

Damping of collective modes and quasiparticles in d-wave superconductors

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Transparencies on-line at
<http://pantheon.yale.edu/~subir>



Review article: cond-mat/0005250
and references therein

Quantum Phase Transitions,
Cambridge University Press

Yale University

Elementary excitations of a d-wave superconductor

(A) $S=0$ Cooper pairs, phase fluctuations

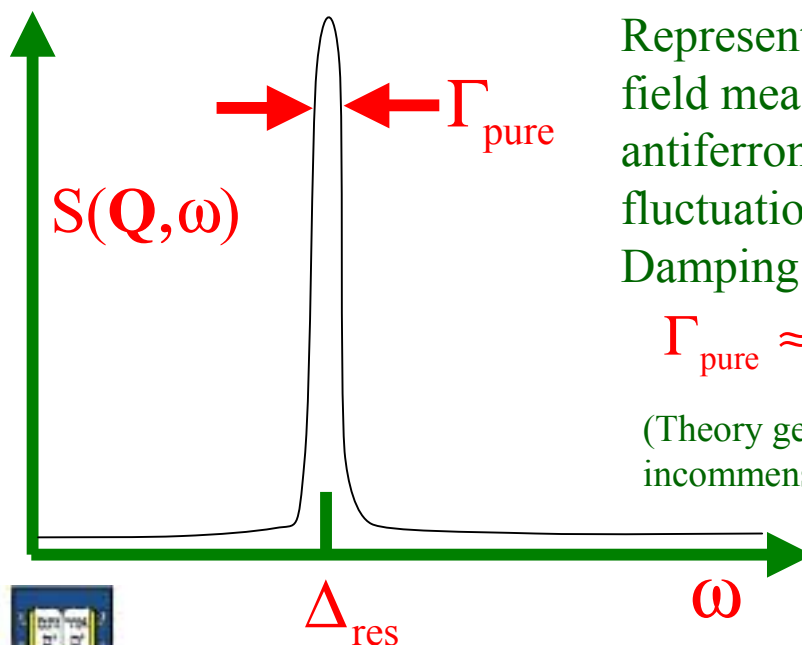
Negligible below T_c except near a $T=0$ superconductor-insulator transition.
Proliferate above T_c due to free vortex density.

(B) $S=1/2$ Fermionic quasiparticles

Ψ_h : strongly paired fermions near $(\pi, 0)$, $(0, \pi)$
have an energy gap $\sim 30\text{-}40$ meV

$\Psi_{1,2}$: gapless fermions near the nodes of the superconducting gap at $(\pm K, \pm K)$ with $K = 0.391\pi$

(C) $S=1$ Bosonic, resonant collective mode



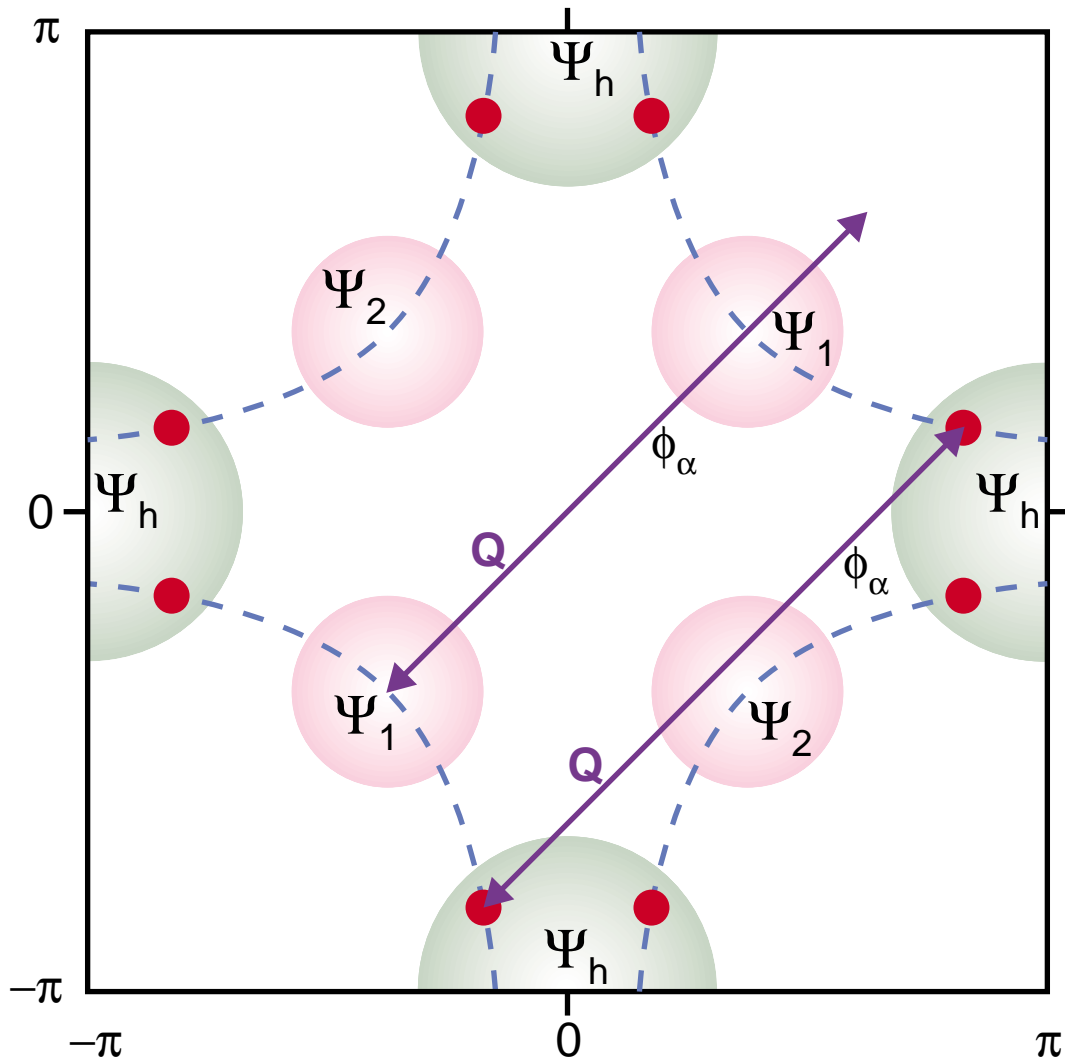
Represented by ϕ_α , a vector field measuring the strength of antiferromagnetic spin fluctuations near $\mathbf{Q} \approx (\pi, \pi)$
Damping is small at $T=0$

$$\Gamma_{\text{pure}} \approx 0 \text{ at } T = 0$$

(Theory generalizes to the cases with incommensurate \mathbf{Q} and $\Gamma_{\text{pure}} \neq 0$)



Constraints from momentum conservation



Ψ_h : strongly coupled to ϕ_α and phase fluctuations
(leads to strong damping above T_c , and coherent pairing and gap formation below T_c)

$\Psi_{1,2}$: decoupled from ϕ_α and phase fluctuations
(absence of damping and pairing ?)



- I. Zero temperature broadening of resonant collective mode ϕ_α by impurities: comparison with neutron scattering experiments of Fong *et al* Phys. Rev. Lett. **82**, 1939 (1999)
- II. Intrinsic inelastic lifetime of nodal quasiparticles $\Psi_{1,2}$ (Valla *et al* Science **285**, 2110 (1999) and Corson *et al* cond-mat/0003243): critical survey of possible nearby quantum-critical points.

Independent low energy quantum field theories for the ϕ_α and the $\Psi_{1,2}$



I. Zero temperature broadening of resonant collective mode by impurities

Effect of arbitrary localized deformations
("impurities") of density n_{imp}

Each impurity is characterized
by an integer/half-odd-integer S

As $\Delta_{\text{res}} \rightarrow 0$

$$\frac{\Gamma_{\text{imp}}}{\Delta_{\text{res}}} = n_{\text{imp}} \left(\frac{\hbar c}{\Delta_{\text{res}}} \right)^2 \left[C_S + O\left(\frac{\Delta_{\text{res}}}{J} \right) \right]$$

Correlation length ξ

$C_S \rightarrow$ Universal numbers dependent only on S

$$C_0 = 0 ; C_{1/2} \approx 1$$

Zn impurities in YBCO have $S=1/2$

"Swiss-cheese" model of quantum impurities
(Uemura):

Inverse Q of resonance \sim fractional volume of
holes in Swiss cheese.



