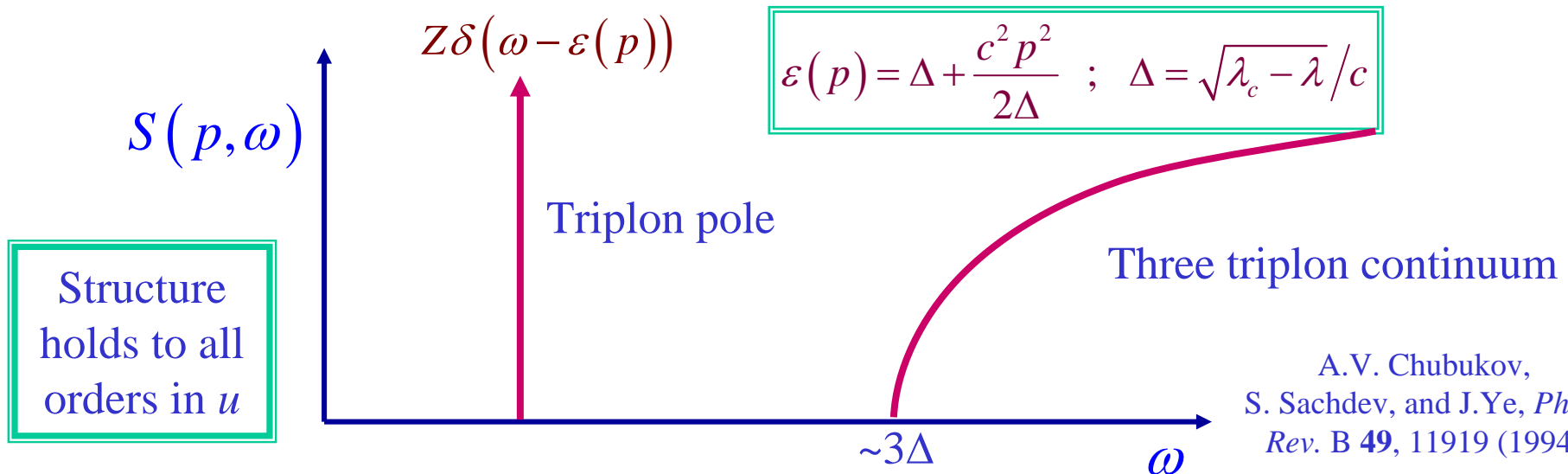
LGW theory for quantum criticality

Landau-Ginzburg-Wilson theory: write down an effective action for the antiferromagnetic order parameter $\vec{\varphi}$ by expanding in powers of $\vec{\varphi}$ and its spatial and temporal derivatives, while preserving all symmetries of the microscopic Hamiltonian

$$S_\varphi = \int d^2x d\tau \left[\frac{1}{2} \left((\nabla_x \vec{\varphi})^2 + c^2 (\partial_\tau \vec{\varphi})^2 + (\lambda_c - \lambda) \vec{\varphi}^2 \right) + \frac{u}{4!} (\vec{\varphi}^2)^2 \right]$$

S. Chakravarty, B.I. Halperin, and D.R. Nelson, *Phys. Rev. B* **39**, 2344 (1989)

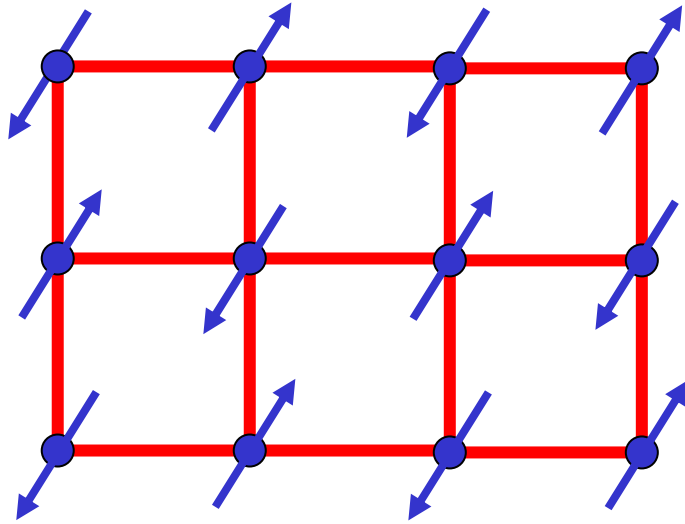
For $\lambda < \lambda_c$ oscillations of $\vec{\varphi}$ about $\vec{\varphi} = 0$ lead to the following structure in the dynamic structure factor $S(p, \omega)$



(B) Kondo lattice models

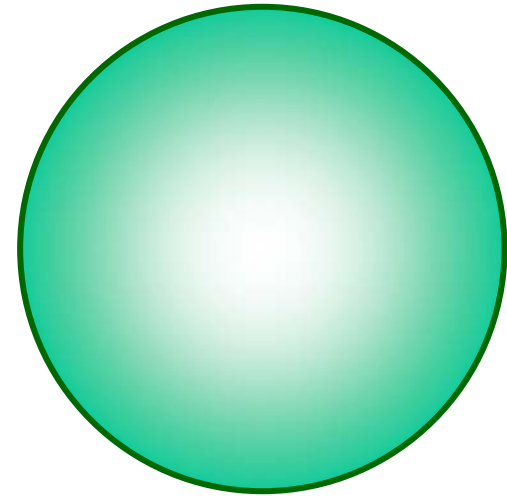
“Large” Fermi surfaces and the Landau-Ginzburg-Wilson spin-density-wave paramagnon theory

Kondo lattice



Local moments f_σ

+



Conduction electrons c_σ

$$H_K = \sum_{i < j} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + J_K \sum_i c_{i\sigma}^\dagger \vec{\tau}_{\sigma\sigma'} c_{i\sigma'} \cdot \vec{S}_{fi} + J \sum_{\langle ij \rangle} \vec{S}_{fi} \cdot \vec{S}_{fj}$$

At large J_K , magnetic order is destroyed, and we obtain a non-magnetic Fermi liquid (FL) ground state

Luttinger's Fermi volume on a d -dimensional lattice for the FL phase

Let v_0 be the volume of the unit cell of the ground state,
 n_T be the total number density of electrons per volume v_0 .
(need not be an integer)

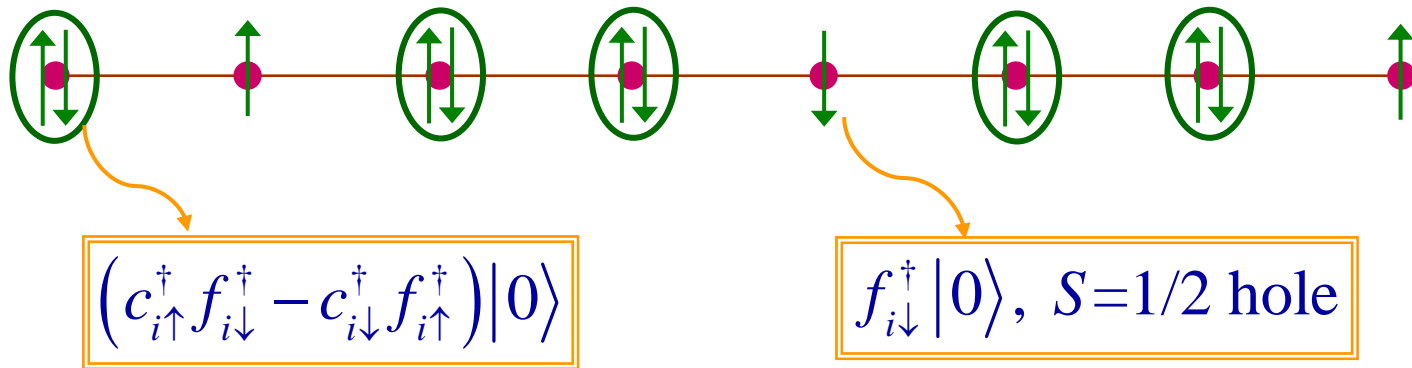
$$n_T = n_f + n_c = 1 + n_c$$

$$2 \times \frac{v_0}{(2\pi)^d} (\text{Volume enclosed by Fermi surface}) \\ = n_T \pmod{2}$$

A "large" Fermi surface

Argument for the Fermi surface volume of the FL phase

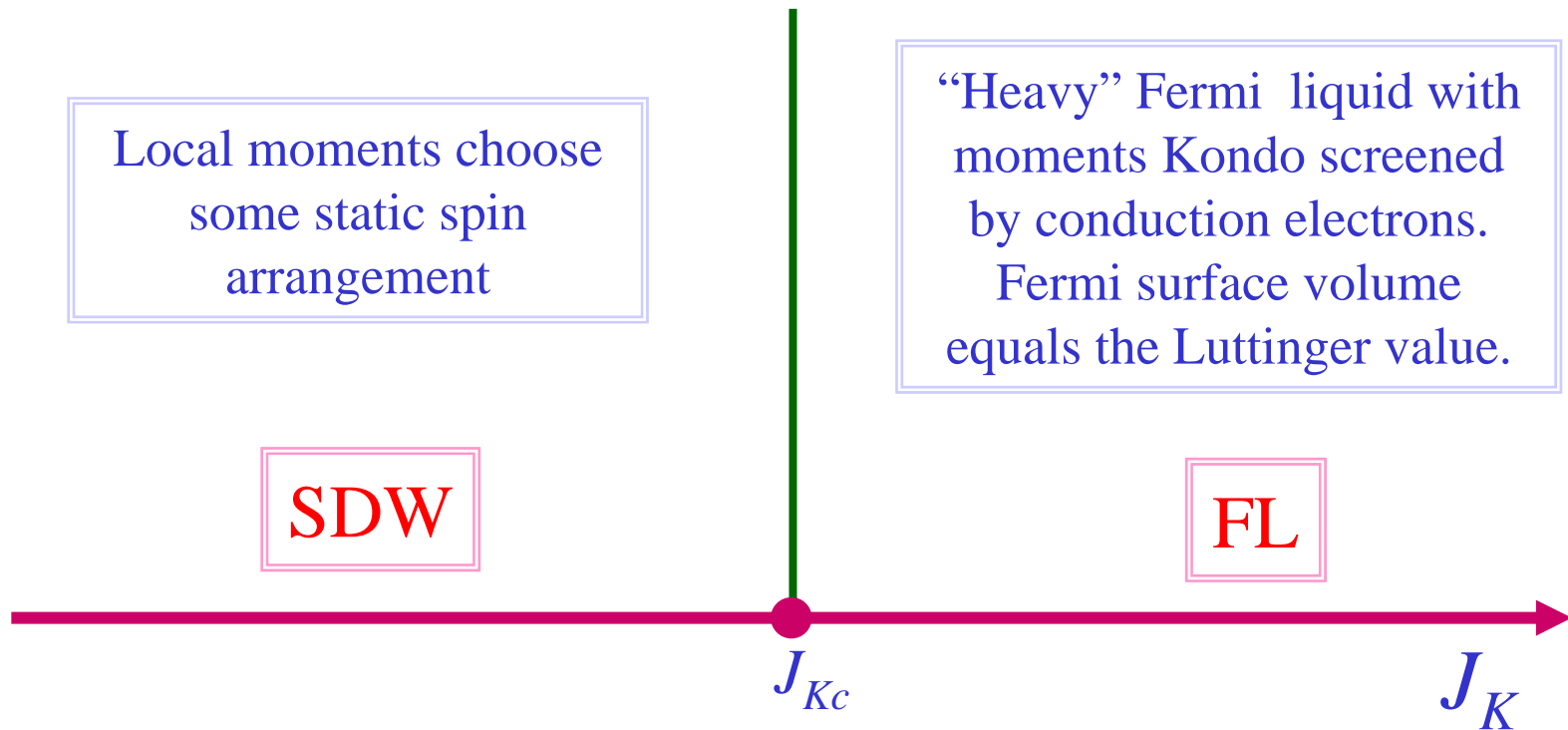
Single ion Kondo effect implies $J_K \rightarrow \infty$ at low energies



Fermi liquid of $S=1/2$ holes with hard-core repulsion

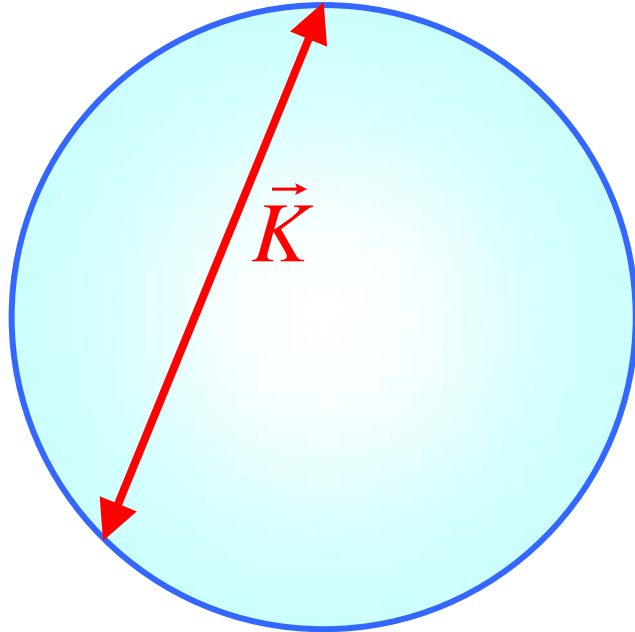
$$\begin{aligned} \text{Fermi surface volume} &= -(\text{density of holes}) \bmod 2 \\ &= -(1 - n_c) = (1 + n_c) \bmod 2 \end{aligned}$$

Doniach's $T=0$ phase diagram for the Kondo lattice



LGW theory for quantum critical point

Write down effective action for SDW order parameter $\vec{\phi}$



$\vec{\phi}$ fluctuations are damped
by mixing with fermionic
quasiparticles near the Fermi surface

$$S_{\phi} = \int \frac{d^d q d\omega}{(2\pi)^{d+1}} |\vec{\phi}(q, \omega)|^2 \left(q^2 + |\omega| + (J_K - J_{Kc}) \right) + \frac{u}{4} \int d^d r d\tau (\vec{\phi}^2)^2$$

Fluctuations of $\vec{\phi}$ about $\vec{\phi} = 0 \Rightarrow$ the triplon is now a **paramagnon**

- J. Mathon, *Proc. R. Soc. London A*, **306**, 355 (1968); T.V. Ramakrishnan, *Phys. Rev. B* **10**, 4014 (1974);
M. T. Beal-Monod and K. Maki, *Phys. Rev. Lett.* **34**, 1461 (1975); J.A. Hertz, *Phys. Rev. B* **14**, 1165 (1976).
T. Moriya, *Spin Fluctuations in Itinerant Electron Magnetism*, Springer-Verlag, Berlin (1985);
G. G. Lonzarich and L. Taillefer, *J. Phys. C* **18**, 4339 (1985); A.J. Millis, *Phys. Rev. B* **48**, 7183 (1993).

Doniach's $T=0$ phase diagram for the Kondo lattice

Local moments choose some static spin arrangement. Near the quantum critical point, the Fermi surface is modified from the “large Fermi surface” only by the appearance of “gaps” near the hot spots.