As $\Delta_{\text{res}} \rightarrow 0$ there is a quantum phase transition to a magnetically ordered state

(A) Insulating Neel state (or collinear SDW at wavevector \mathbf{Q}) \iff insulating quantum paramagnet

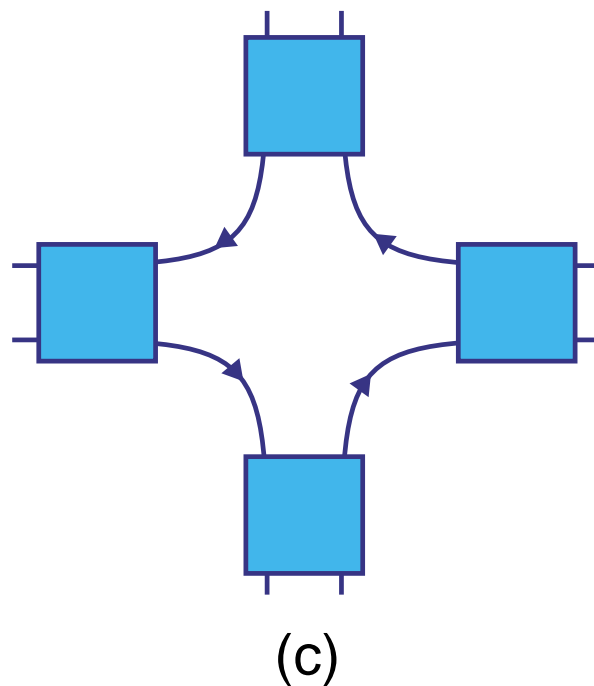
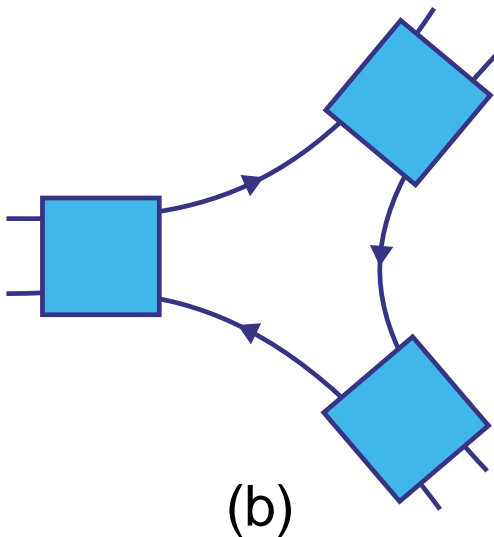
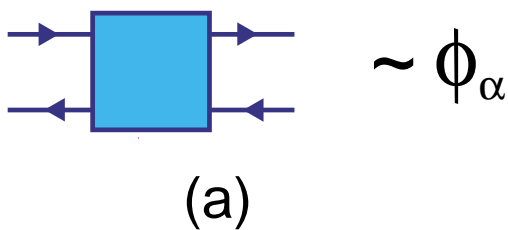
(B) d -wave superconductor with collinear SDW at wavevector \mathbf{Q} \iff d -wave superconductor (paramagnet)

Transition (B) is in the same universality class as (A) provided Ψ_h fermions remain gapped at quantum-critical point.



Why appeal to proximity to a quantum phase transition ?

$\phi_\alpha \sim S=1$ bound state in particle-hole channel at the antiferromagnetic wavevector



Quantum field theory of critical point allows systematic treatment of the strongly relevant multi-point interactions in (b) and (c).



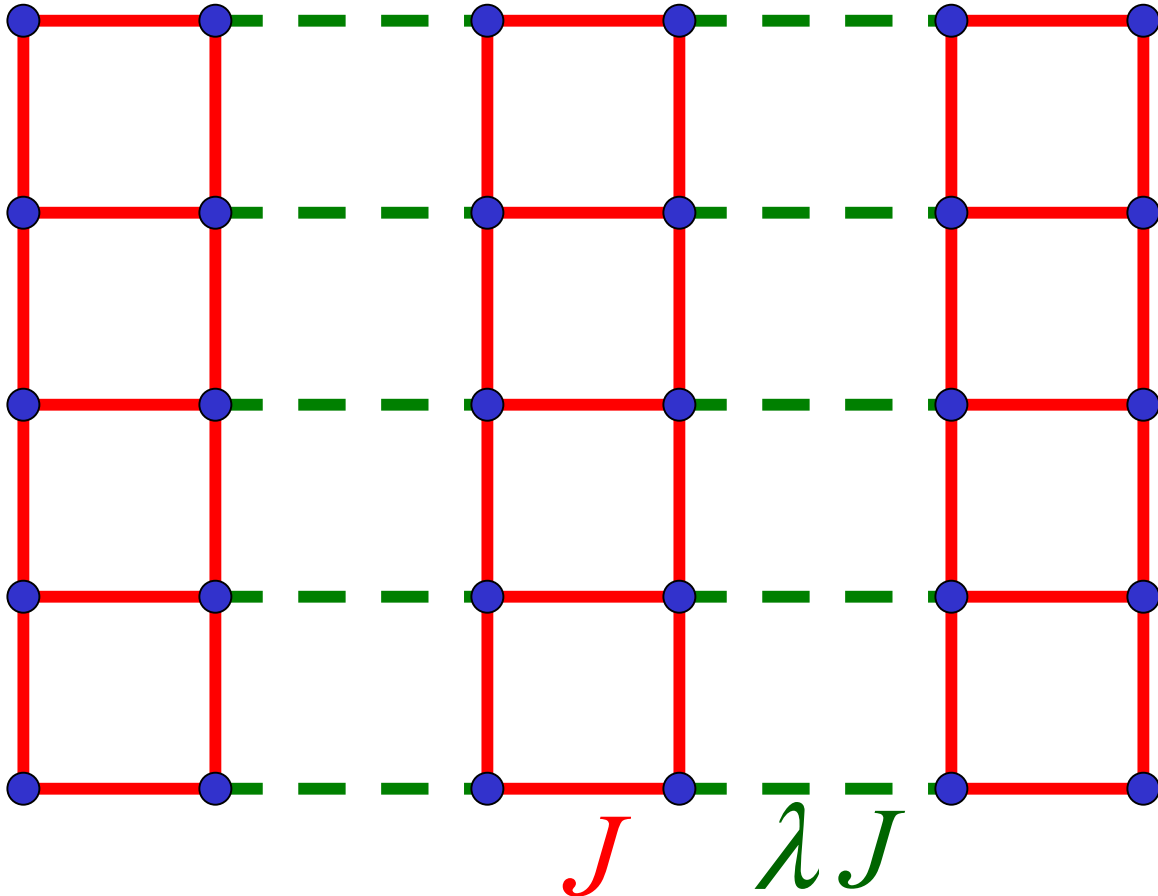
1. (A) Paramagnetic and Neel ground states in two dimensions --- **coupled-ladder antiferromagnet**.
Field theory of quantum phase transition.
2. Non-magnetic impurities (**Zn** or **Li**) in two-dimensional paramagnets.
3. Application to (B) d-wave superconductors.
Comparison with, and predictions for, expts



1. Paramagnetic and Neel states in insulators

(Katoh and Imada;
Tworzydło, Osman, van Duin and Zaanen)