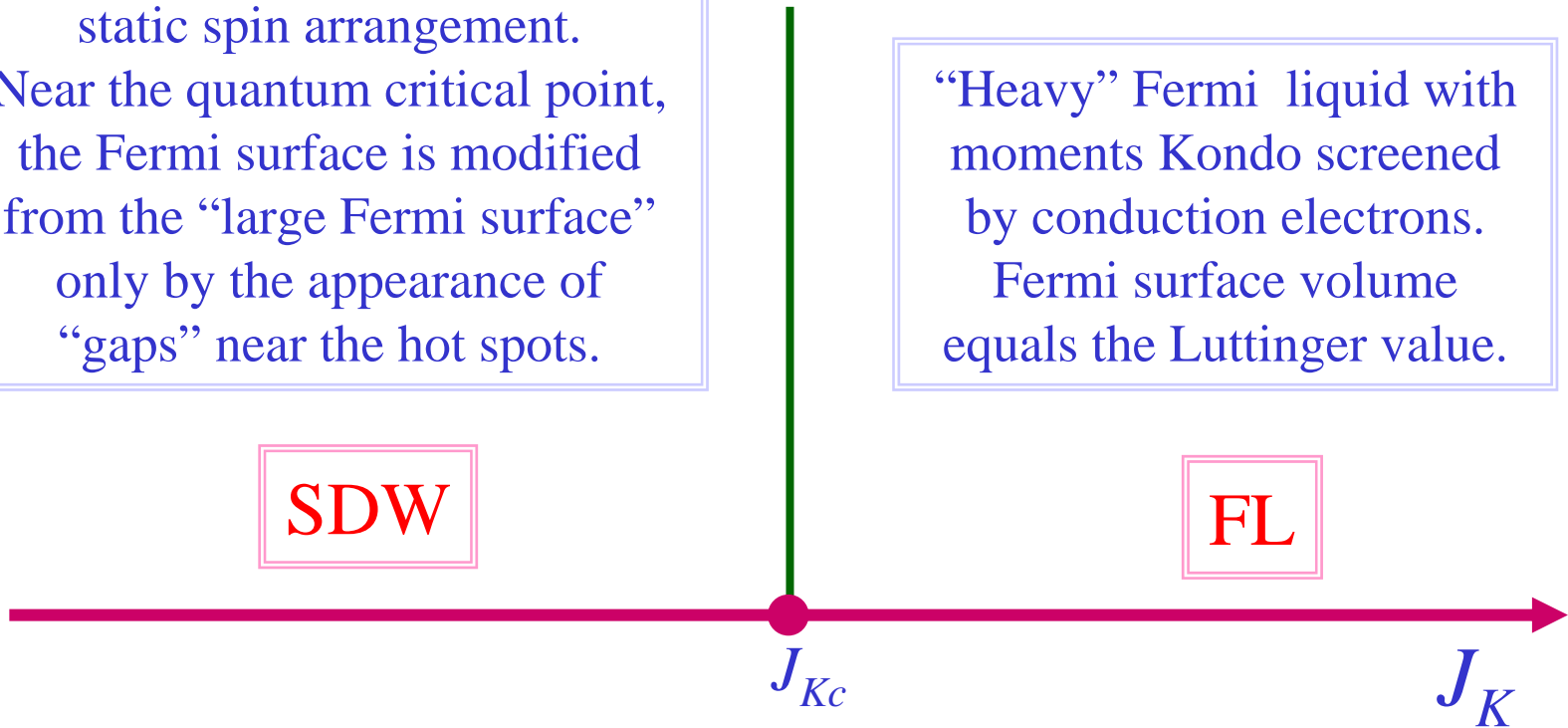
“Heavy” Fermi liquid with moments Kondo screened by conduction electrons. Fermi surface volume equals the Luttinger value.

SDW

FL

J_{Kc}

J_K

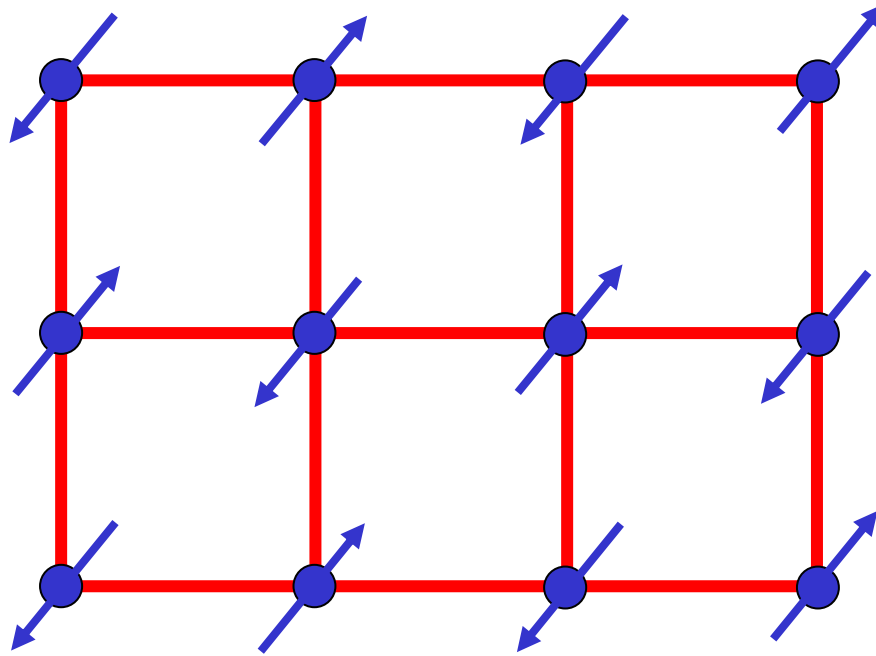


(C) Fractionalized Fermi liquids (FL*)

Spin liquids and Fermi volume changing transitions with a topological order parameter

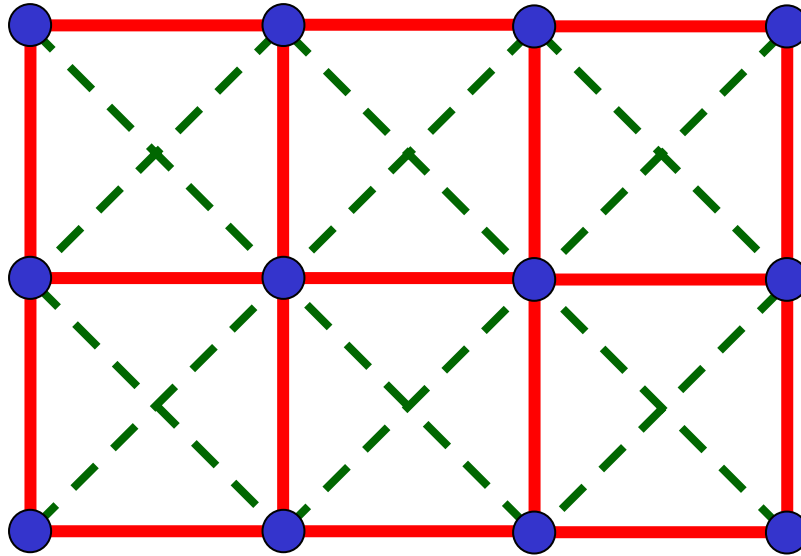
*Beyond LGW: quantum phases and phase transitions with **emergent gauge excitations** and **fractionalization***

Work in the regime with small J_K , and consider
destruction of magnetic order by frustrating
(RKKY) exchange interactions between f moments



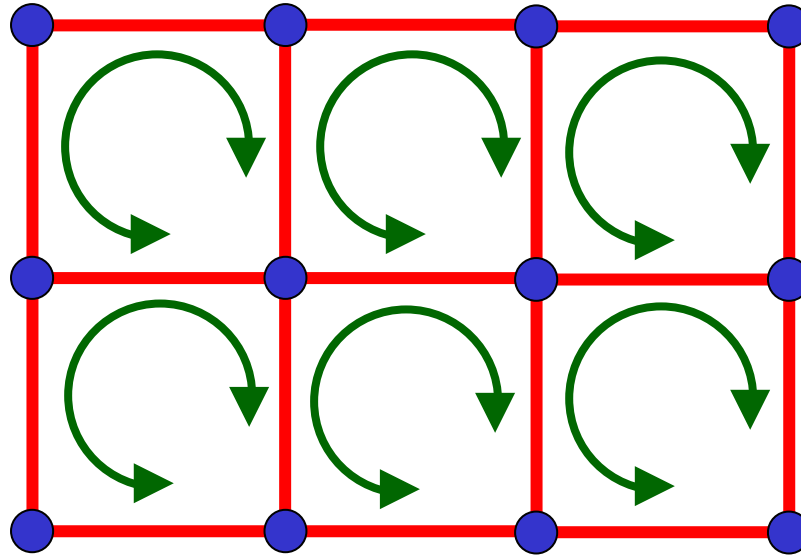
Ground state has Neel order with $\vec{\phi} \neq 0$

Work in the regime with small J_K , and consider destruction of magnetic order by frustrating (RKKY) exchange interactions between f moments



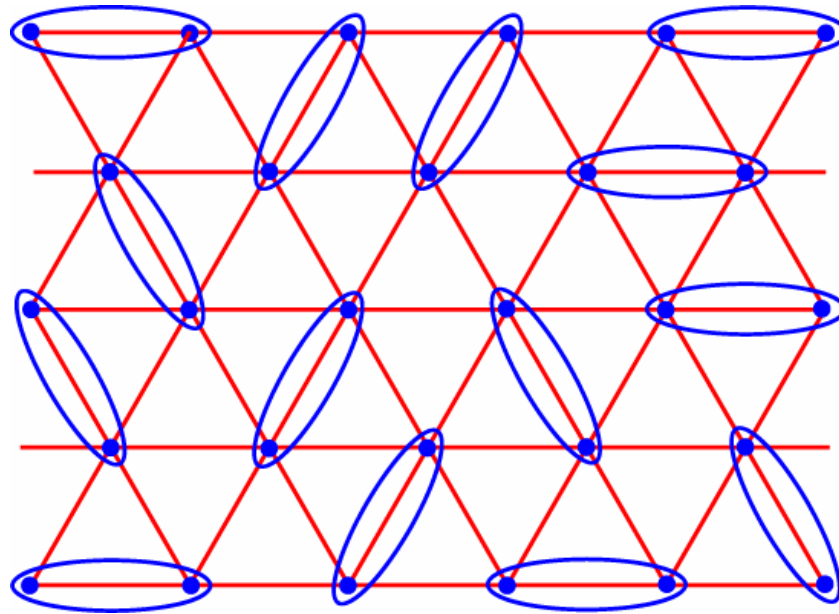
Destroy SDW order by perturbations which preserve full square lattice symmetry *e.g.* second-neighbor or ring exchange.

Work in the regime with small J_K , and consider destruction of magnetic order by frustrating (RKKY) exchange interactions between f moments



Destroy SDW order by perturbations which preserve full square lattice symmetry *e.g.* second-neighbor or ring exchange.

Work in the regime with small J_K , and consider
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A spin liquid ground state with $\langle \vec{\phi} \rangle = 0$ and no broken lattice symmetries.

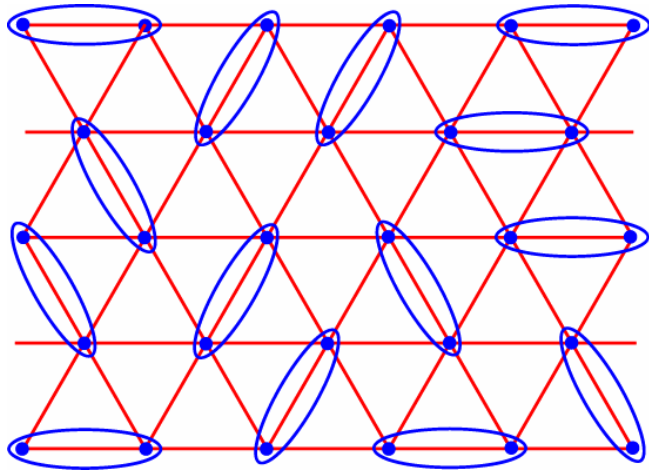
Such a state has emergent excitations described by a Z_2 or $U(1)$ gauge theory

P. Fazekas and P.W. Anderson, *Phil Mag* **30**, 23 (1974).

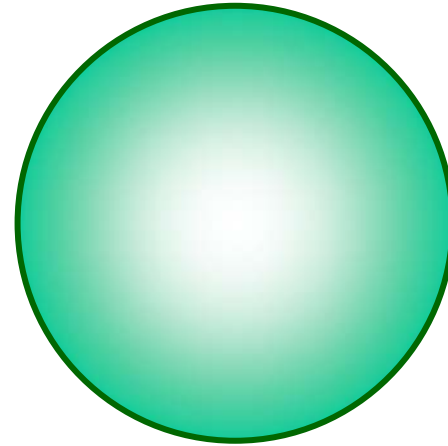
N. Read and S. Sachdev, *Phys. Rev. Lett.* **66**, 1773 (1991);

X. G. Wen, *Phys. Rev. B* **44**, 2664 (1991).

Influence of conduction electrons



+



Conduction electrons c_σ

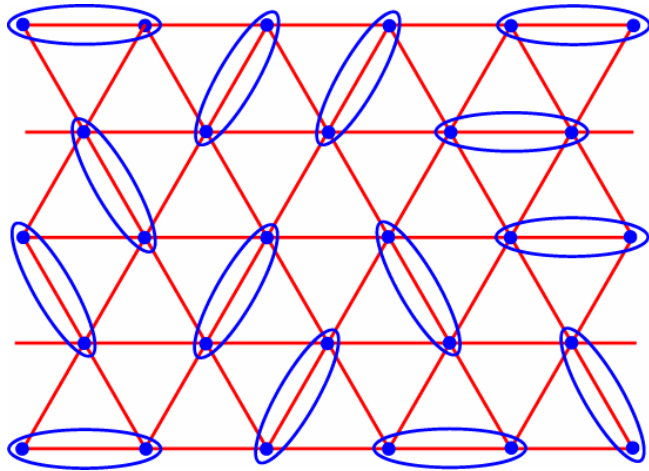
Local moments f_σ

$$H = \sum_{i < j} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + \sum_i \left(J_K c_{i\sigma}^\dagger \vec{\tau}_{\sigma\sigma'} c_{i\sigma'} \cdot \vec{S}_{fi} \right) + \sum_{i < j} J_H(i, j) \vec{S}_{fi} \cdot \vec{S}_{fj}$$

Determine the ground state of the quantum antiferromagnet defined by J_H , and then couple to conduction electrons by J_K

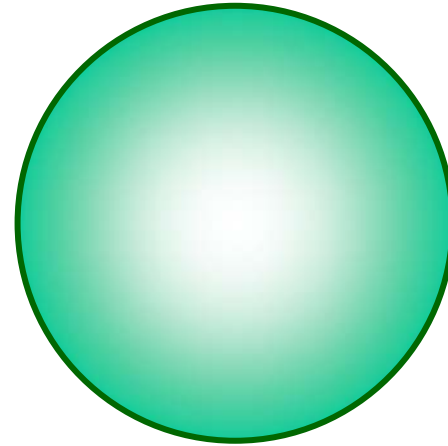
Choose J_H so that ground state of antiferromagnet is a Z_2 or $U(1)$ spin liquid

Influence of conduction electrons



Local moments f_σ

+



Conduction electrons c_σ

At $J_K = 0$ the conduction electrons form a Fermi surface on their own with volume determined by n_c .

Perturbation theory in J_K is regular, and so this state will be stable for finite J_K .

So volume of Fermi surface is determined by $(n_T - 1) = n_c \pmod{2}$, and does not equal the Luttinger value.

The (U(1) or Z_2) FL* state

A new phase: FL*

This phase preserves spin rotation invariance, and has a Fermi surface of *sharp* electron-like quasiparticles.