$S=1/2$ spins on coupled 2-leg ladders



$$H = \sum_{\langle ij \rangle} J_{ij} \vec{S}_i \cdot \vec{S}_j$$

Follow ground state as a function of λ

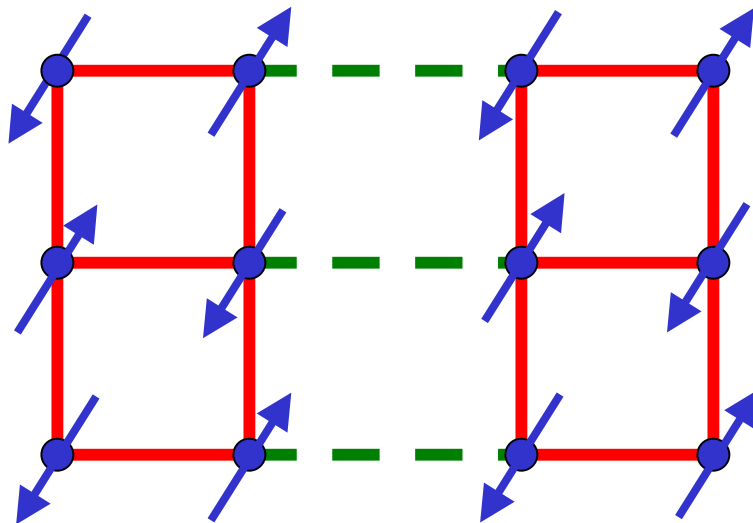
$$0 \leq \lambda \leq 1$$



λ close to 1

Square lattice antiferromagnet

Experimental realization: La_2CuO_4



Ground state has long-range magnetic (Neel) order

$$\langle \vec{S}_i \rangle = (-1)^{i_x + i_y} N_0 \neq 0$$

Excitations: 2 spin waves

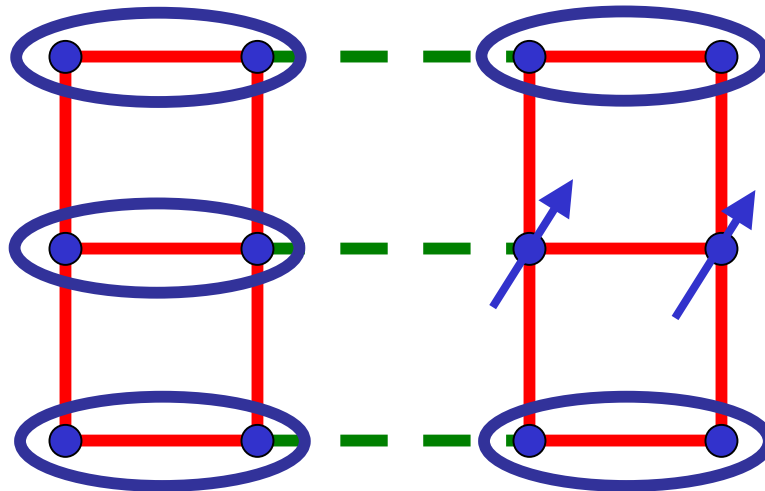
Quasiclassical wave dynamics at low T

(Chakravarty et al, 1989;
Tyc et al, 1989)



λ close to 0

Weakly coupled ladders



$$\text{rung} = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

Paramagnetic ground state $\langle \vec{S}_i \rangle = 0$

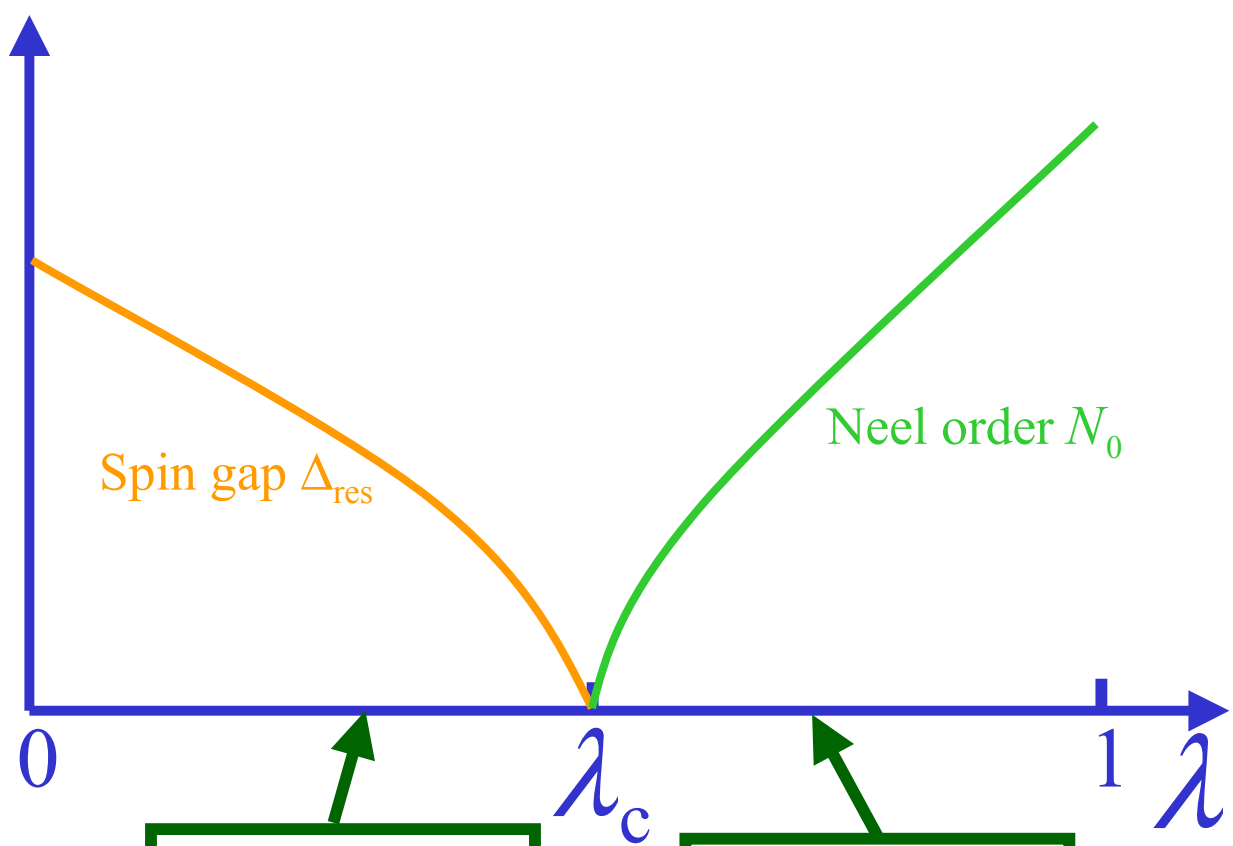
Excitation: $S=1$, ϕ_α particle (collective mode)

Energy dispersion away from
antiferromagnetic wavevector

$$\varepsilon = \Delta_{\text{res}} + \frac{c^2 k^2}{2\Delta_{\text{res}}}$$

$\Delta_{\text{res}} \rightarrow$ Spin gap





Quantum
paramagnet
 $\langle \vec{S} \rangle = 0$

Neel
state
 $\langle \vec{S} \rangle \neq N_0$



Nearly-critical paramagnets

λ is close to λ_c

Quantum field theory:

$$S_b = \int d^d x d\tau \left[\frac{1}{2} \left((\nabla_x \phi_\alpha)^2 + c^2 (\partial_\tau \phi_\alpha)^2 + r \phi_\alpha^2 \right) + \frac{g}{4!} (\phi_\alpha^2)^2 \right]$$

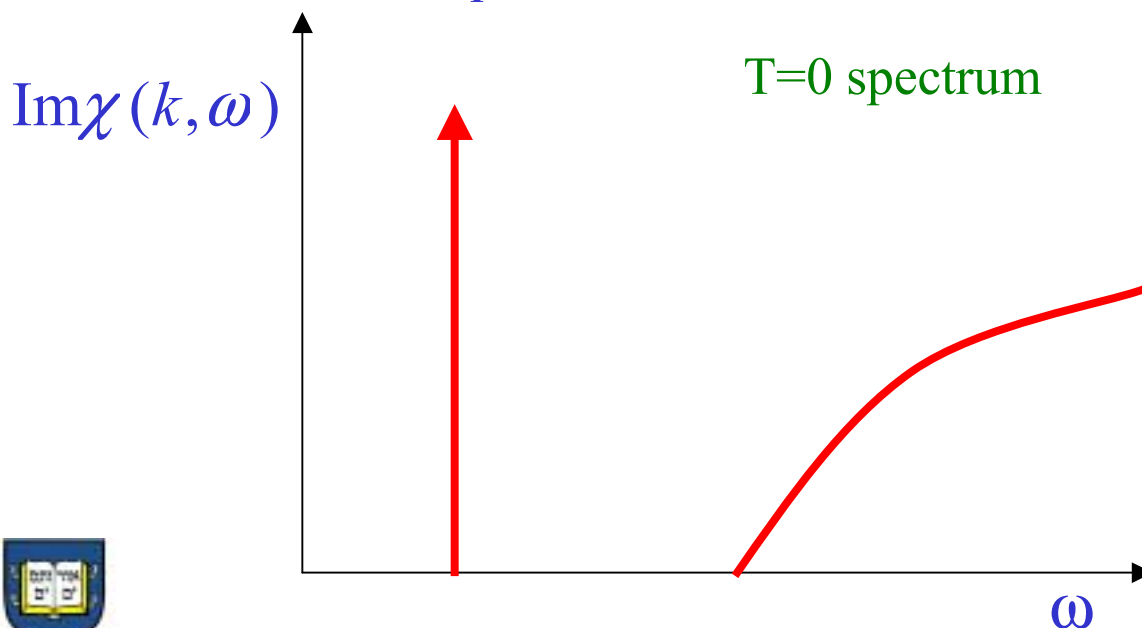
$\phi_\alpha \rightarrow$ 3-component antiferromagnetic order parameter

$$r > 0 \rightarrow \lambda < \lambda_c$$

$$r < 0 \rightarrow \lambda > \lambda_c$$

Oscillations of ϕ_α about zero (for $r > 0$)