The state has “*topological order*” and associated neutral excitations. The topological order can be detected by the violation of Luttinger’s Fermi surface volume. It can only appear in dimensions $d > 1$

$$2 \times \frac{V_0}{(2\pi)^d} (\text{Volume enclosed by Fermi surface}) \\ = (n_T - 1) \pmod{2}$$

Precursors: N. Andrei and P. Coleman, *Phys. Rev. Lett.* **62**, 595 (1989).

Yu. Kagan, K. A. Kikoin, and N. V. Prokof'ev, *Physica B* **182**, 201 (1992).

Q. Si, S. Rabello, K. Ingersent, and L. Smith, *Nature* **413**, 804 (2001).

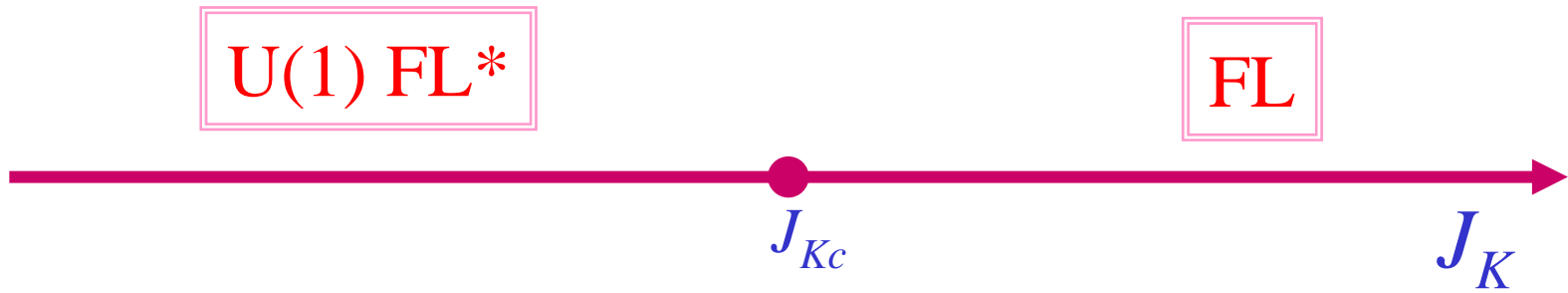
S. Burdin, D. R. Grempel, and A. Georges, *Phys. Rev. B* **66**, 045111 (2002).

L. Balents and M. P. A. Fisher and C. Nayak, *Phys. Rev. B* **60**, 1654, (1999);

T. Senthil and M.P.A. Fisher, *Phys. Rev. B* **62**, 7850 (2000).

F. H. L. Essler and A. M. Tsvelik, *Phys. Rev. B* **65**, 115117 (2002).

Phase diagram



Phase diagram

Fractionalized Fermi liquid with moments paired in a spin liquid. Fermi surface volume does not include moments and is unequal to the Luttinger value.

U(1) FL*

FL

J_{Kc}

J_K



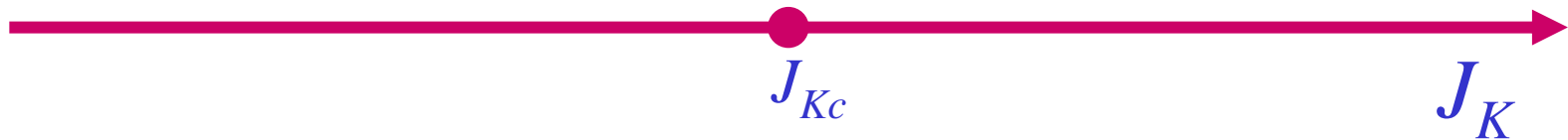
Phase diagram

Fractionalized Fermi liquid with moments paired in a spin liquid. Fermi surface volume does not include moments and is unequal to the Luttinger value.

U(1) FL*

“Heavy” Fermi liquid with moments Kondo screened by conduction electrons. Fermi surface volume equals the Luttinger value.

FL



Phase diagram

Fractionalized Fermi liquid with moments paired in a spin liquid. Fermi surface volume does not include moments and is unequal to the Luttinger value.

U(1) FL*

“Heavy” Fermi liquid with moments Kondo screened by conduction electrons. Fermi surface volume equals the Luttinger value.

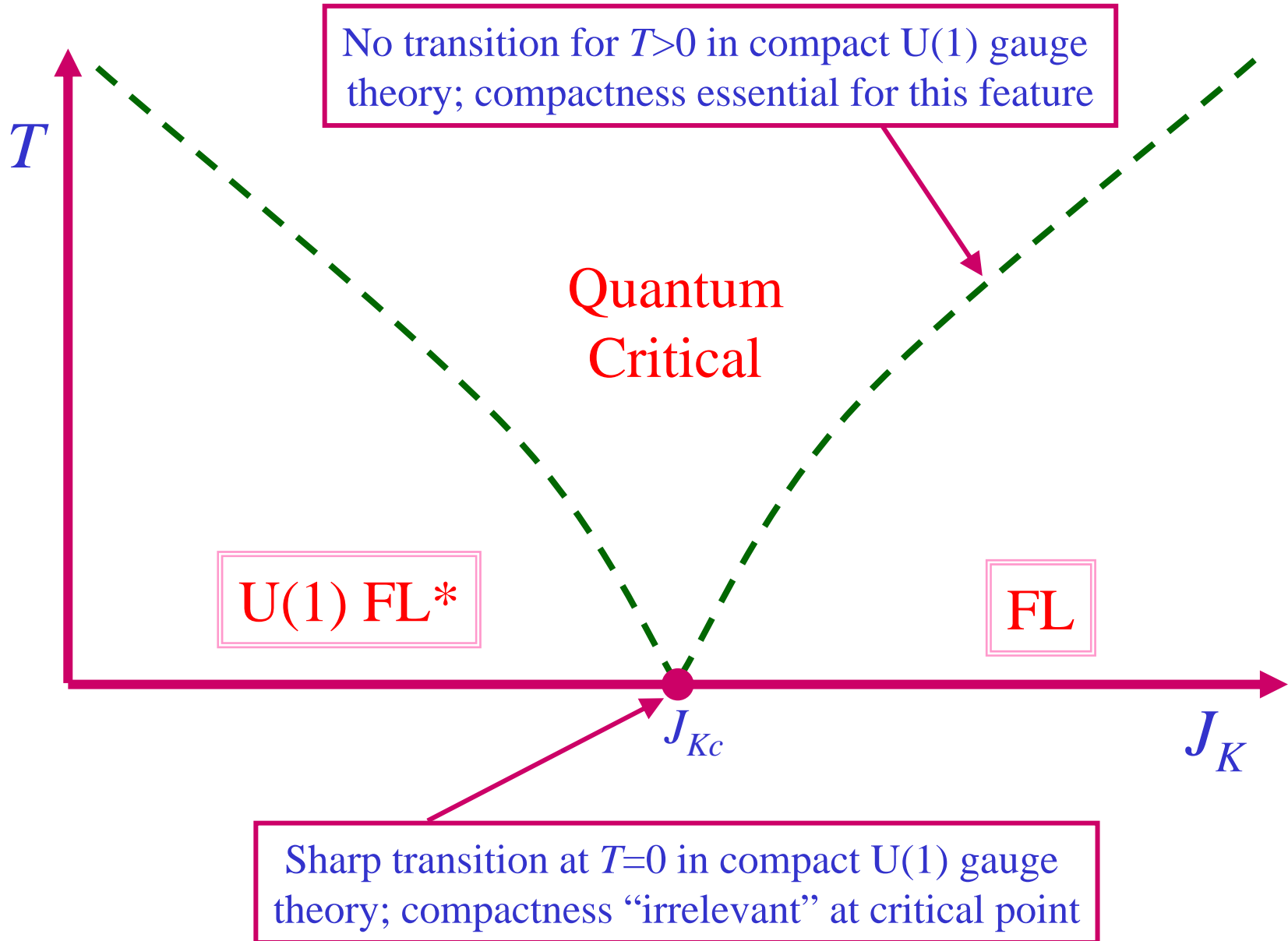
FL

J_{Kc}

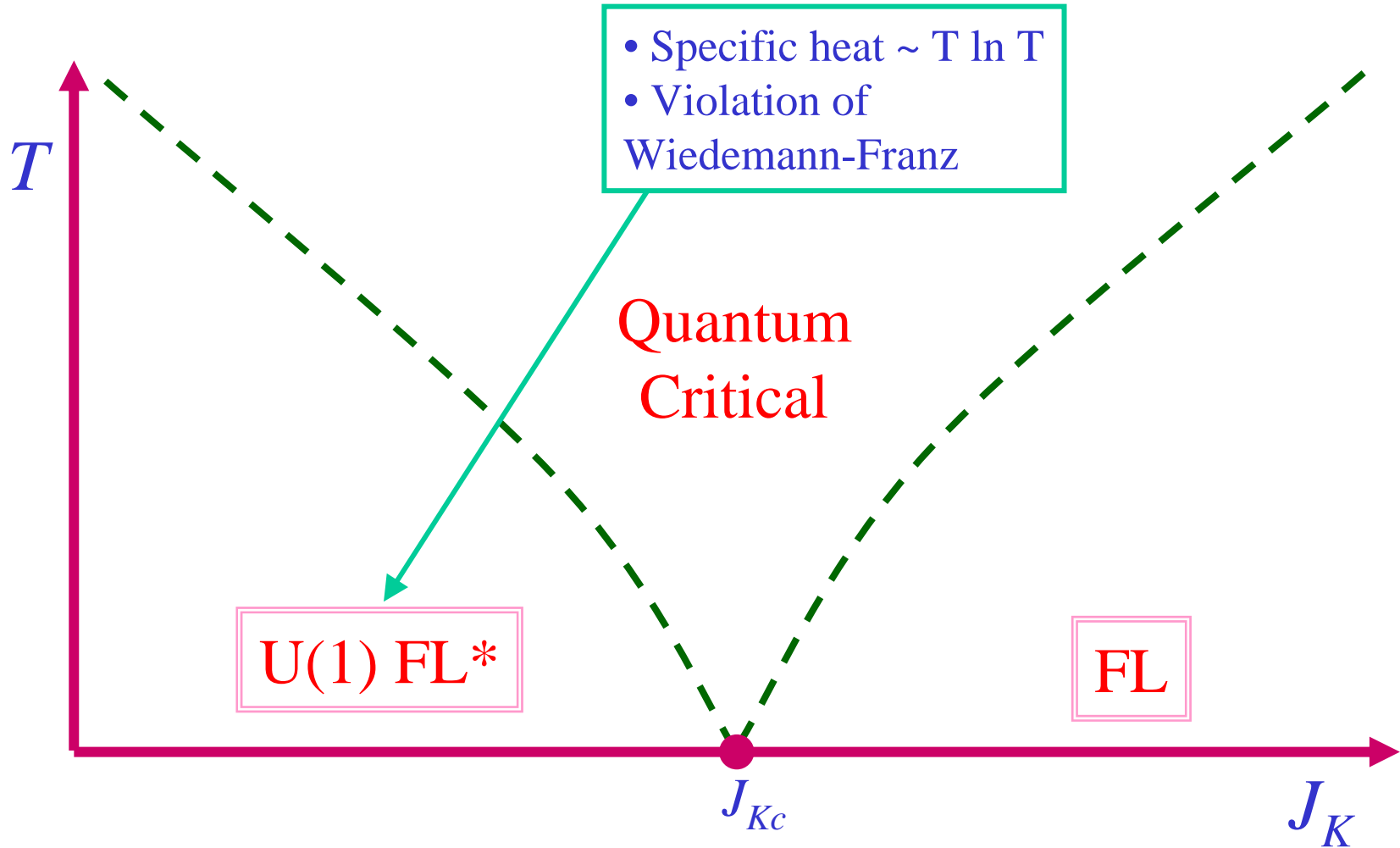
J_K

Sharp transition at $T=0$ in compact U(1) gauge theory; compactness “irrelevant” at critical point

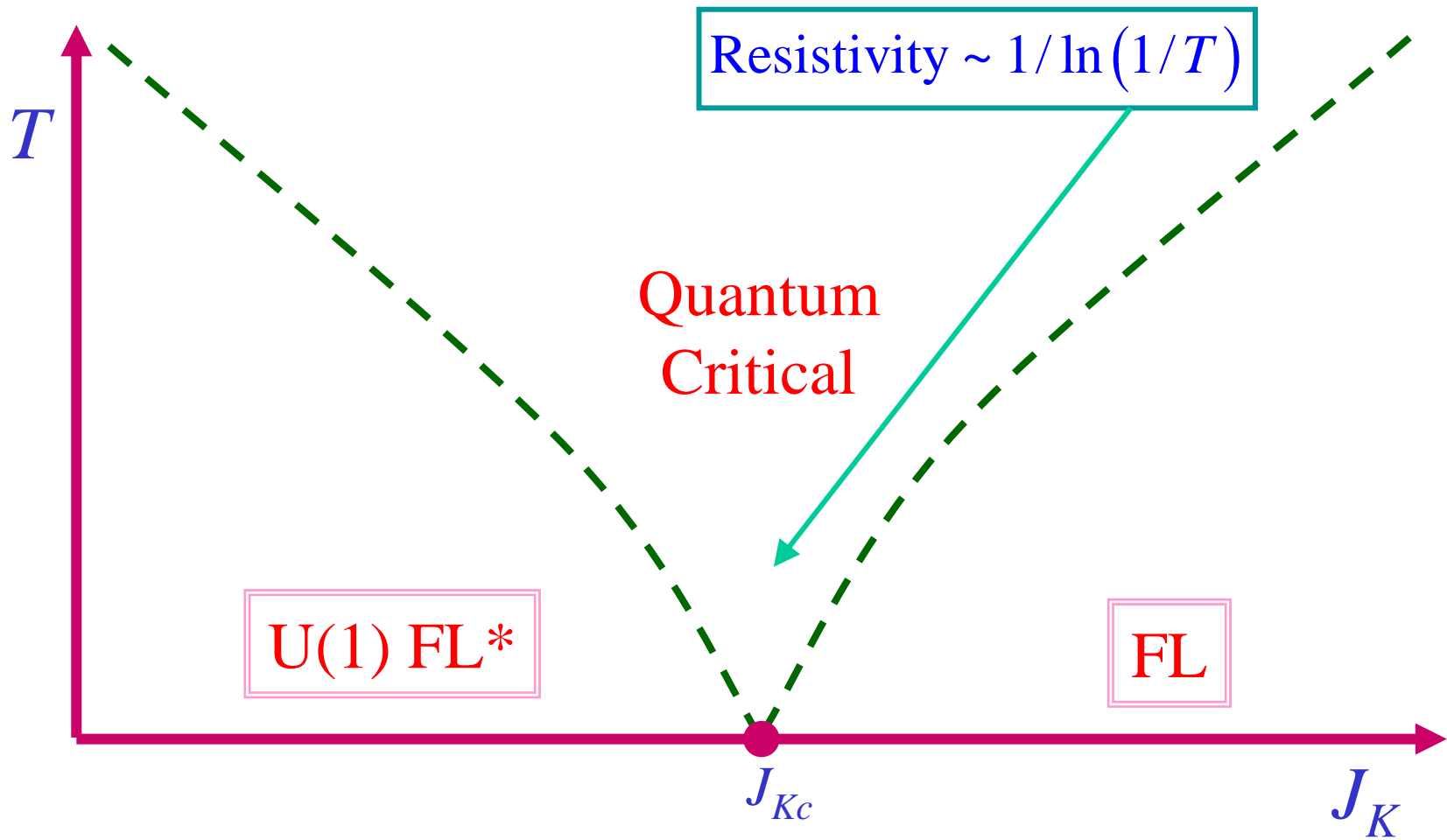
Phase diagram



Phase diagram

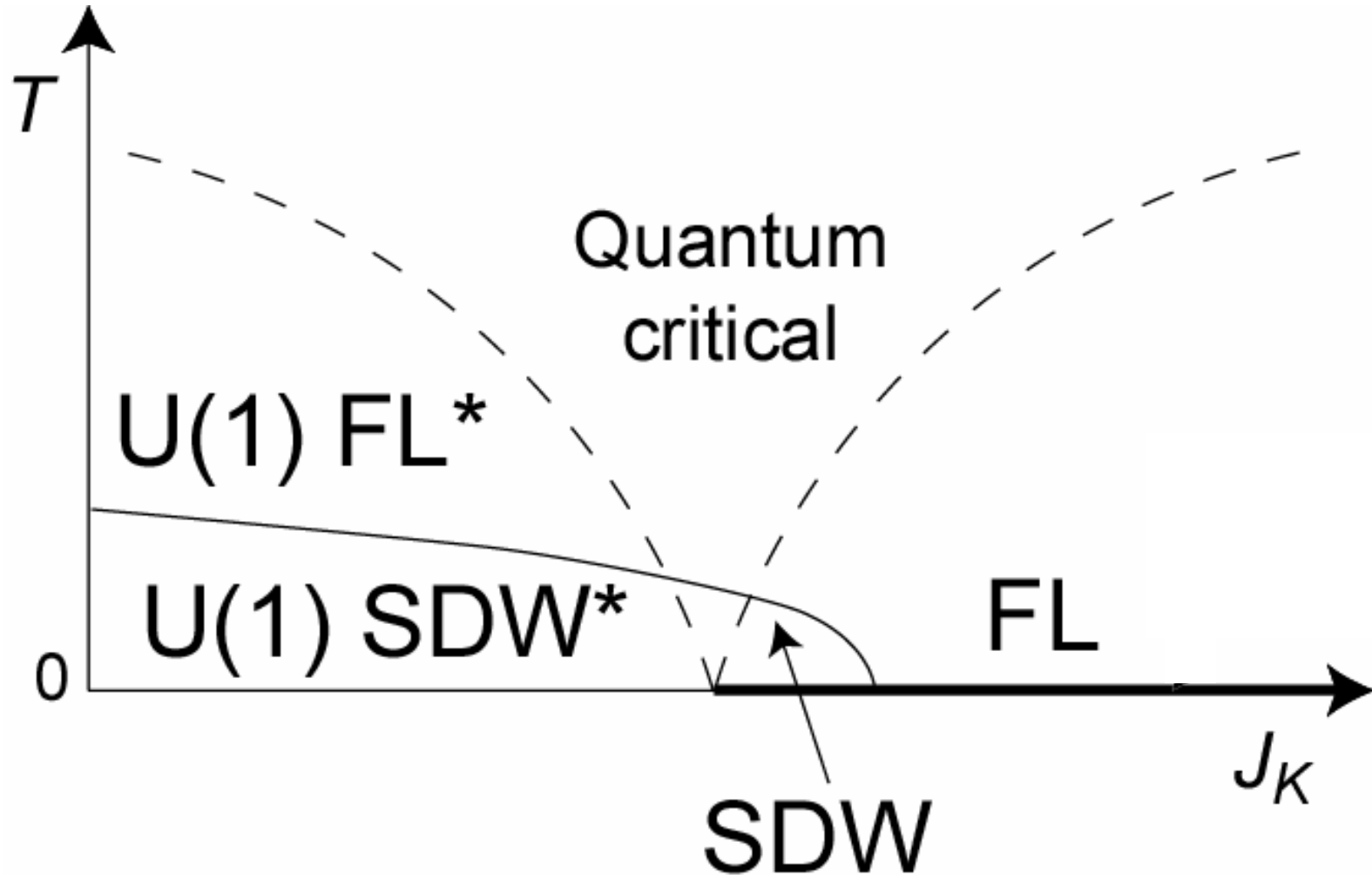


Phase diagram



Phase diagram

(after allowing for conventional magnetic order)

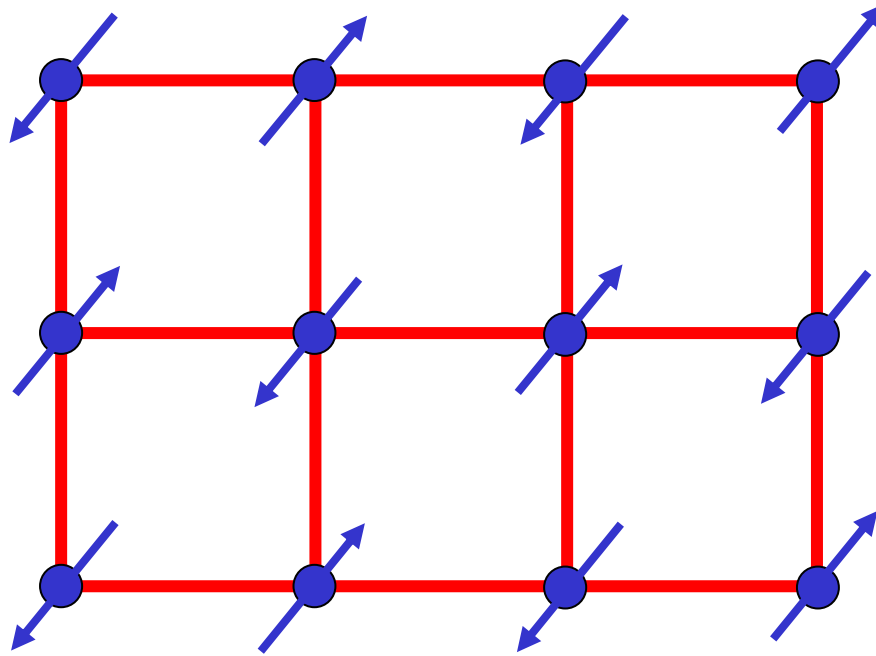


Topological and SDW order parameters suggest two separate quantum critical points

(D) Multiple order parameters

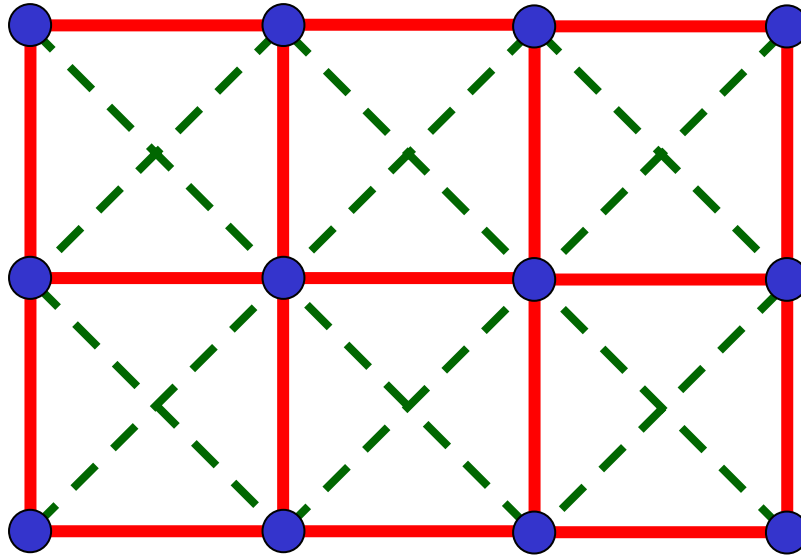
*Berry phases and the breakdown
of the LGW paradigm*

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destruction of magnetic order by frustrating
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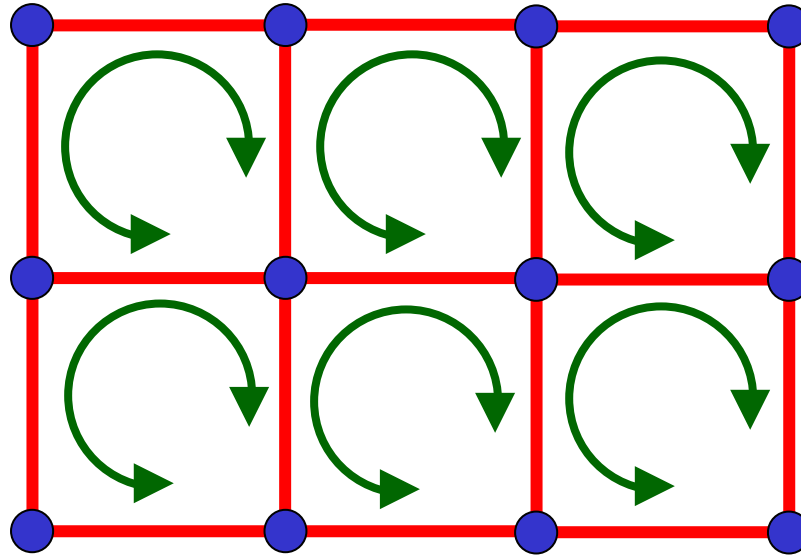
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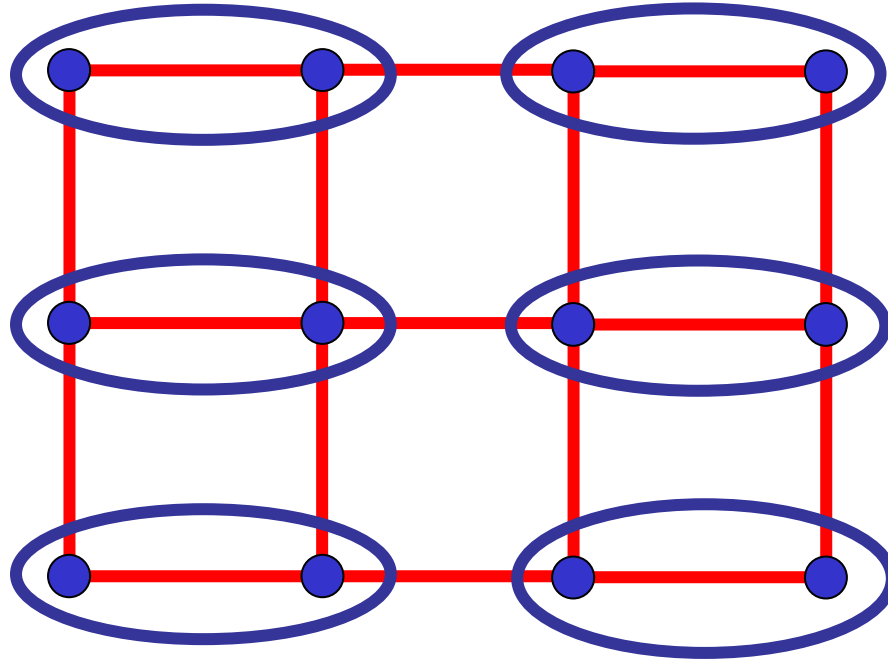
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Work in the regime with small J_K , and consider destruction of magnetic order by frustrating (RKKY) exchange interactions between f moments



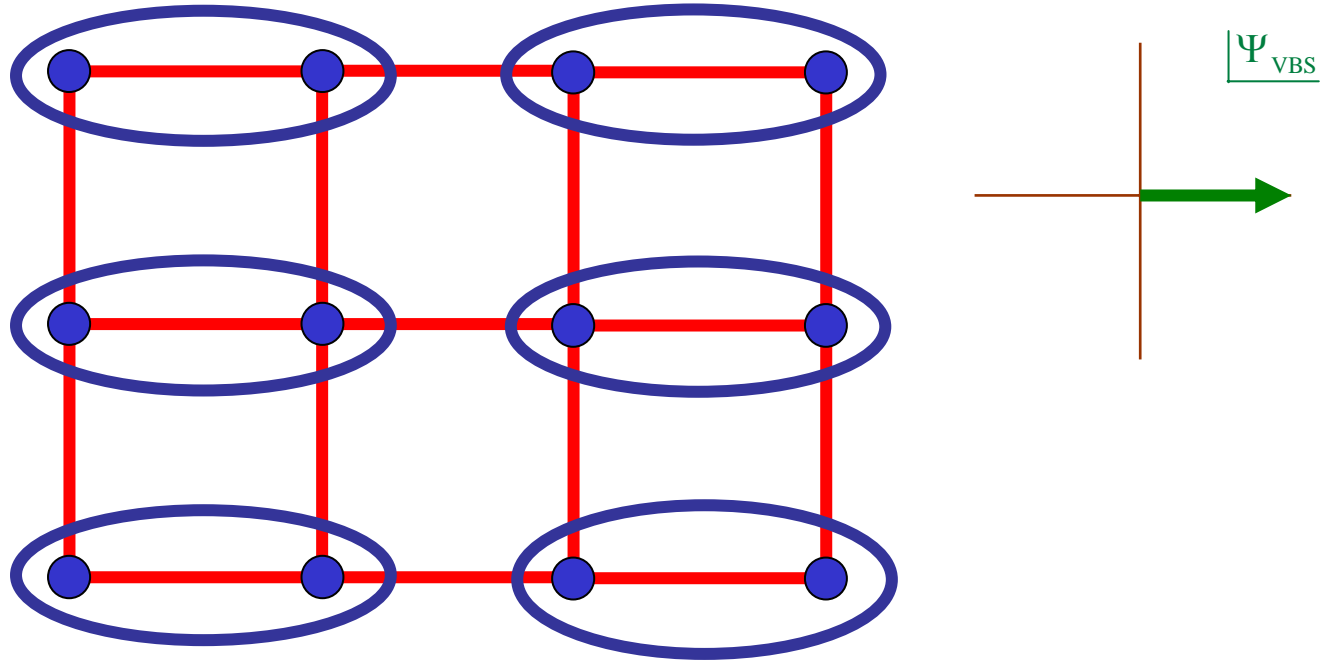
Destroy SDW order by perturbations which preserve full square lattice symmetry *e.g.* second-neighbor or ring exchange.