\rightarrow spin-1 collective mode



Coupling g approaches fixed-point value under renormalization group flow: beta function ($\epsilon = 3-d$) :

$$\beta(g) = -\epsilon g + \frac{11g^2}{6} - \frac{23g^3}{12} + \mathcal{O}(g^4)$$

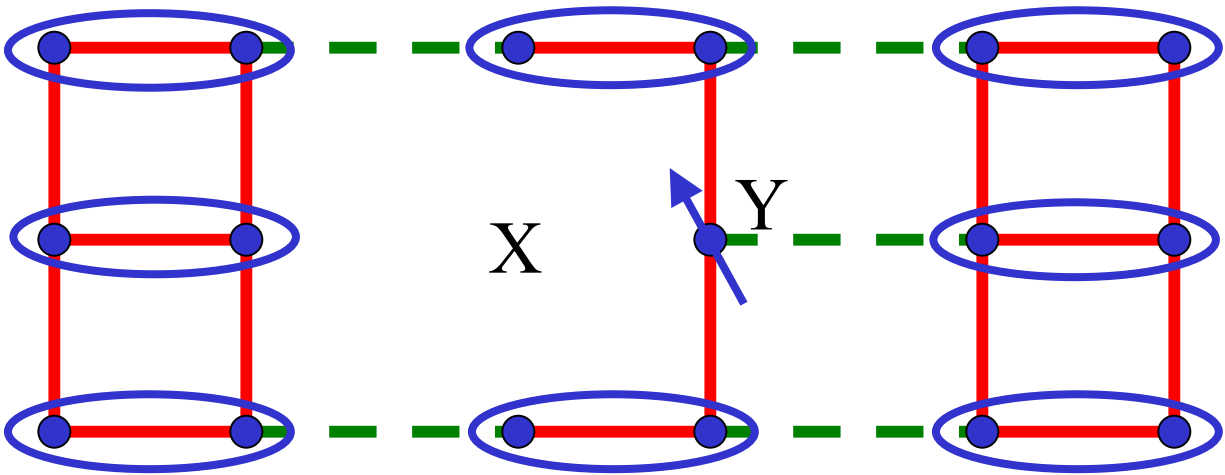
Only relevant perturbation – r
strength is measured by the spin gap Δ

Δ_{res} and c completely determine entire spectrum of quasi-particle peak and multiparticle continua, the S matrices for scattering between the excitations, and $T > 0$ modifications.



2. Quantum impurities in nearly-critical paramagnets

Make *any* localized deformation of antiferromagnet; e.g. remove a spin



Susceptibility

$$\chi = A\chi_b + \chi_{imp}$$

(A = area of system)

In paramagnetic phase as $T \rightarrow 0$

$$\chi_b = \left(\frac{\Delta_{res}}{\hbar^2 c^2 \pi} \right) e^{-\Delta_{res}/k_B T} ; \chi_{imp} = \frac{S(S+1)}{3k_B T}$$

For a general impurity χ_{imp} defines the value of S



Orientation of “impurity” spin -- $n_\alpha(\tau)$ (unit vector)

Action of “impurity” spin

$$S_{\text{imp}} = \int d\tau \left[iS A_\alpha(n) \frac{dn_\alpha}{d\tau} - \gamma S n_\alpha(\tau) \phi_\alpha(x=0, \tau) \right]$$

$A_\alpha(n) \rightarrow$ Dirac monopole function

Boundary quantum field theory: $S_b + S_{\text{imp}}$

Recall -

$$S_b = \int d^d x d\tau \left[\frac{1}{2} \left((\nabla_x \phi_\alpha)^2 + c^2 (\partial_\tau \phi_\alpha)^2 + r \phi_\alpha^2 \right) + \frac{g}{4!} (\phi_\alpha^2)^2 \right]$$



Coupling γ approaches *also* approaches a fixed-point value under the renormalization group flow

Beta function:

(Sengupta, 97
Sachdev+Ye, 93
Smith+Si 99)

$$\beta(\gamma) = -\frac{\epsilon\gamma}{2} + \gamma^3 - \gamma^5 + \frac{5g^2\gamma}{144} + \frac{\pi^2}{3} \left(S(S+1) - \frac{1}{3} \right) g\gamma^3 + \mathcal{O}((\gamma, \sqrt{g})^7)$$

No new relevant perturbations on the boundary;
All other boundary perturbations are irrelevant –

e.g. $\lambda \int d\tau \phi_\alpha^2(x=0, \tau)$

(This is the simplest allowed boundary perturbation for $S=0$ – its irrelevance implies $C_0 = 0$)

Δ_{res} and c completely determine spin dynamics near an impurity –

No new parameters are necessary !

Finite density of impurities n_{imp}

Relevant perturbation – strength determined by only energy scale that is linear in n_{imp} and contains only bulk parameters

$$\Gamma \equiv \frac{n_{\text{imp}} (\hbar c)^2}{\Delta_{\text{res}}}$$

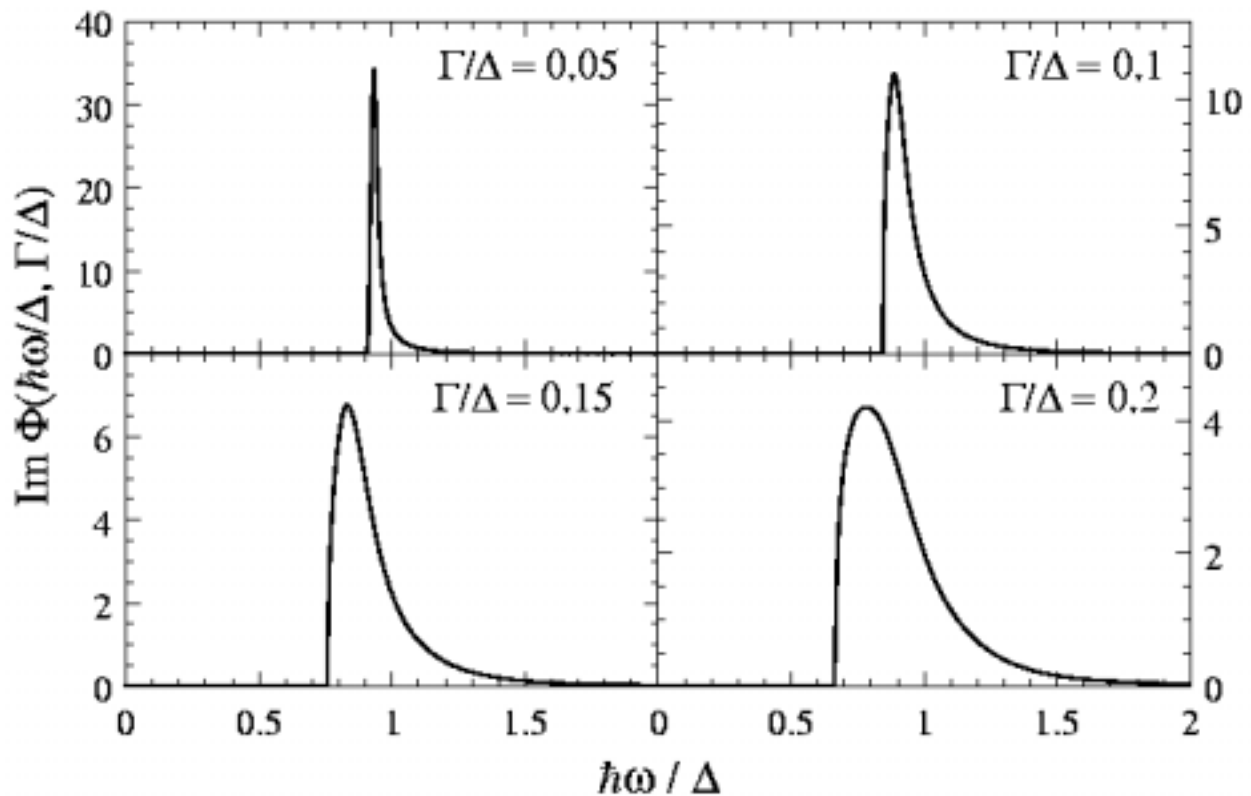


Fate of collective mode peak

Without impurities $\chi(G, \omega) = \frac{A}{\Delta_{\text{res}}^2 - \omega^2}$

With impurities $\chi(G, \omega) = \frac{A}{\Delta_{\text{res}}^2} \Phi\left(\frac{\hbar\omega}{\Delta_{\text{res}}}, \frac{\Gamma}{\Delta_{\text{res}}}\right)$

$\Phi \rightarrow$ *Universal* scaling function. We computed it in a “self-consistent, non-crossing” approximation



Predictions: Half-width of line $\approx \Gamma$
Universal asymmetric lineshape

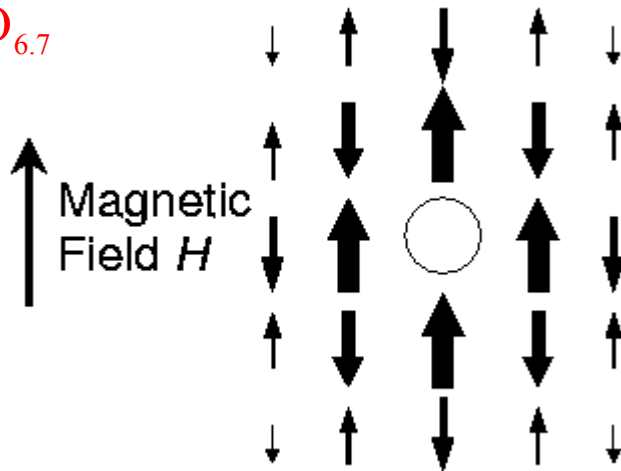


3. Application to d-wave superconductors (YBCO)

Zn impurity in $\text{YBa}_2\text{Cu}_3\text{O}_{6.7}$

Moments measured by
analysis of Knight shifts

M.-H. Julien, T. Feher,
M. Horvatic, C. Berthier,
O. N. Bakharev, P. Segransan,
G. Collin, and J.-F. Marucco,
Phys. Rev. Lett. **84**, 3422
(2000); also earlier work of
the group of H. Alloul



**Berry phases of precessing spins do not cancel
between the sublattices in the vicinity of the
impurity: net uncancelled phase of $S=1/2$**

Pepin and Lee: Modeled Zn impurity as a potential scatterer
in the unitarity limit, and obtained quasi-bound states at the
Fermi level.

Our approach: Each bound state captures only one electron
and this yields a Berry phase of $S=1/2$; residual potential
scattering of quasiparticles is not in the unitarity limit.



Additional low-energy spin fluctuations in a d -wave superconductor

Nodal quasiparticles Ψ

There is a Kondo coupling between moment around impurity and Ψ : $J_K S n_\alpha \Psi^* \sigma^\alpha \Psi$

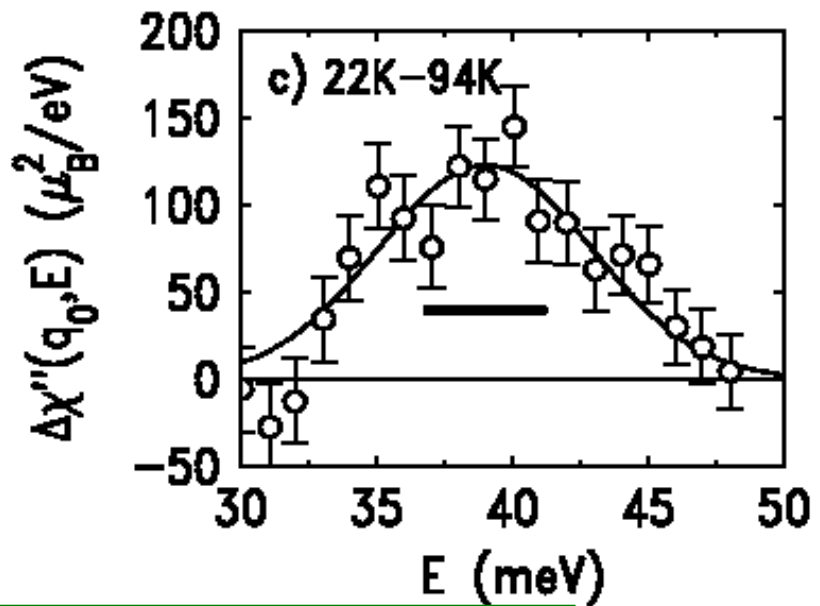
However, because density of states vanishes linearly at the Fermi level, there is no Kondo screening for any finite J_K (below a finite J_K) with (without) particle-hole symmetry

(Withoff+Fradkin, Chen+Jayaprakash, Buxton+Ingersent)



YBa₂Cu₃O₇ + 0.5% Zn

H. F. Fong, P. Bourges,
Y. Sidis, L. P. Regnault,
J. Bossy, A. Ivanov,
D.L. Milius, I. A. Aksay,
and B. Keimer,
Phys. Rev. Lett. **82**, 1939
(1999)