Work in the regime with small J_K , and consider
destruction of magnetic order by frustrating
(RKKY) exchange interactions between f moments



Possible paramagnetic ground state with $\langle \vec{\phi} \rangle = 0$

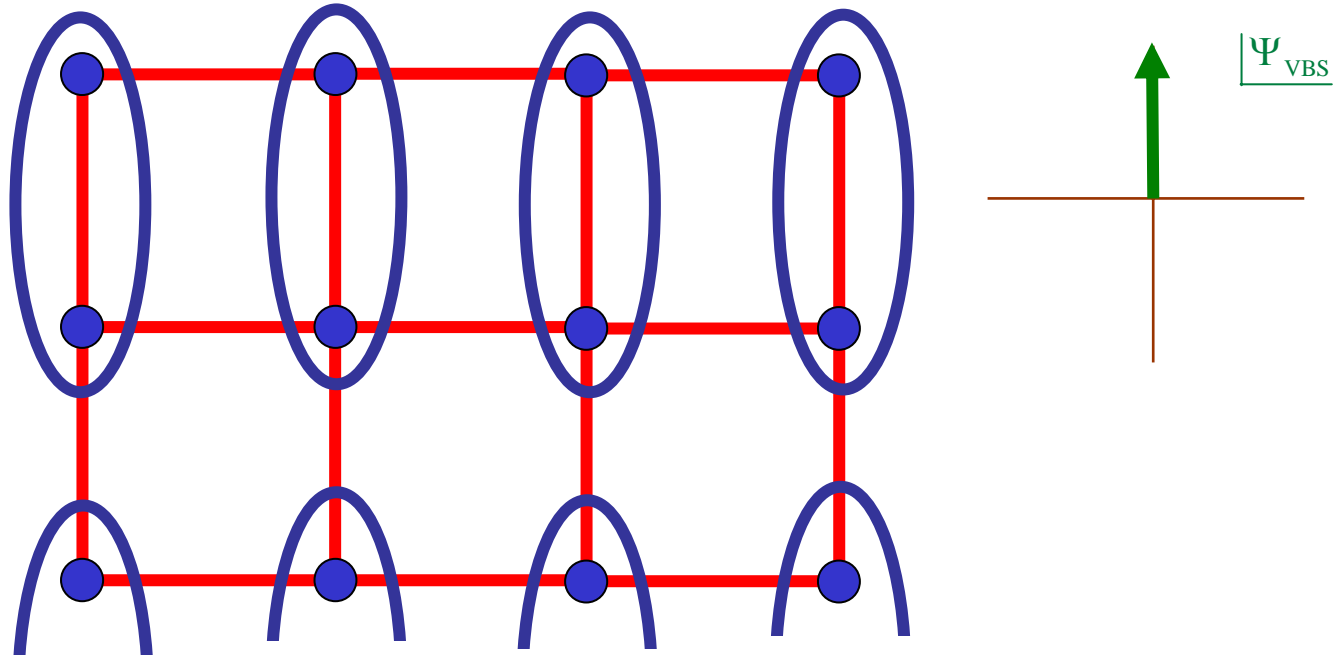
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Possible paramagnetic ground state with $\langle \vec{\phi} \rangle = 0$

Such a state breaks lattice symmetry and has $\langle \Psi_{\text{VBS}} \rangle \neq 0$,
where Ψ_{VBS} is the *valence bond solid (VBS) order parameter*

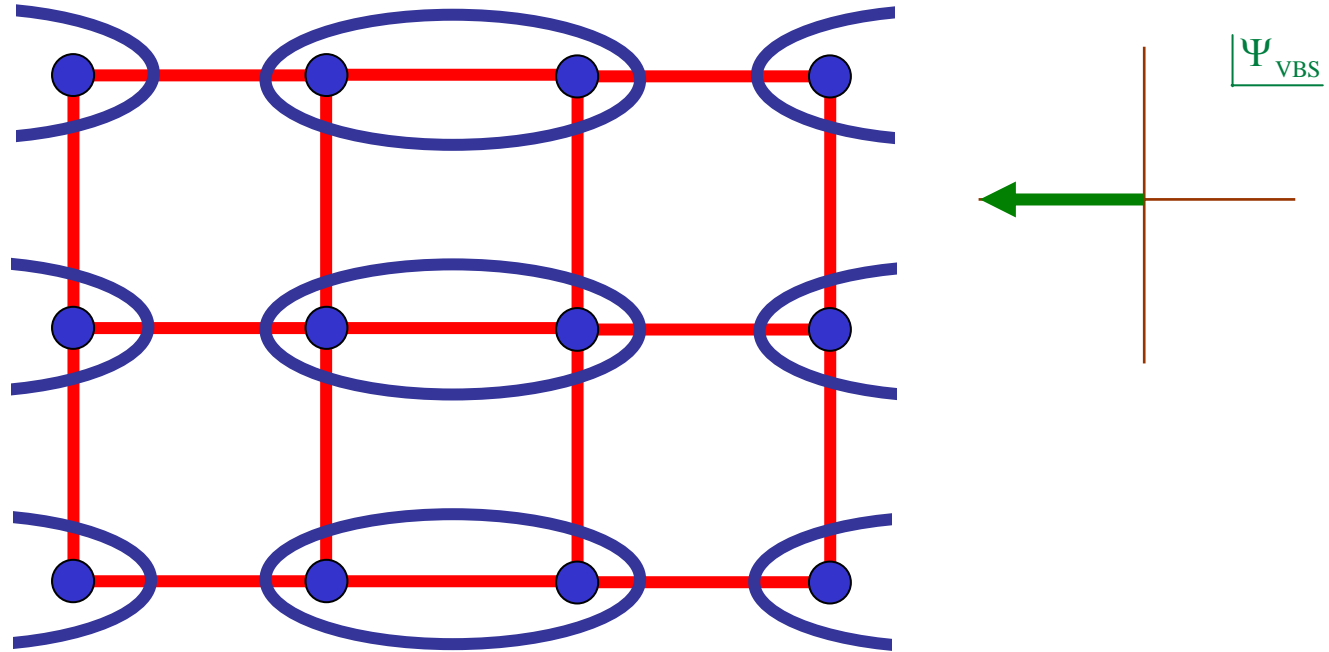
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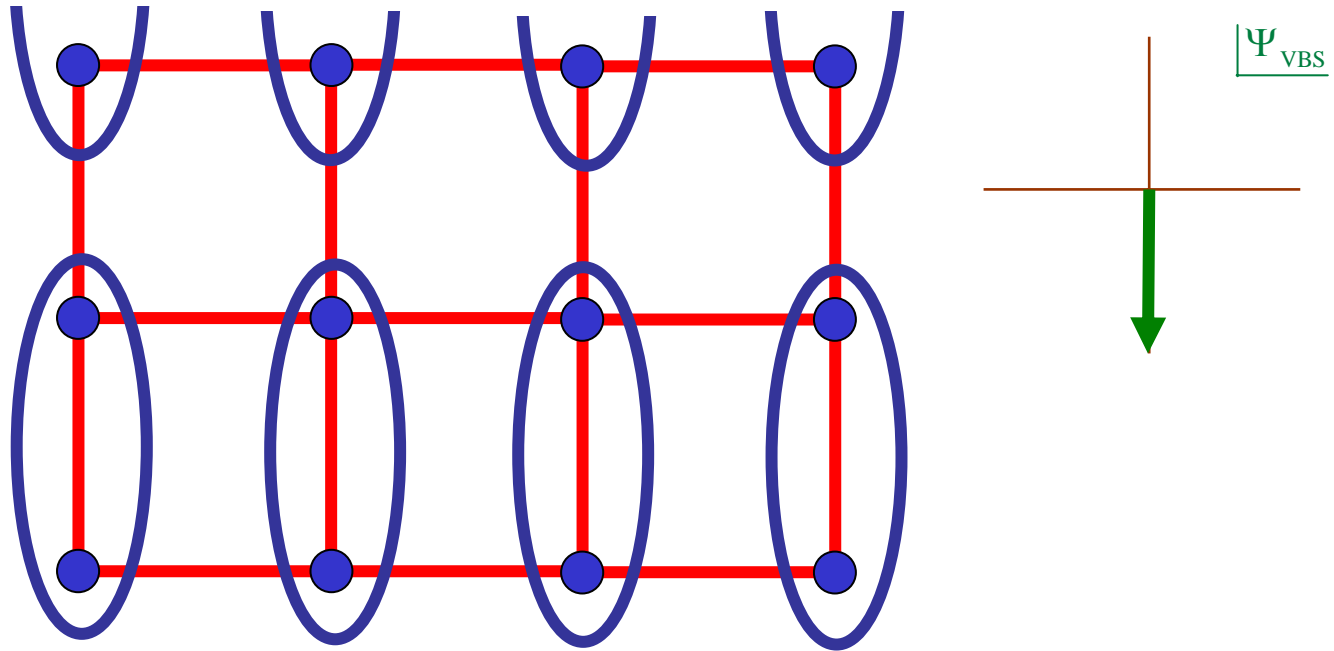
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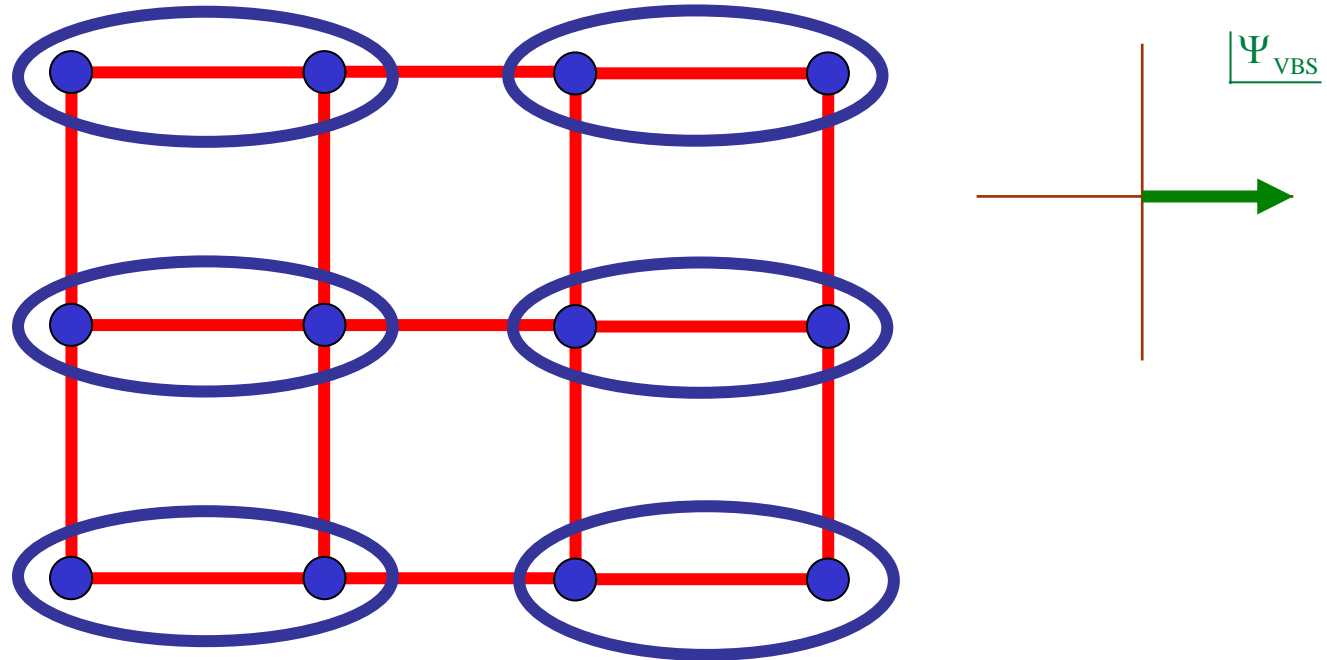
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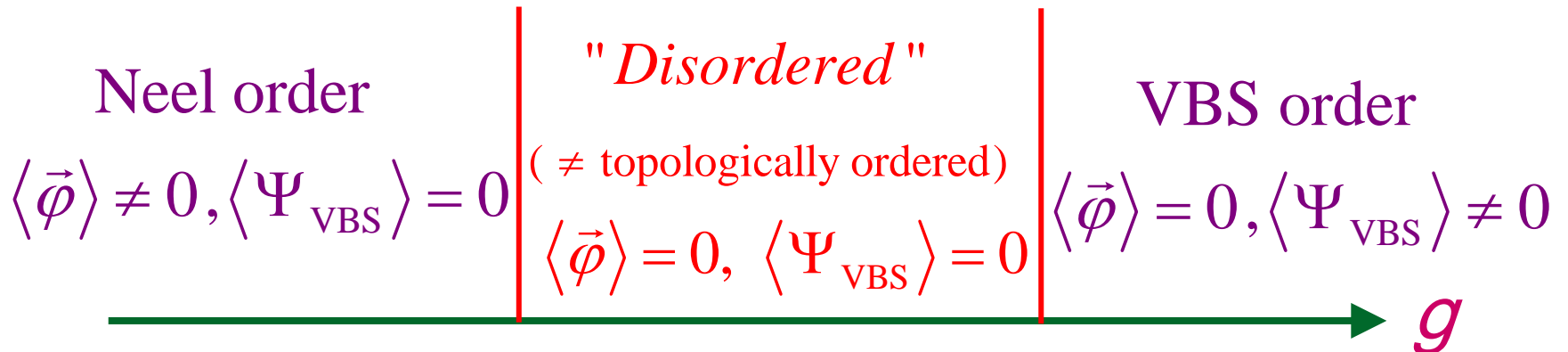
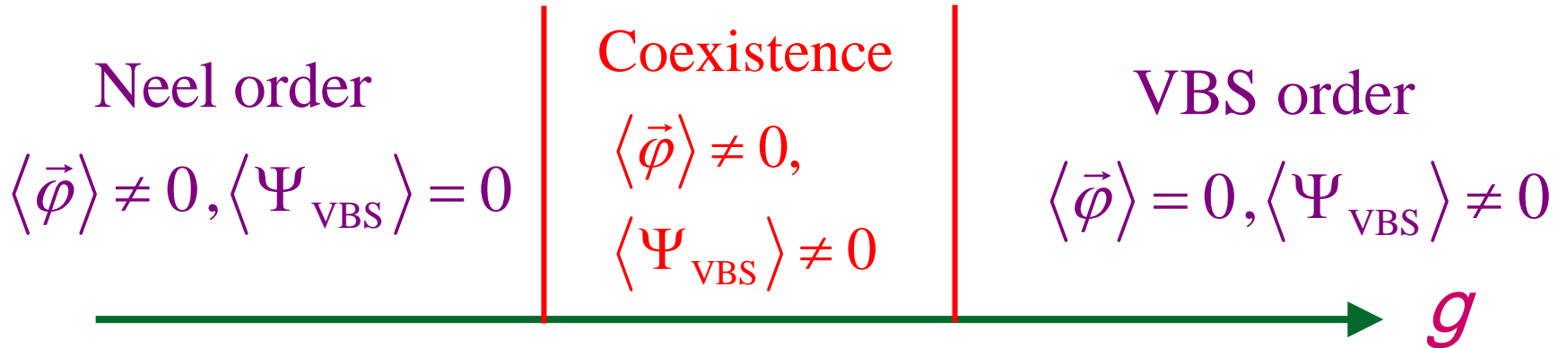
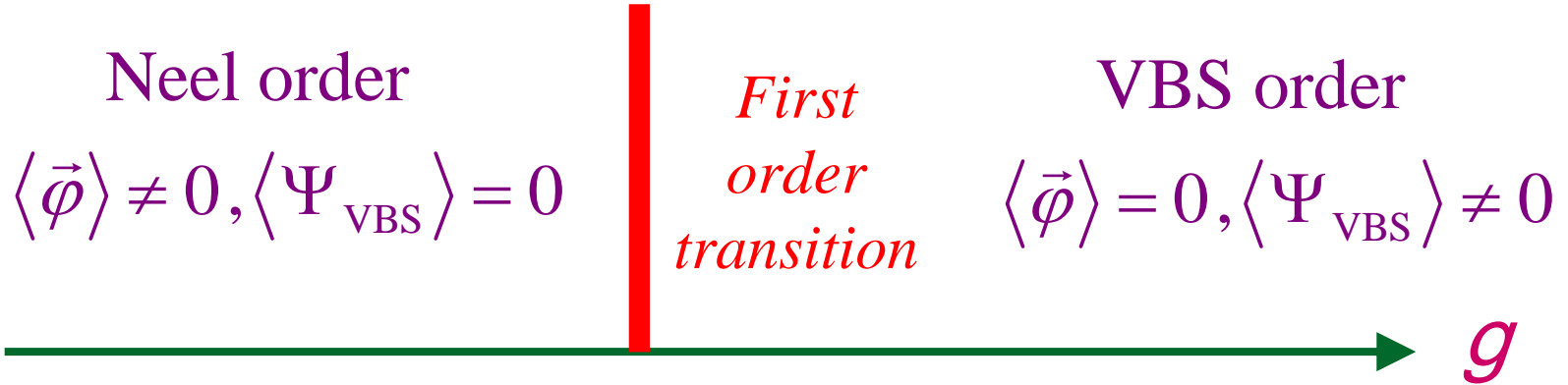
N. Read and
S. Sachdev,
Phys. Rev. Lett.
62, 1694 (1989).