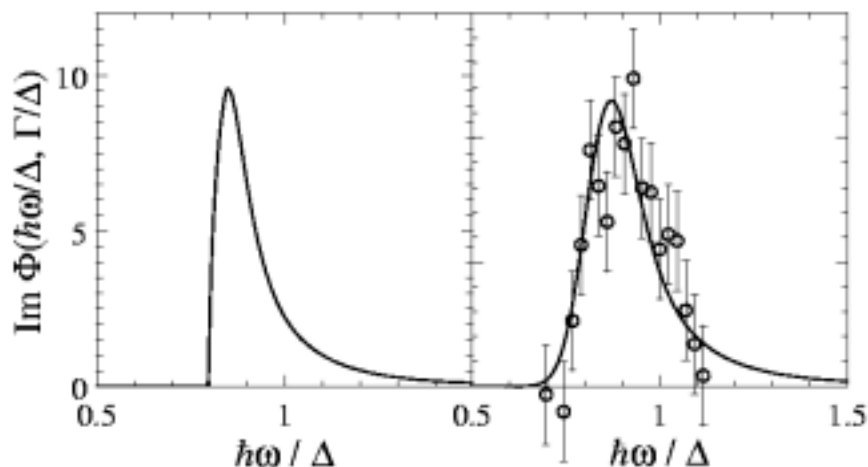
$$n_{\text{imp}} = 0.005$$

$$\Delta_{\text{res}} = 40 \text{ meV}$$

$$\hbar c = 0.2 \text{ eV}$$

$$\Rightarrow \Gamma = 5 \text{ meV}, \Gamma/\Delta_{\text{res}} = 0.125$$

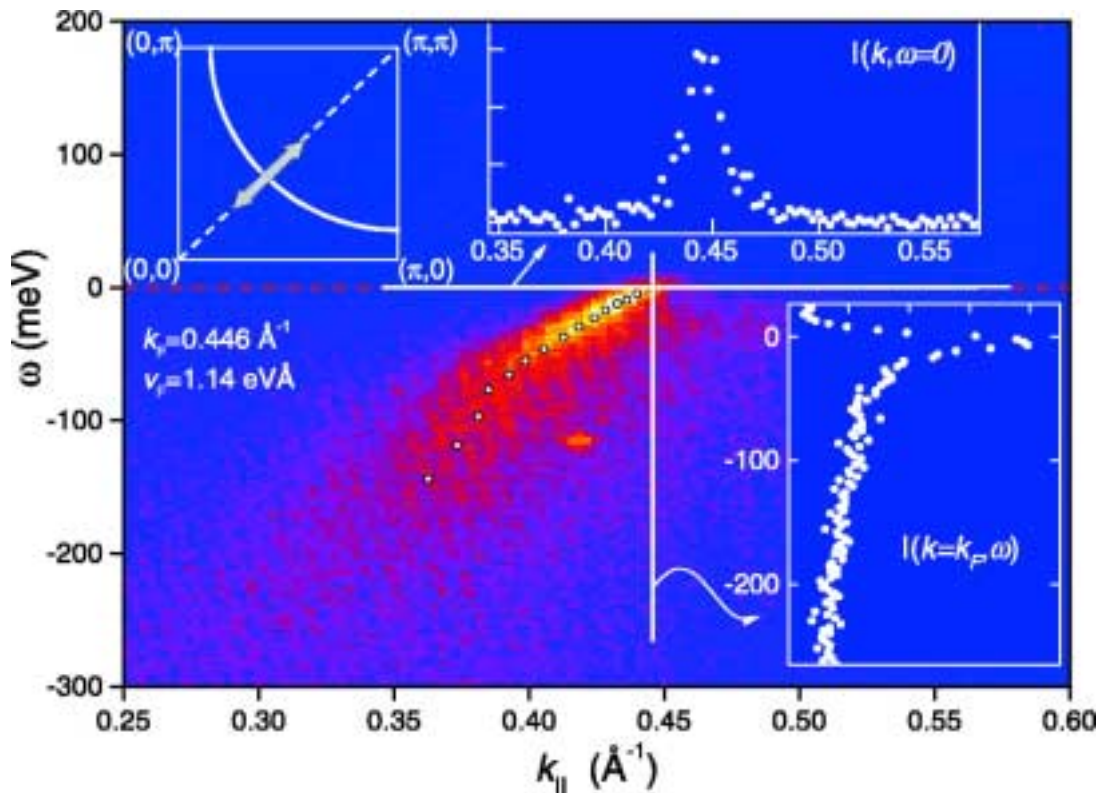
Quoted half-width = 4.25 meV



II. Intrinsic inelastic lifetime of nodal quasiparticles $\Psi_{1,2}$

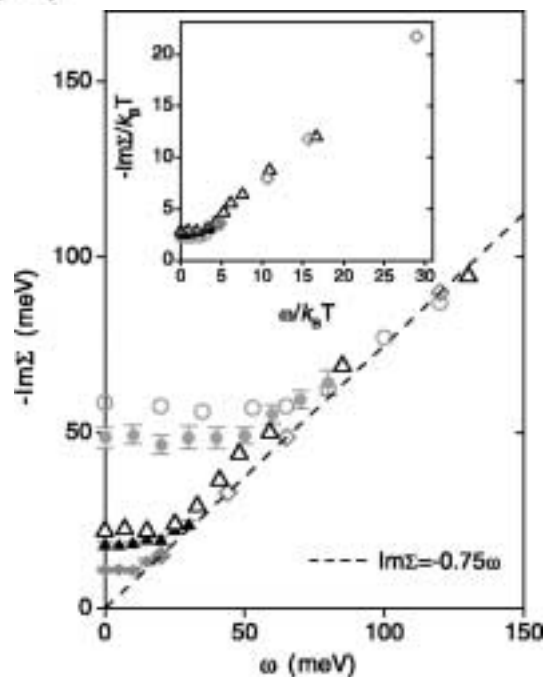
Photoemission on BSSCO

(Valla et al Science **285**, 2110 (1999))



Quantum-critical damping of quasi-particles along (1,1)

Quasi-particles sharp along (1,0)



$$\text{Im}\Sigma \sim k_B T \text{ for } \hbar\omega < k_B T$$

$$\text{Im}\Sigma \sim \hbar\omega \text{ for } \hbar\omega > k_B T$$

“Marginal Fermi liquid” (Varma *et al* 1989)
but only for nodal quasi-particles – strong k
dependence at low temperatures

Origin of inelastic scattering ?

In a Fermi liquid

$$\text{Im}\Sigma \sim T^2$$

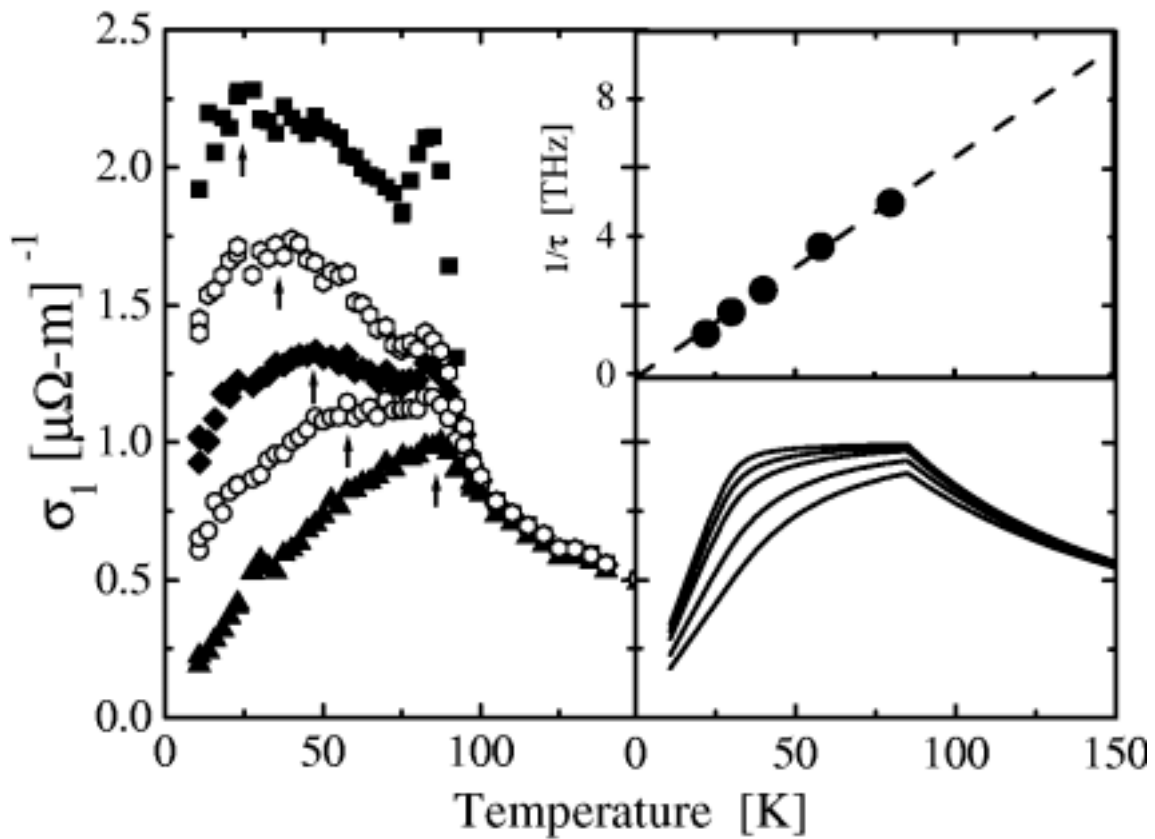
In a BCS d-wave superconductor

$$\text{Im}\Sigma \sim T^3$$



THz conductivity of BSCCO

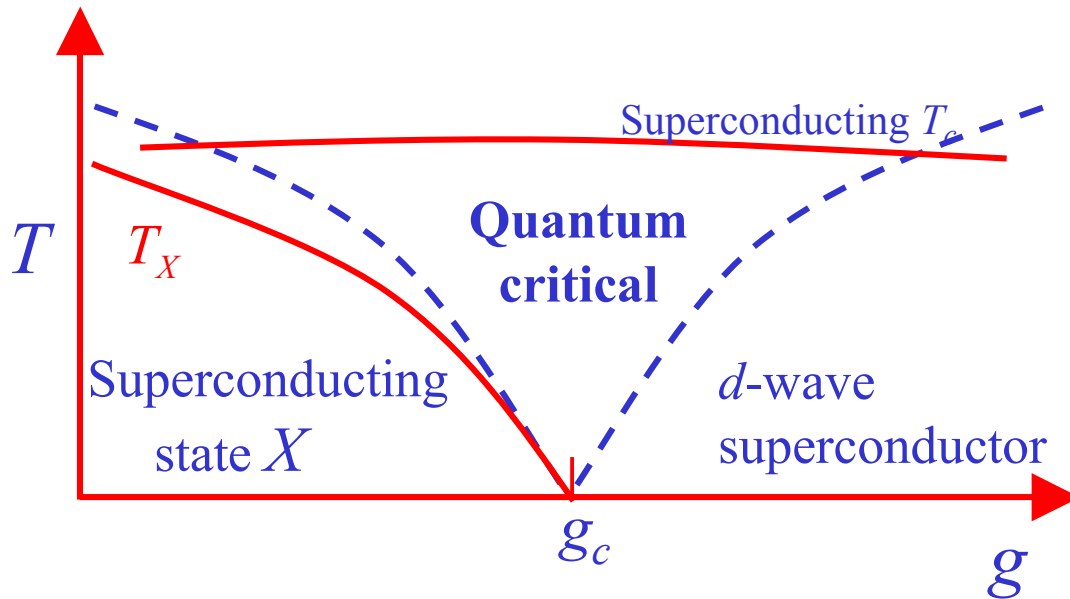
(Corson et al cond-mat/0003243)



Quantum-critical damping of
quasi-particles



Proximity to a quantum-critical point



(Crossovers analogous to those near quantum phase transitions in boson models)

Weichmann *et al* 1986, Chakravarty *et al* 1989)

Relaxational dynamics in quantum critical region

(Sachdev+Ye, 1992)

$$G_F(k, \omega) = \frac{\Lambda^{-\eta_F}}{(k_B T)^{1-\eta_F}} \Phi\left(\frac{ck}{k_B T}, \frac{\hbar\omega}{k_B T}\right)$$

Nodal quasiparticle Green's function

$k \rightarrow$ wavevector separation from node



Necessary conditions

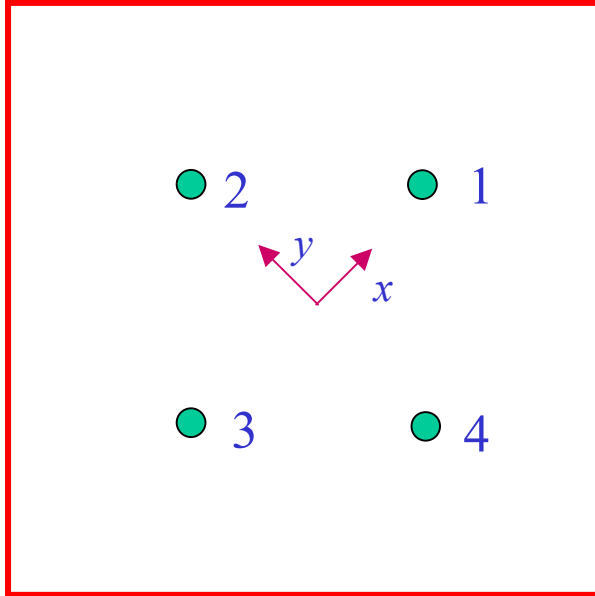
1. Quantum-critical point should be below its upper-critical dimension and obey hyperscaling.
2. Critical field theory should not be free – required to obtain damping in the scaling limit. Combined with (1) this implies that characteristic relaxation times $\sim \hbar / k_B T$
3. Nodal quasi-particles should be part of the critical-field theory.
4. Quasi-particles along (1,0), (0,1) should not couple to critical degrees of freedom.