Possible paramagnetic ground state with $\langle \vec{\phi} \rangle = 0$

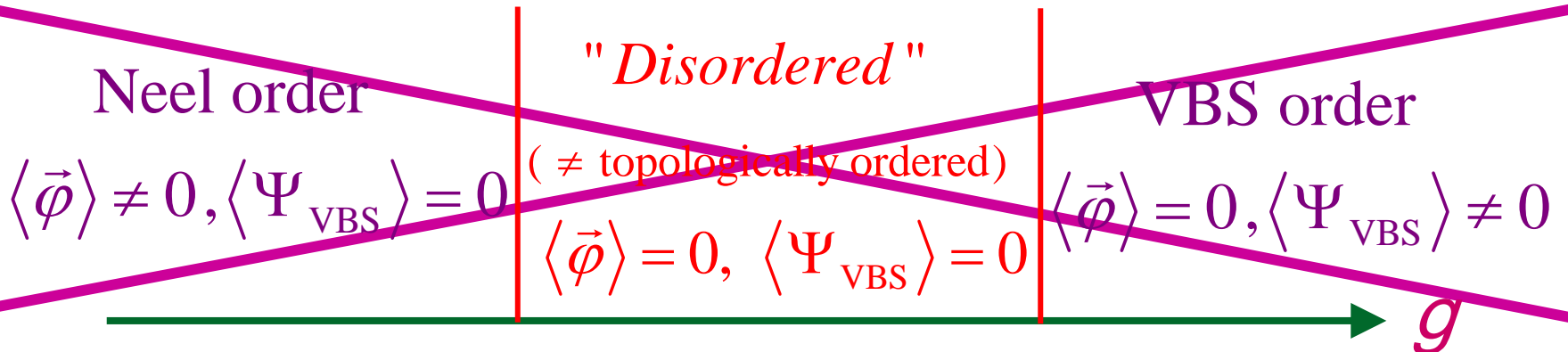
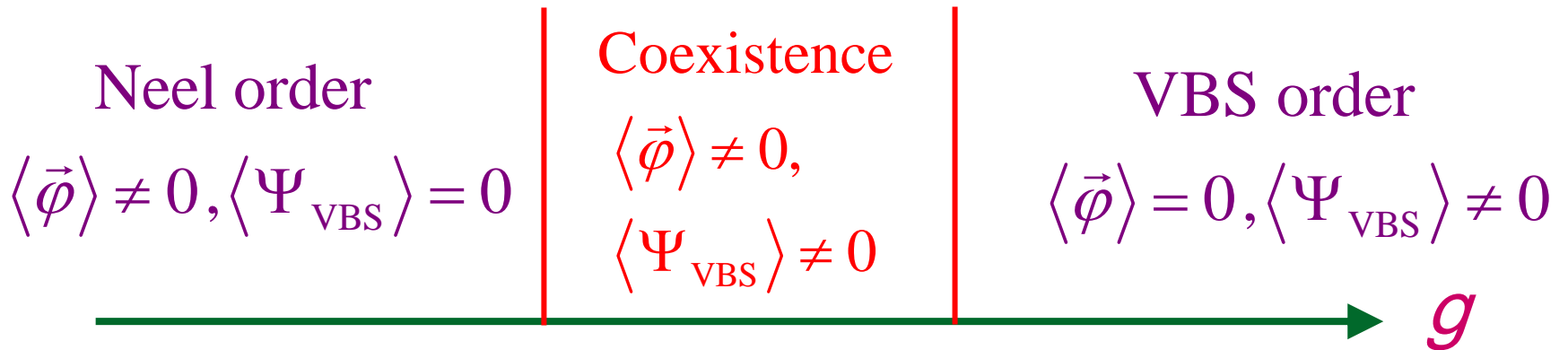
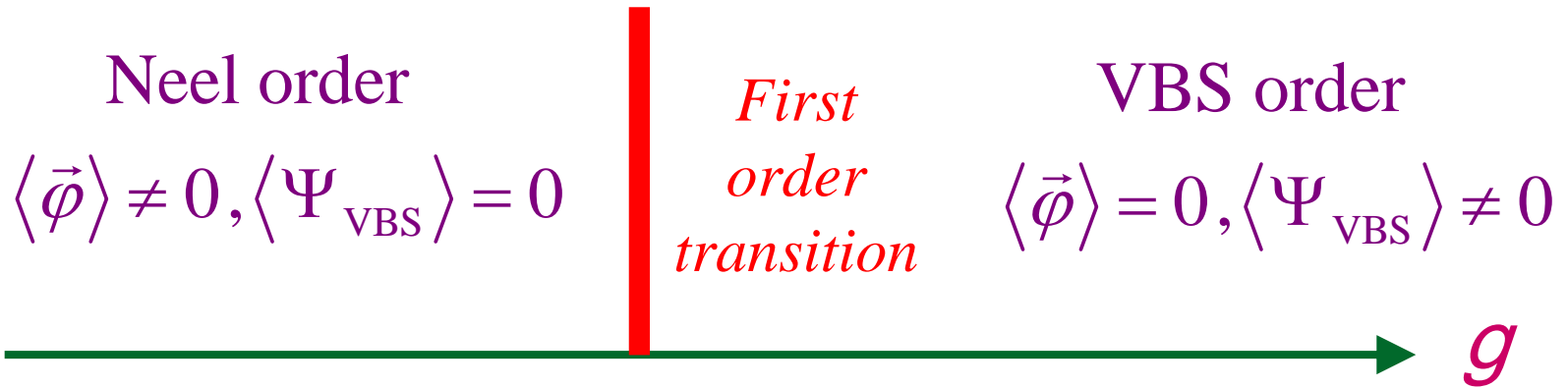
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VBS order (and confinement) appear for collinear spins in $d=2$

Naïve approach: add VBS order parameter to LGW theory “by hand”

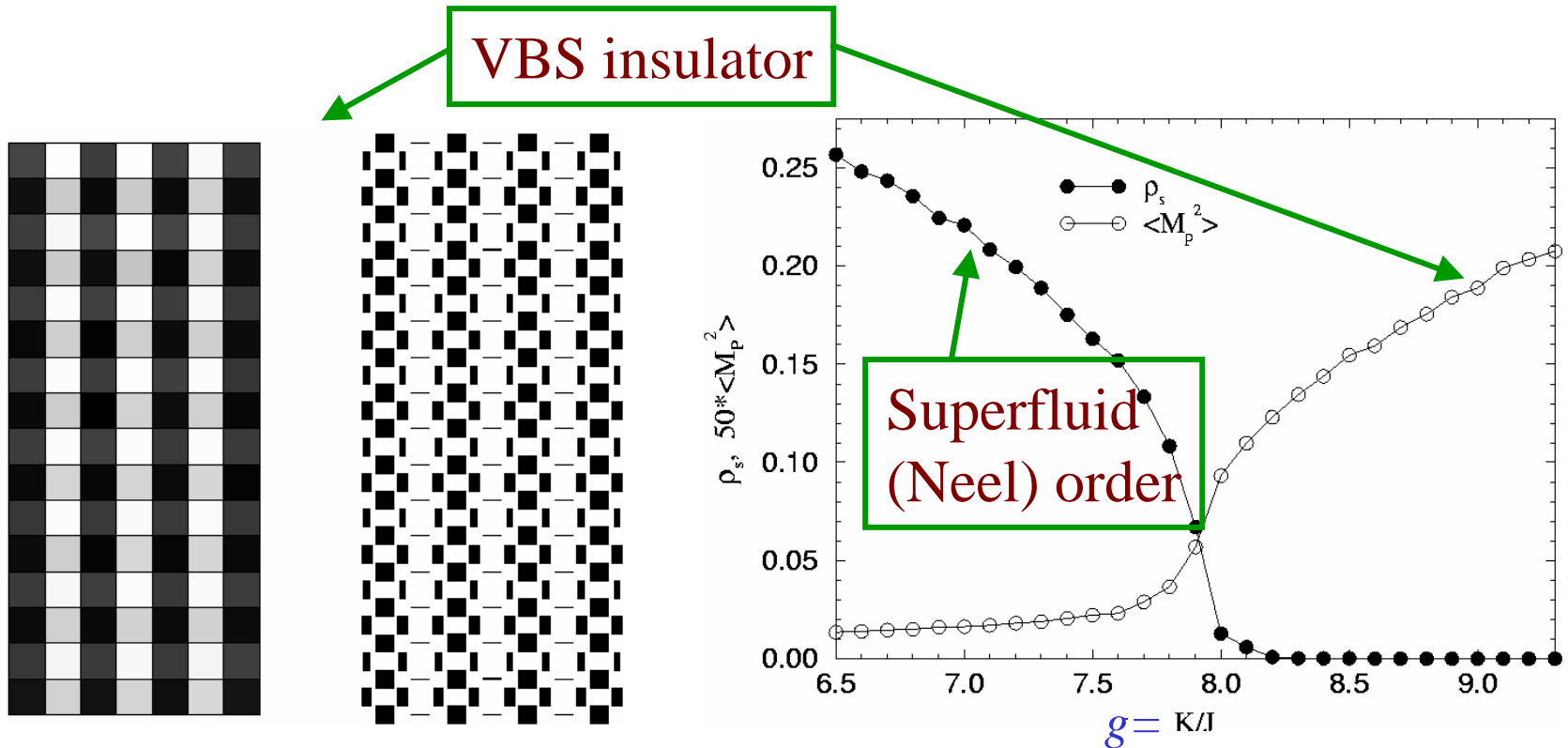


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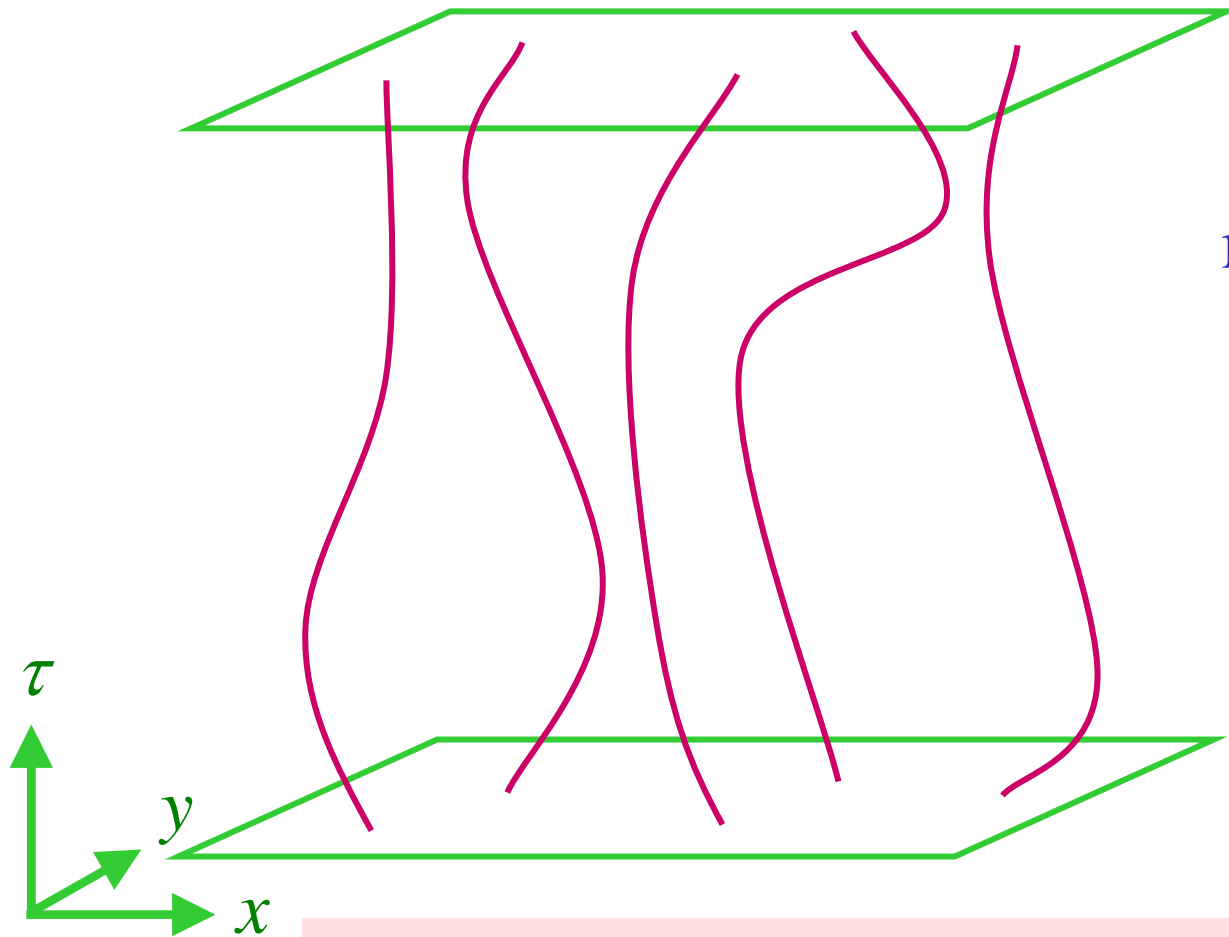
Superfluid-insulator transition of hard core bosons at $f=1/2$ (Neel-valence bond solid transition of $S=1/2$ AFM)

A. W. Sandvik, S. Daul, R. R. P. Singh, and D. J. Scalapino, *Phys. Rev. Lett.* **89**, 247201 (2002)
Large scale (> 8000 sites) numerical study of the destruction of superfluid (i.e. magnetic Neel) order at half filling with full square lattice symmetry



$$H = J \sum_{\langle ij \rangle} (S_i^+ S_j^- + S_i^- S_j^+) - K \sum_{\langle ijkl \rangle \square} (S_i^+ S_j^- S_k^+ S_l^- + S_i^- S_j^+ S_k^- S_l^+)$$