1. d -wave superconductors
2. Candidates for X :
 - a) Staggered-flux (or *orbital antiferromagnet*) order + d -wave superconductivity (breaks \mathcal{T} – time-reversal symmetry).
 - b) Superconductivity + charge density order (charge stripes)
 - c) $(d+is)$ -wave superconductivity (breaks \mathcal{T})
 - d) $d_{x^2-y^2} + id_{xy}$ wave superconductivity (breaks \mathcal{T})



1. *d*-wave superconductors



Gapless Fermi Points in a *d*-wave superconductor at wavevectors $(\pm K, \pm K)$

$$K = 0.391\pi$$

$$\Psi_1 = \begin{pmatrix} f_{1\uparrow} \\ f_{3\downarrow}^* \\ f_{1\downarrow} \\ -f_{3\uparrow}^* \end{pmatrix} \quad \Psi_2 = \begin{pmatrix} f_{2\uparrow} \\ f_{4\downarrow}^* \\ f_{2\downarrow} \\ -f_{4\uparrow}^* \end{pmatrix}$$

$$S_\Psi = \int \frac{d^d k}{(2\pi)^d} T \sum_{\omega_n} \Psi_1^\dagger (-i\omega_n + v_F k_x \tau^z + v_\Delta k_y \tau^x) \Psi_1 \\ + \int \frac{d^d k}{(2\pi)^d} T \sum_{\omega_n} \Psi_2^\dagger (-i\omega_n + v_F k_y \tau^z + v_\Delta k_x \tau^x) \Psi_2.$$

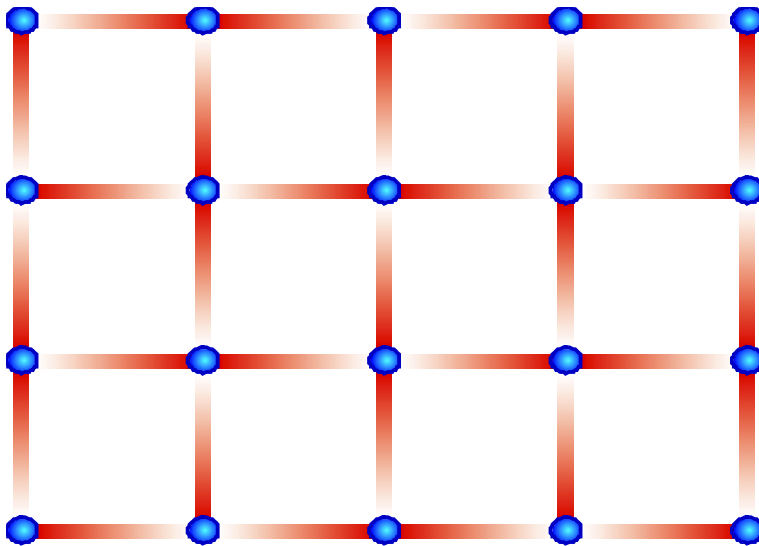
τ^x, τ^z are Pauli matrices in Nambu space



2a. Orbital antiferromagnet

Checkerboard pattern of spontaneous currents:

(Affleck+Marston 1988, Schulz 1989,
Wang, Kotliar, Wang, 1990, Wen+Lee, 1996)



\mathcal{T} -breaking Ising order parameter ϕ

$$\langle c_{k+G,a}^\dagger c_{k,a} \rangle = i\phi(\cos k_x - \cos k_y) \quad ; \quad G = (\pi, \pi)$$

(Nayak, 2000)

$$S_\phi = \int d^d x d\tau \left[\frac{1}{2} (\partial_\tau \phi)^2 + \frac{c^2}{2} (\nabla \phi)^2 + \frac{s_0}{2} \phi^2 + \frac{u_0}{24} \phi^4 \right]$$

For $K=\pi/2$, only coupling to nodal quasiparticles
is $\sim \zeta \int d^d x d\tau \phi^2 \Psi \Psi$; ζ is irrelevant and leads to

$$\text{Im } \Sigma \sim T^{2d+1-2/\nu_{\text{Ising}}} \sim T^{1.83}$$

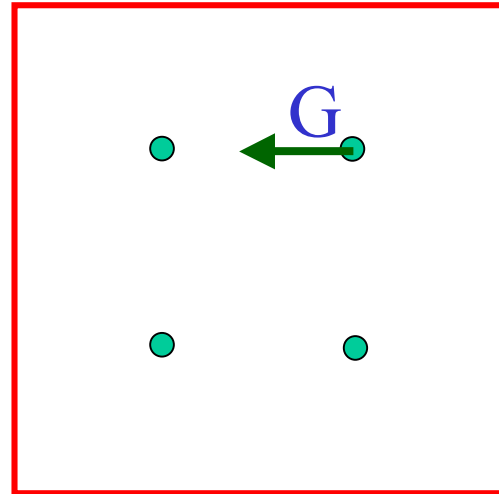


2b. Charge stripe order

Charge density

$$\delta\rho \sim \text{Re}[\Phi_x e^{iGx} + \Phi_y e^{iGy}]$$

If $G \neq 2K$ fermions do not couple efficiently to the order parameter and are not part of the critical theory



Action for quantum fluctuations of order parameter

$$S_\Phi = \int d^d x d\tau \left[|\partial_\tau \Phi_x|^2 + |\partial_\tau \Phi_y|^2 + |\nabla \Phi_x|^2 + |\nabla \Phi_y|^2 + s_0 (|\Phi_x|^2 + |\Phi_y|^2) + \frac{u_0}{2} (|\Phi_x|^4 + |\Phi_y|^4) + v_0 |\Phi_x|^2 |\Phi_y|^2 \right]$$

Coupling to fermions $\sim \lambda \int d^d x d\tau |\Phi_a|^2 \Psi \Psi$
and λ is irrelevant at the critical point

$$\text{Im}\Sigma \sim T^{2d+1-2/\nu}$$

$$\sim T^{\text{(between 2 and 3)}} \text{ for } 2/3 < \nu < 1$$



2c. $(d+is)$ -wave superconductivity

(Kotliar, 1989)

\mathcal{T} -breaking Ising order parameter ϕ

$$\langle c_{k\uparrow} c_{-k\downarrow} \rangle = \Delta_0 (\cos k_x - \cos k_y) + i\phi (\cos k_x + \cos k_y).$$

Effective action:

$$S_\phi = \int d^d x d\tau \left[\frac{1}{2} (\partial_\tau \phi)^2 + \frac{c^2}{2} (\nabla \phi)^2 + \frac{s_0}{2} \phi^2 + \frac{u_0}{24} \phi^4 \right]$$

Efficient coupling to nodal quasi-particles (*generically*)

$$S_{\Psi\phi} = \int d^d x d\tau \left[\lambda_0 \phi \left(\Psi_1^\dagger \tau^y \Psi_1 + \Psi_2^\dagger \tau^y \Psi_2 \right) \right].$$

Coupling λ_0 takes a non-zero fixed-point value in the critical field theory

Strong inelastic scattering of nodal-quasiparticles in the scaling limit

Nodal quasiparticle lifetime $\sim \hbar / k_B T$

However: strong scattering of quasi-particles also along $(1,0)$, $(0,1)$ directions



2d. $d_{x^2-y^2} + id_{xy}$ -wave superconductivity

(Rokhsar 1993, Laughlin 1994)