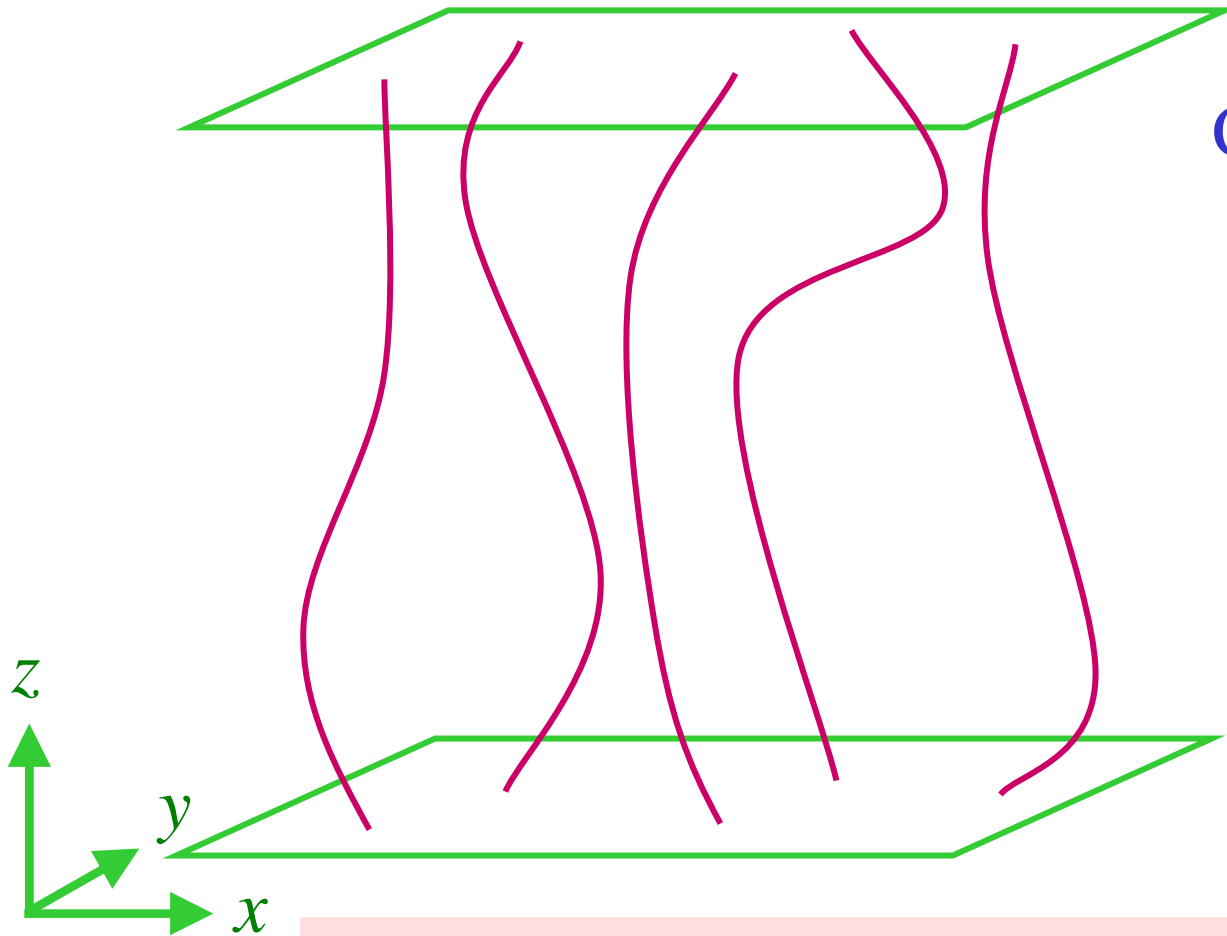
Boson-vortex duality



Quantum
mechanics of two-
dimensional
bosons: world
lines of bosons in
spacetime

Express theory of $S=1/2$ AFM as a theory of $S_z = -1$
“spin down” bosons at filling $f=1/2$

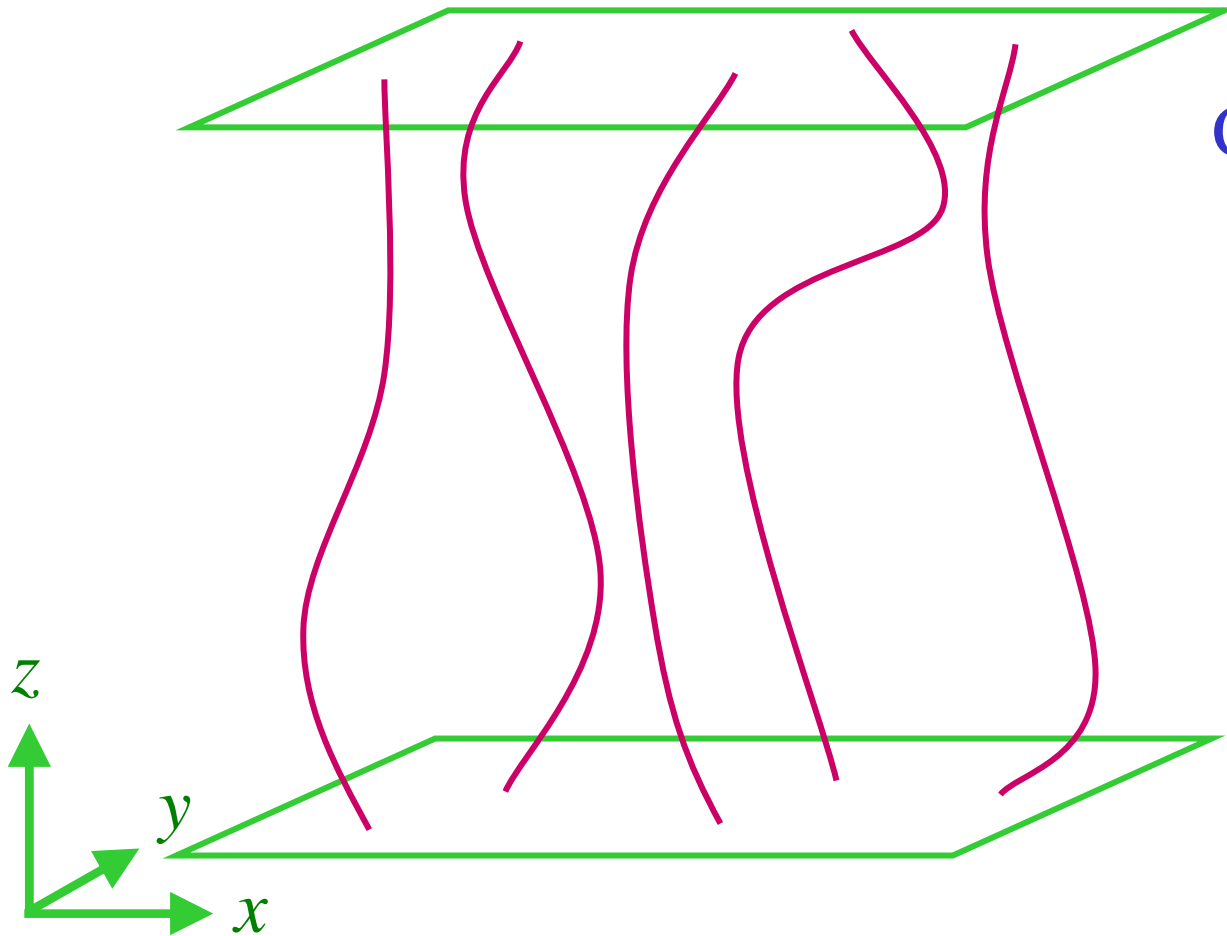
Boson-vortex duality



Classical statistical mechanics of a “dual” three-dimensional superconductor: vortices in a “magnetic” field

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Boson-vortex duality



Classical statistical mechanics of a “dual” three-dimensional superconductor: vortices in a “magnetic” field

Strength of “magnetic” field = density of bosons
= f flux quanta per plaquette

Boson-vortex duality

Statistical mechanics of dual superconductor is invariant under the square lattice space group:

T_x, T_y : Translations by a lattice spacing in the x, y directions

R : Rotation by 90 degrees.

Magnetic space group:

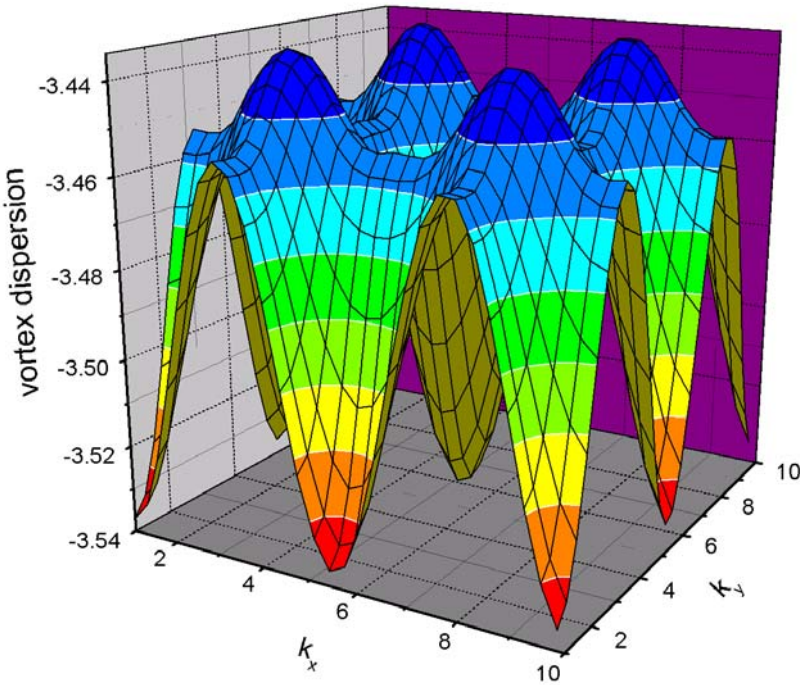
$$T_x T_y = e^{2\pi i f} T_y T_x ;$$

$$R^{-1} T_y R = T_x ; \quad R^{-1} T_x R = T_y^{-1} ; \quad R^4 = 1$$

Strength of “magnetic” field = density of bosons
= f flux quanta per plaquette

Boson-vortex duality

Hofstadter spectrum of dual “superconducting” order



At density $f = p / q$ (p, q relatively prime integers) there are q species of vortices, φ_ℓ (with $\ell = 1 \dots q$), associated with q gauge-equivalent regions of the Brillouin zone

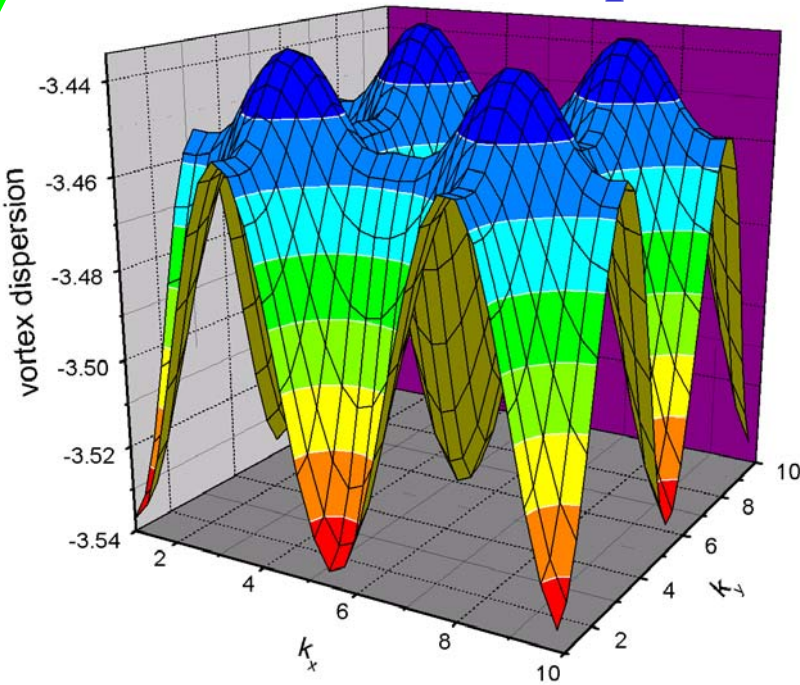
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The q vortices form a *projective* representation of the space group

$$T_x : \varphi_\ell \rightarrow \varphi_{\ell+1} \quad ; \quad T_y : \varphi_\ell \rightarrow e^{2\pi i \ell f} \varphi_\ell$$

$$R : \varphi_\ell \rightarrow \frac{1}{\sqrt{q}} \sum_{m=1}^q \varphi_m e^{2\pi i \ell m f}$$

Boson-vortex duality

The φ_ℓ fields characterize *both* Neel (superfluid) and VBS order

Neel (superfluid) order: $\langle \varphi_\ell \rangle = 0$

VBS (charge) order:

Status of space group symmetry determined by

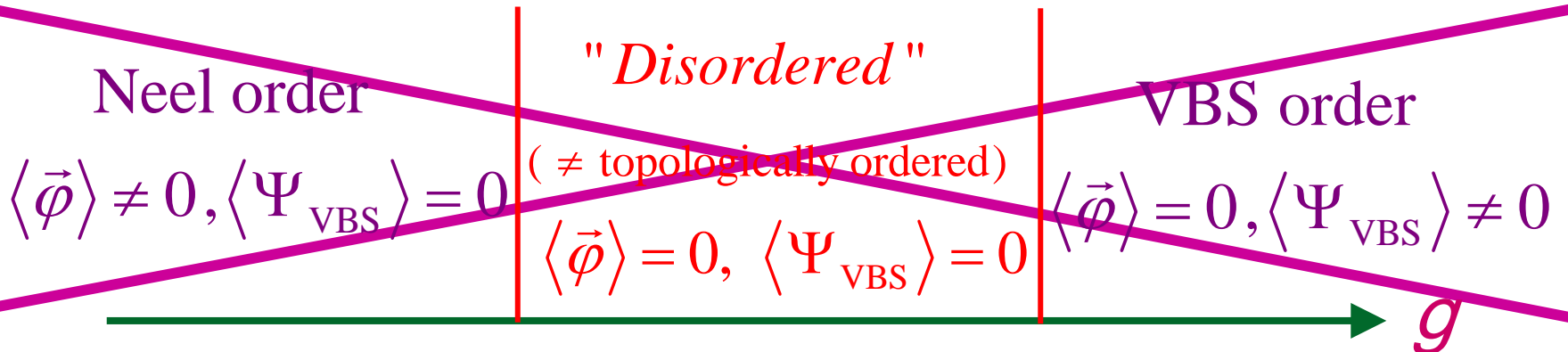
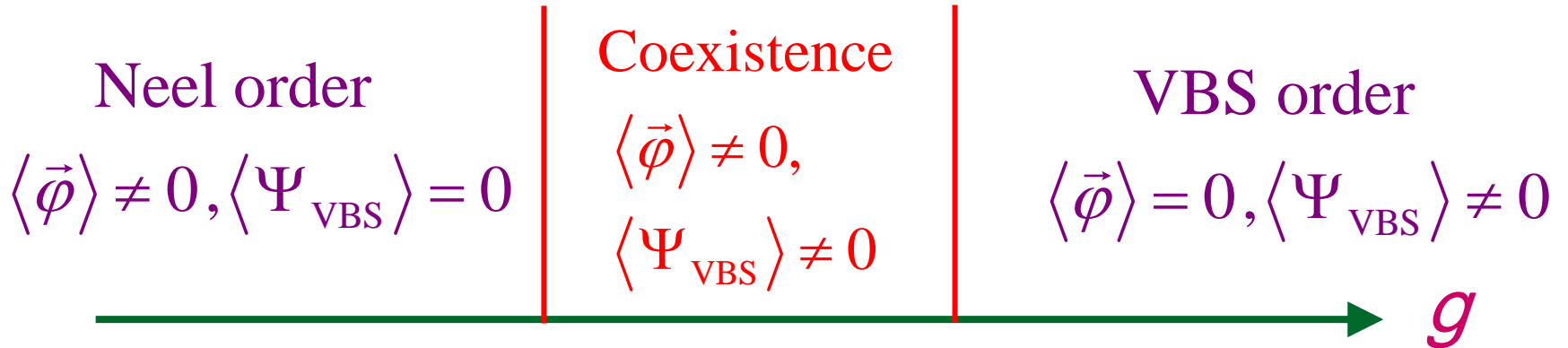
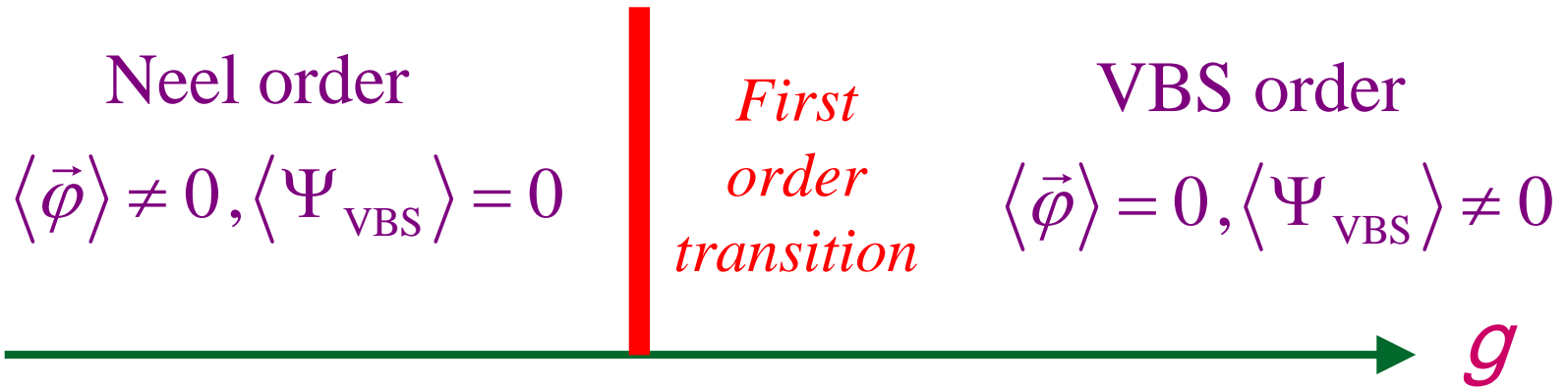
density operators $\rho_{\mathbf{Q}}$ at wavevectors $\mathbf{Q}_{mn} = \frac{2\pi p}{q}(m, n)$

$$\rho_{mn} = e^{i\pi mnf} \sum_{\ell=1}^q \varphi_\ell^* \varphi_{\ell+n} e^{2\pi i \ell m f}$$

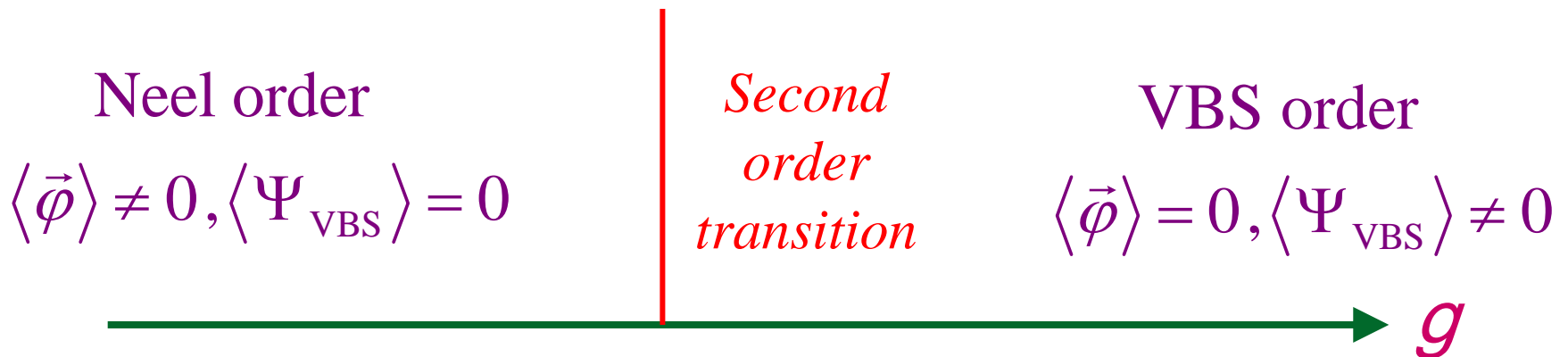
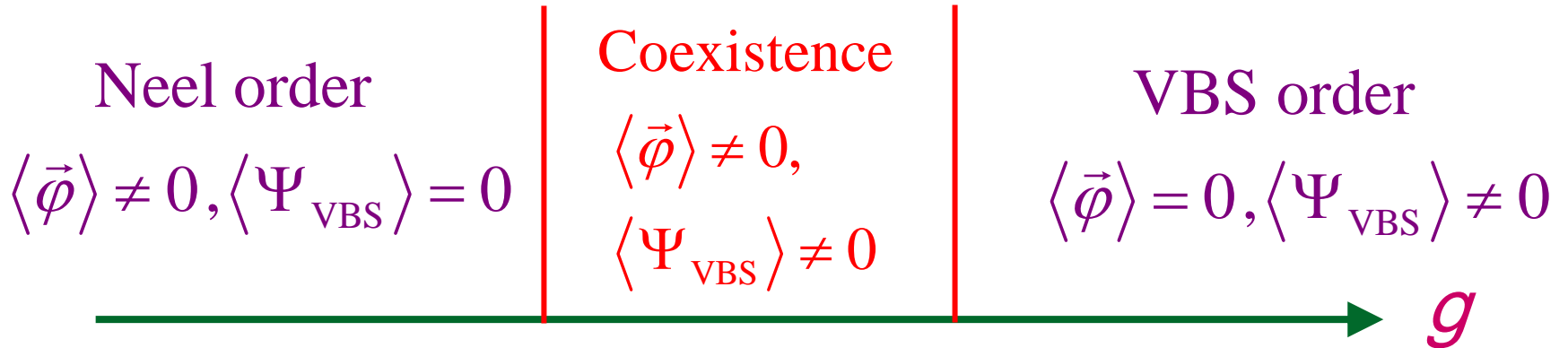
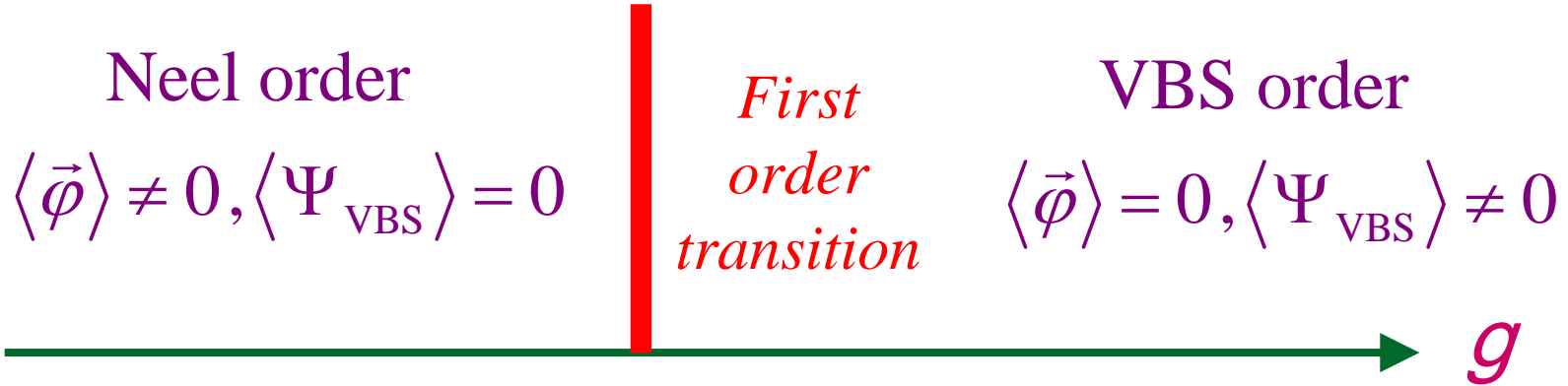
$$T_x : \rho_{\mathbf{Q}} \rightarrow \rho_{\mathbf{Q}} e^{i\mathbf{Q} \cdot \hat{x}} \quad ; \quad T_y : \rho_{\mathbf{Q}} \rightarrow \rho_{\mathbf{Q}} e^{i\mathbf{Q} \cdot \hat{y}}$$

$$R : \rho(\mathbf{Q}) \rightarrow \rho(R\mathbf{Q})$$

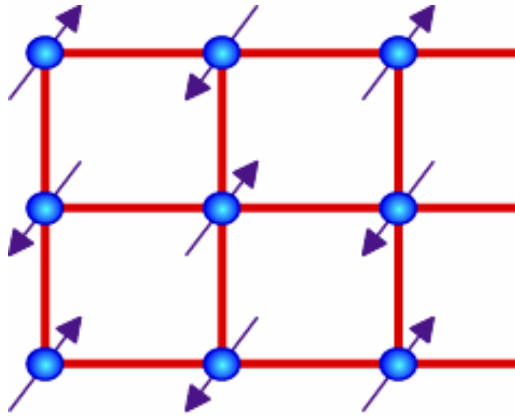
Naïve approach: add VBS order parameter to LGW theory “by hand”



Predictions of extended “LGW” theory with projective symmetry

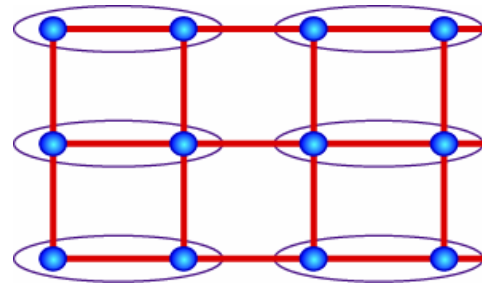


Phase diagram of S=1/2 square lattice antiferromagnet

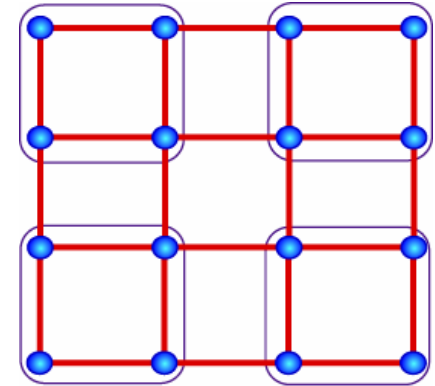


Neel order

$$\vec{\phi} \sim z_{\alpha}^* \vec{\sigma}_{\alpha\beta} z_{\beta} \neq 0$$



or



VBS order $\Psi_{\text{VBS}} \neq 0$,

$S = 1/2$ spinons z_{α} confined,

$S = 1$ triplon excitations

Second-order critical point described by a theory of deconfined spinons

$$\mathcal{S}_{\text{critical}} = \int d^2x d\tau \left[|(\partial_{\mu} - iA_{\mu})z_{\alpha}|^2 + r |z_{\alpha}|^2 + \frac{u}{2} (|z_{\alpha}|^2)^2 + \frac{1}{4e^2} (\partial_{\mu}A_{\nu} - \partial_{\nu}A_{\mu})^2 \right]$$

where the U(1) gauge field A_{μ} is *non-compact*. Deconfinement also happens in critical *phases* (Hermele *et al.* cond-mat/0404751) and monopole screening arguments (Herbut, Sachdev *et al.*) fail at critical points/phases.

Main lesson:

Novel second-order quantum critical point between phases with conventional order parameters.

A direct second-order transition between such phases is forbidden by symmetry in LGW theory.

Key question for metallic systems:

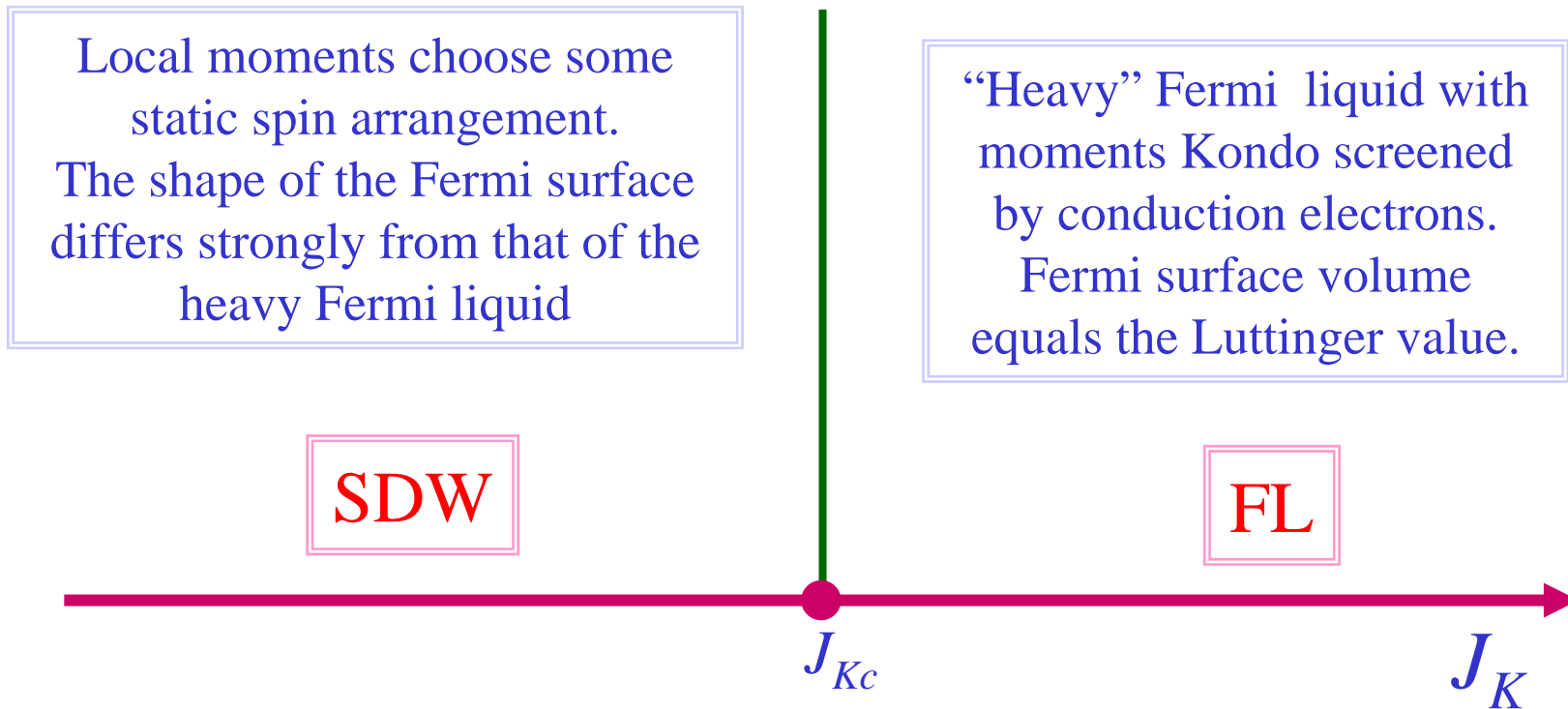
Is a direct second-order quantum critical point possible between metallic states distinguished by two conventional order parameters:

(A) SDW order

(B) Shape of Fermi surface ?

Such a transition is obtained if the FL phase is unstable to confinement to a SDW state at low energies.*

Phase diagram for the Kondo lattice ?



See also Q. Si, S. Rabello, K. Ingersent, and J. L. Smith, *Nature* 413, 804 (2001);
S. Paschen, T. Luehmann, C. Langhammer, O. Trovarelli, S. Wirth, C. Geibel, F. Steglich,
Acta Physica Polonica B 34, 359 (2003).

Conclusions

Two possible routes to “exotic” quantum criticality

- I. New FL* phase with a Fermi surface of electron-like quasiparticles (whose volume violates the Luttinger theorem), topological order, emergent gauge excitations, and neutral fractionalized quasiparticles.

Novel Fermi-volume-changing quantum criticality in the transition between the FL and FL* phases (and associated SDW and SDW* phases).

Conclusions

Two possible routes to “exotic” quantum criticality

II. Conventional FL and SDW phases (but with very different shapes of Fermi surfaces) undergo a direct quantum phase transition.

Analogous quantum critical point found in a direct transition between Neel and VBS states in $S=1/2$ Mott insulators in two dimensions. Mapping to this scenario to metals we obtain the above scenario if the FL* phase is unstable to confinement to a SDW phase.