\mathcal{T} -breaking Ising order parameter ϕ

$$\langle c_{k\uparrow} c_{-k\downarrow} \rangle = \Delta_0 (\cos k_x - \cos k_y) + i\phi \sin k_x \sin k_y.$$

Effective action:

$$S_\phi = \int d^d x d\tau \left[\frac{1}{2} (\partial_\tau \phi)^2 + \frac{c^2}{2} (\nabla \phi)^2 + \frac{s_0}{2} \phi^2 + \frac{u_0}{24} \phi^4 \right]$$

Efficient coupling to nodal quasi-particles (*generically*)

$$S_{\Psi\phi} = \int d^d x d\tau \left[\lambda_0 \phi \left(\Psi_1^\dagger \tau^y \Psi_1 - \Psi_2^\dagger \tau^y \Psi_2 \right) \right].$$

Coupling λ_0 takes a non-zero fixed-point value in the critical field theory

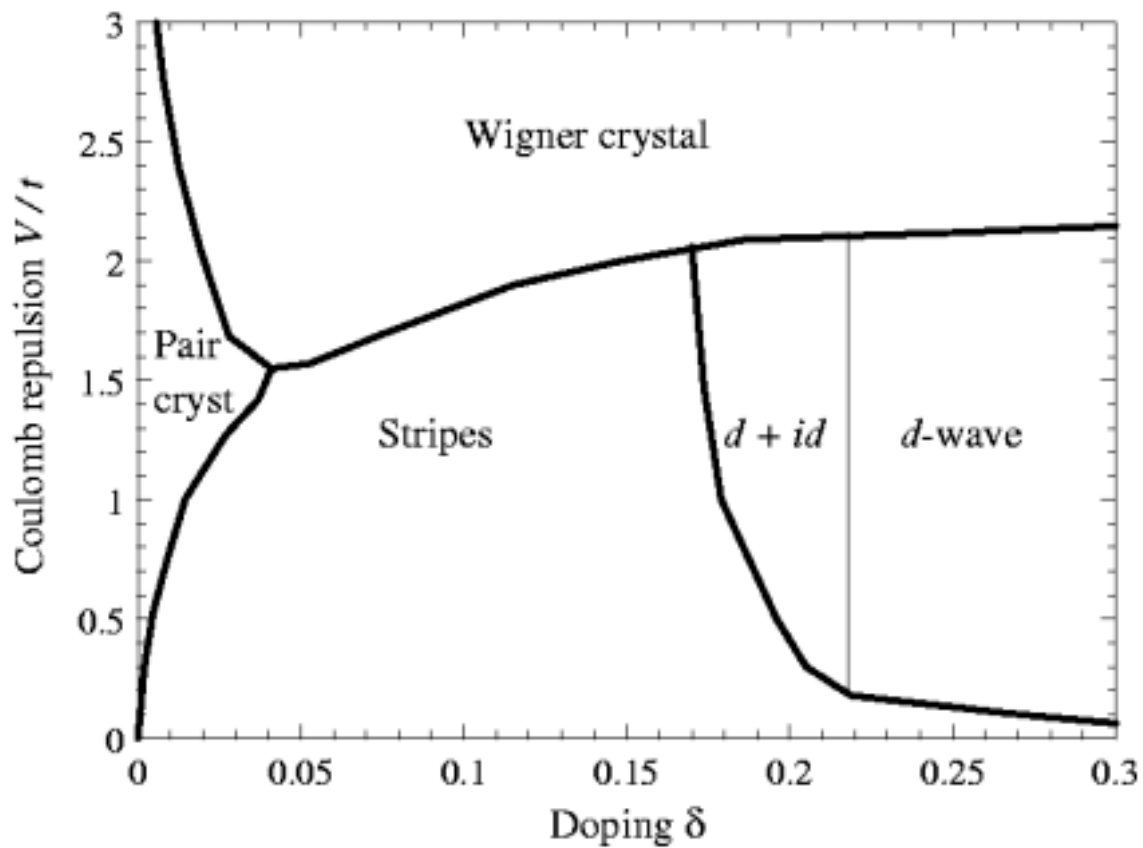
Strong inelastic scattering of nodal-quasiparticles in the scaling limit

Nodal quasiparticle lifetime $\sim \hbar / k_B T$

Moreover: no scattering of quasi-particles along (1,0), (0,1) directions !



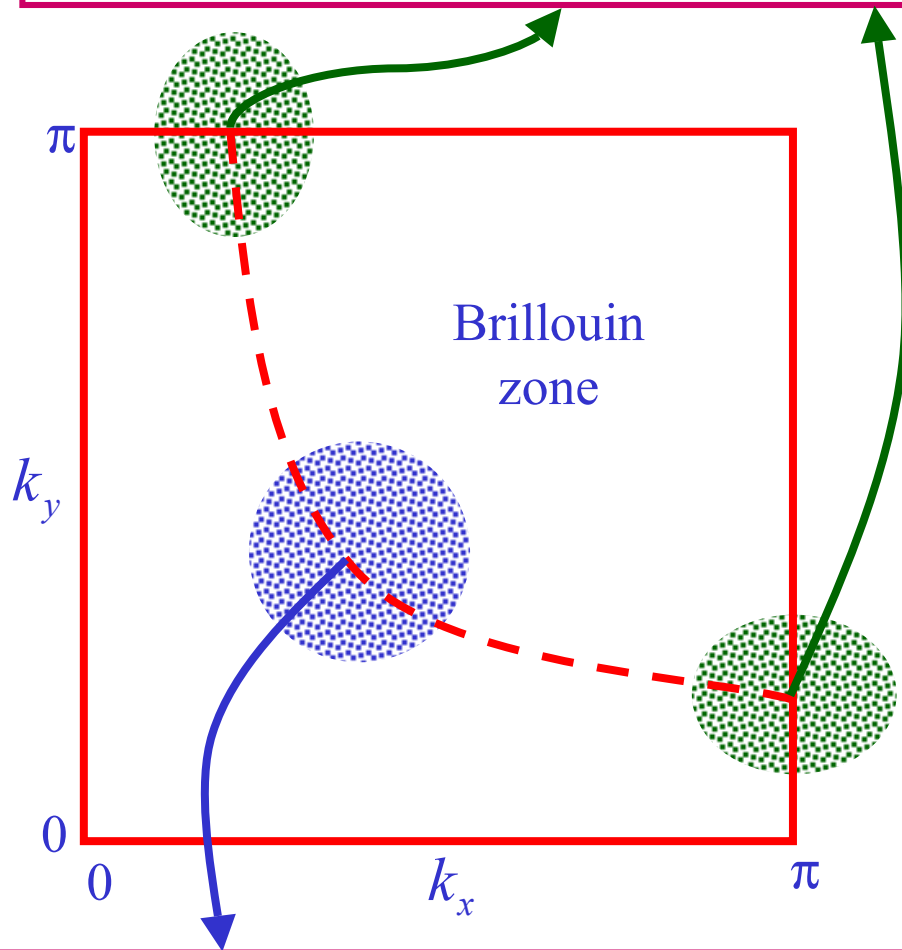
Large N ($Sp(N)$) phase diagram for time-reversal symmetry breaking and charge-ordering in a d-wave superconductor.



Gapped quasiparticles:

Below T_c : negligible damping

Above T_c : damping from strong coupling to superconducting phase and SDW fluctuations.



Nodal quasiparticles:

Below T_c : damping from fluctuations to $d_{x^2-y^2} + id_{xy}$ order

Above T_c : same mechanism applies as long as quantum-critical length < superconducting phase coherence length. Quasiparticles do not couple to phase or SDW fluctuations.



Conclusions: Part I

1. Universal $T=0$ damping of $S=1$ collective mode by non-magnetic impurities.

Linewidth:
$$\Gamma \equiv \frac{n_{\text{imp}} (\hbar c)^2}{\Delta_{\text{res}}}$$

independent of impurity parameters.

2. New interacting boundary conformal field theory in 2+1 dimensions
3. Universal irrational spin near the impurity at the critical point.



Conclusions: Part II

Classification of quantum-critical points leading to critical damping of quasiparticles in superconductor

Most attractive possibility: T breaking transition from a $d_{x^2-y^2}$ superconductor to a $d_{x^2-y^2} + id_{xy}$ superconductor

Leads to quantum-critical damping along (1,1), and no damping along (1,0), with no unnatural fine-tuning.

Note: stable ground state of cuprates can always be a $d_{x^2-y^2}$ superconductor; only need thermal/quantum fluctuations to $d_{x^2-y^2} + id_{xy}$ order in quantum-critical region.

Experimental update: Tafuri+Kirtley (cond-mat/0003106) claim signals of T breaking near non-magnetic impurities in YBCO films

